

1 **A two-stage predictive model to simultaneous control of trihalomethanes in**
2 **water treatment plants and distribution systems. Adaptability to treatment**
3 **processes.**

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32 **Abstract**

33 The trihalomethanes (THMs) and others disinfection by-products (DBPs) are
34 formed in drinking water by the reaction of chlorine with organic precursors contained
35 in the source water, in two consecutive and linked stages, that starts at the treatment
36 plant and continues in second stage along the distribution system (DS) by reaction of
37 residual chlorine with organic precursors no removed. Following this approach, this
38 study aimed at developing a two-stage empirical model for predicting the formation of
39 trihalomethanes (TTHM) in the water treatment plant and subsequently their evolution
40 along the water distribution system (WDS). The aim of the two-stage model was to
41 improve the predictive capability for a wide range of scenarios of water treatments and
42 distribution systems. The two-stage model was developed using multiple regression
43 analysis from a database (Jan 2007 to Jul 2012) using three different treatment
44 processes (conventional and advanced) in the water supply system of Aljaraque area
45 (southwest of Spain). Then, the new model was validated using a recent database from
46 the same water supply system (Jan 2011 to May 2015). The validation results indicated
47 no significant difference in the predictive and observed values of TTHM (R^2 : 0.874,
48 analytical variance < 17 %). The new model was applied to three different supply
49 systems with different treatment processes and different characteristics. Acceptable
50 predictions were obtained in the three distribution systems studied, proving the
51 adaptability of the new model to the boundary conditions. Finally the predictive
52 capability of the new model was compared with seventeen other models selected from
53 the literature, showing satisfactory results prediction and excellent adaptability to
54 treatment processes.

55 **Keywords**

56 Trihalomethanes, two-stage predictive model, water treatment process, distribution
57 system.

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62 **Introduction**

63 In the last four decades, the chemical disinfection of drinking water has reduced
64 significantly the incidence of infectious waterborne disease, but reactions of
65 disinfectants such as chlorine with natural organic matter contained in source waters
66 produce chemical mixtures of different undesirable compounds considered as
67 disinfection byproducts (DBPs). Until now, more than 600 DBPs have been identified
68 in drinking water (Richardson et al. 2007) and this number continues growing. Most
69 drinking water treatment plants use chlorine for disinfection and therefore several types
70 of chlorine containing DBPs are generated. Among them, trihalomethanes (THMs) and
71 haloacetic acids (HAAs) are found at the highest concentrations in treated drinking
72 water (Hamidin et al. 2008; Richardson 2003).

73 DBPs can enter the human body by multiple pathways, such as water ingestion,
74 oral intake, inhalation through breathing and dermal contact through skin during regular
75 indoor activities (showering, bathing and cooking). This chronic exposure to DBPs may
76 pose risks to human health (Siddique et al. 2015), although inconsistent results have
77 been reported across different epidemiological studies. In the case of THMs, the highest
78 risk of total cancer in both males and females is associated to chloroform occurrence,
79 mainly by inhalation (80-90% of the total risk), followed by oral exposure and dermal
80 contact (Basu et al. 2011; Mishra et al. 2014). Several studies have reported associations
81 between DBP exposure and increased risk of adverse developmental outcomes
82 including low birth weight or small for gestational age births (Hoffman et al. 2008;
83 Kumar et al. 2014), congenital anomalies, and birth defects such as cardiovascular and
84 neural disorders (Grazuleviciene et al. 2013; Levallois et al. 2012; Nieuwenhuijsen et al.
85 2008). Others studies have found elevated rates of bladder, colon, rectum and brain
86 cancers (Cantor et al. 2010; Melnick et al. 1994; Salas et al. 2013). For all these reasons,
87 the different countries have regulated the permitted levels for the most prevalent DBPs
88 in drinking water, in this way the United States Environmental Protection Agency
89 established the limit of total concentration of four THMs to 80 µg/L and five HAAs to
90 60 µg/L (USEPA 2001) and the European Union has regulated the limit of total
91 concentration of THMs to 100 µg/L from 2008 (98/83/EC 1998).

92 Since Johannes Rook (1974) discovered that THMs are formed by the reaction of
93 chlorine with natural organic matter (NOM) in drinking water, hundreds of studies have
94 been developed to determine the effects of THMs on health and its mechanisms of
95 formation within-the treatment plant and its evolution along the distribution systems.
96 The earliest models for predicting THMs and chloroform formation in drinking water
97 were reported in 1983 (Engerholm and Amy 1983). To date more than 150 models have
98 been developed through field and laboratory-scaled to predict DBPs formation in
99 drinking water (Brown et al. 2011; Chowdhury et al. 2009).

100 These models have investigated the effects of different quality and operational
101 parameters in controlling DBPs formation under a variety of environmental conditions,
102 but mechanistic DBPs models are exceedingly difficult to derive due to seasonal,
103 locational, and temporal variations in water quality, as well as the complexity of aquatic
104 chemistry in terms of both disinfection kinetics and interactions in natural water
105 matrices arising from heterogeneous natural organic matter (NOM) (Kulkarni and
106 Chellam 2010).

107 Thus, most of these empirical models are site specific, and consequently their
108 predictive capabilities in different water conditions remain inappropriate (Elshorbagy
109 2000). The development of a mathematical model that predict the formation of
110 disinfection DBPs under different water quality and treatment conditions is of great
111 interest and usefulness in the drinking water field (Lu et al. 2011). The great number of
112 models proposed shows the challenge to get a universally applicable model
113 (Golfinopoulos and Arhonditsis 2002).

114 In a recently study (Mayer et al. 2015), a large number of existing models were
115 evaluated and overall poor performances were found. According to this study, most of
116 the models are based on specific boundaries related to source water, water quality
117 parameters and treatment conditions using untreated, coagulated or finished
118 conventionally treated water. In this way, satisfactory model performance may be
119 limited to a narrow range of treatment scenarios. Accordingly, the overall poor
120 performance of the models tested, may be a function of applying them to datasets that
121 did not satisfy all boundary conditions. Among the characteristics of distribution
122 systems, piping materials (especially iron or copper) can affect the formation of DBPs.

123 A recent study showed that reactions between of certain organic precursors with zero-
124 valent iron, may contribute to the formation of dichloroacetamide (DCAcAm) in
125 distribution networks which contain cast iron pipes unlined, even in the absence of
126 chlorinated disinfectants (Chu et al. 2016a). Metallic Cu alone did not affect HAcAm
127 concentrations, but cooper increases reductive dehalogenation of haloacetamides by
128 zero-valent iron in drinking water, reducing the integrated toxic risk (Chu et al. 2016b).
129 A few studies focused on the consequences of suitable water treatment processes
130 (Badawy et al. 2012; Mouly et al. 2010) concluding that DBPs formation and its spatial
131 / seasonal variations depends largely on the efficient removal of NOM.

132 In a previous paper was demonstrates that most of THMs occur during the
133 treatment process by reaction of chlorine with organic precursor compounds not
134 removed during the preceding stages to the addition of the disinfectant. Trihalomethanes
135 formation continues throughout the distribution system by reacting with the residual
136 organic matter with the free residual chlorine and the chlorine applied in successive re-
137 chlorination stages. This reaction is affected by environmental conditions as well as
138 operational and morphological characteristics of the distribution system. The range of
139 seasonal and spatial variation of trihalomethanes depends on the effectiveness of the
140 removal of the organic matter during the treatment process (Domínguez-Tello et al.
141 2015), which is in good agreement with other authors in works to bench scale (Badawy
142 et al. 2012) to real scale (Summerhayes et al. 2011), and laboratory scale
143 (Sadrnourmohamadi and Gorczyca 2015) about a evaluation of the effect of ozonation on
144 the total Trihalomethanes Formation Potential (THMFP) from river water samples.

145 The aim of this work is the development of a predictive model of formation of
146 trihalomethanes with predictive capability in different scenarios of treatment and
147 different distribution systems. To this end, the model was developed in two stages
148 (treatment process and distribution system) using a wide range database. For this
149 purpose, a historical database was used with water samples treated in Aljaraque WTP,
150 with three different treatment processes. The model was developed using multiple
151 regression analysis and validated using a recent database from the same distribution
152 system. Subsequently, the model was tested on three water supply systems located in the
153 surroundings of Huelva city (Southwest Spain), comparing the predicted and measured

154 TTHM values. The model fit well to different treatment scenarios with low prediction
155 errors. The results showed good predictive capability, good adaptability to boundary
156 conditions and low prediction errors. On the other hand, in this work seventeen models
157 published were evaluated, analyzing comparatively the predictive capability of TTHM
158 concentration of each of them, by variation the treatment process applied in the plant.

159

160 **Materials and methods**

161 **Study sites**

162 Water samples were collected from Jan 2011 to May 2015 through four water
163 distribution systems (WDS) located at the province of Huelva (southwest Spain):
164 Aljaraque (AL WDS), Lepe (Lep WDS), Riotinto (Rti WDS) and La Palma (Lpa WDS)
165 (Fig. 1). All the systems worked with surface water source and used chlorine in the form
166 of sodium hypochlorite. Alj and Lep WDS supply water to a population of 60.000 and
167 150.000 inhabitants, respectively. Both plants operated with two conventional treatment
168 processes in a seasonal scheme: (a) from October to May including pre-oxidation with
169 potassium permanganate (pre-KMnO₄) coagulation-flocculation-sedimentation (CFS),
170 rapid sand filtration (SF), second step filtration/adsorption with granular activate carbon
171 (GAC) and disinfection; and (b) from May and September with the same treatment
172 process but substituting the pre-oxidation by an advanced treatment with ozone (pre-
173 O₃). The others two WDS, Lpa supply water to a population of 70.000 inhabitants using
174 advanced treatment (pre-O₃, CFS, SF, GAC) and disinfection, and Rti for a population
175 of 20.000 inhabitants using a conventional treatment process (pre-KMnO₄, CFS, SF,
176 GAC) prior to the disinfection. All the WDS studied are affected by significant climatic
177 and population variations, mainly the influence of seasonal coastal tourism. Table 1
178 summarizes the general characteristics of the water distribution systems studied.

179 **Sampling strategy**

180 An intensive monthly sampling campaign was performed in each supply system
181 from January 2011 to May 2015. Sampling points were located at the water treatment
182 plants (raw water and finished water) and two additional sample points in the reservoirs
183 along of the distribution system. Thus, 720 samples were taken, 180 in each WDS under

184 study (45 raw water, 45 finished water and 90 from distribution system). Samples were
185 taken at the same day of the week and the first week of each month, using the same
186 sampling route and the same sampling point in each selected location, ensuring the
187 stability of hydraulic conditions and the representativeness of the samples in the studied
188 system.

189 Samples were taken at the tap of each sampling point. In order to guarantee the
190 representativeness of the sample, before sampling, it is necessary to renew the water
191 contained in the section of pipeline between the sampling point and the reservoir or
192 supply network to be sampled, for which the water was allowed to flow for at least five
193 Minutes before filling the sample bottles. To analyze THMs, duplicate samples were
194 collected in 125 mL amber glass bottles with teflon-lined screw caps, completely filling
195 the bottle avoiding any headspace. A volume of 1.5 mL of 0.1 M sodium thiosulfate
196 aqueous solution was added to eliminate any remaining residual chlorine quenching the
197 sample to further THM formation. Temperature, pH, turbidity, conductivity and residual
198 chlorine were *in situ* measured while dissolved organic carbon, UV₂₅₄, bromide, calcium
199 and trihalomethanes species were determined in the laboratory. The samples were stored
200 at 4 °C and analyzed within 2 days after collection. During each campaign, the
201 operational parameters of the treatment plant and distribution system were collected to
202 calculate the operational variables (chlorine dose in WTP and rechlorination, treatment
203 flow, flow rate, water consumption and water level in the storage tanks).

204 Throughout the study, several actions have been implemented to reduce the effect
205 of the uncertainties on the quality of the developed model: The coagulation /
206 flocculation / sedimentation and oxidation processes were controlled and adjusted on
207 the basis of jar-test. The sedimentation process was controlled to prevent flocs leakage.
208 Through the sampling period, the quality of finished water was maintained at values of
209 Fe, Mn and turbidity lower than 50 µg L⁻¹, 10 µg L⁻¹ and 0.7 NTU, respectively. Stable
210 process conditions were maintained, avoiding sampling during occasional process
211 fluctuations. Sodium hypochlorite was used in the disinfection process; the
212 concentration of chlorine in the solution (150–123 g/L) was used for calculating the
213 accumulated dose of chlorine and chlorine dose. The contact time of water in reservoirs
214 was calculated daily considering the flow of water supplied to each population nucleus

215 by assuming complete mixing inside the reservoirs. The contact time considered for
216 model development was the weekly average, in stable conditions.

217 **Analytical methods**

218 Water samples were analyzed for the regulated THMs using headspace-solid-
219 phase microextraction (HS-SPME) coupled to gas chromatography-mass spectrometry
220 (GC-MS), using a Varian CP-3800 gas chromatograph coupled to an ion trap mass
221 spectrometer Varian Saturn 2000 MS (Varian, Sunnyvale, CA, USA). The analytical
222 method has been published elsewhere (Domínguez-Tello et al., 2015). Briefly a DB-5
223 ms 30 m × 0.25 mm × 0.25 µm capillary column (Agilent Technologies) was used for
224 the chromatographic separation of THMs, using the following temperature program: 40
225 °C for 4 min., ramped to 120 °C at 10 °C/min. and hold for 1.5 min., and finally ramped
226 to 250 °C at 25 °C/min. for 5 min. (total run time: 23.7 min.). Injections were made in
227 split mode (1:10) for 3 min. at 220 °C. Helium was used as carrier gas at a constant
228 pressure of 29 kPa and a constant flow rate of 1 mL/min..

229 The HS-SPME extraction method has also been described in the previous
230 publication (Domínguez-Tello et al. 2015). Briefly, the fiber used was made of
231 carboxen/polydimethylsiloxane (CAR/PDMS 85 µm) purchased from Sigma-Aldrich.
232 Before use each fiber was conditioned at 250 °C for 30 min. For HS-SPME extraction, 2
233 mL of sample was transferred to a 4 mL sample vial together with a magnetic bar, 250
234 µL of saturated sodium chloride solution and 2 µL of a 5 mg/L of internal standard
235 solution (1,2-dibromopropane). The samples were sealed using screw cap, containing a
236 PTFE-faced rubber septum. The analytes were extracted at 40 °C for 30 min. with
237 stirring speed of 250 rpm. Then the fiber was introduced into the GC injection port at
238 270 °C during 4 min. for desorption.

239 Electron ionization mass spectra were recorded in scan mode using the m/z 29–
240 300 at 3.5 scans per second. Each compound was quantified by comparing the relative
241 area of the internal standard to the target analyte. The limits of detection (LOD) and
242 quantification (LOQ), were 1.3, 0.8, 1.1, and 0.9 µg/L and 4.2, 2.5, 3.6, and 3.0 µg/L for
243 CHCl₃, CHCl₂Br, CHClBr₂, and CHBr₃, respectively. The features of the method and
244 validation dates were listed in Table S1 of Supplementary information. Milli-Q water

245 was used through and was purified in a Gradient system (Millipore, Watford, UK). All
246 the standards were of analytical grade and purchased from Sigma-Aldrich (Madrid
247 Spain) Solvents were of HPLC grade and obtained from Sigma-Aldrich.

248 Sampling campaigns were monthly performed and quality control of the
249 analytical method evaluated using four external standards with different concentrations
250 of THMs, which confirm results reliability. Not less than 25% replicate samples were
251 analyzed for THM to evaluate the method precision. Blanks were also used for
252 background correction and error sources detection.

253 Conventional parameters for water quality control were analyzed using the
254 following approaches: free residual chlorine was measured using a Hanna photometer
255 HI-93711 following colorimetric method DPD according to Standard Method 4500-Cl-
256 G. Turbidity was measured using a HACH 2100P turbidimeter. Bromide was analyzed
257 using an ion-chromatograph (METROHM 861 Advanced compact IC) with chemical
258 suppression and conductivity detector. A Metrohm 744 pH-meter equipped with a gel-
259 filled electrode Water pH was used for measuring pH. Conductivity was measured with
260 Crison CM35 conductivimeter. Samples were filtered using a 0.45 μm nylon membrane
261 filter prior to the measurement of UV absorbance and DOC. UV₂₅₄ absorbance spectra
262 were measured using a Perkin-Elmer Lambda 18 spectrophotometer with 5 cm quartz
263 cell and latterly spectra were normalized to a 1 cm cell length. DOC concentrations
264 were obtained using a TOC-5000 Shimadzu analyzer, according to EPA Standard
265 Method 5310C. SUVA was calculated by normalizing UV₂₅₄ values with respect to
266 DOC.

267 **Modelling and validation**

268 In this study, the predictive model of THMs was developed in two stages: (1) the
269 treatment process, and (D70/2009) the distribution system, since as explained before,
270 the THMs formation occur during the treatment process (first stages) starting with the
271 addition of chlorine by its reaction with organic precursor compounds not removed
272 during the earlier stages of treatment. As above commented, the TTHM variation in the
273 water distribution system is influenced by trihalomethanes concentration and organic

274 precursors in finished water, pH water and environmental variables, operational and
275 morphological characteristics of the distribution system (Fig. 2).

276 **Modelling**

277 The approach tries to predict the formation of trihalomethanes in the water
278 treatment process and subsequently their variation throughout the distribution system.
279 The model was developed using a database (Domínguez-Tello et al. 2015) which
280 considers 198 samples taken in a monthly sampling campaign conducted between
281 January 2007 and July 2012 in Alj WTP, three reservoirs and networks of its
282 distribution system. During the sampling campaign, the plant worked with four
283 treatment processes from which only three were selected for the study. The database
284 used provides a wide range of values of trihalomethanes ($22.6-123.9 \mu\text{g L}^{-1}$) which
285 provides a high statistical potential and high range of application of the model.
286 Subsequently the model was validated using the results from recent sampling campaign
287 between February 2011 to July 2015, in Alj WDS.

288 A recent review from Ged et al. discusses the predictive capability and
289 application of 87 DBPs models published over the last 30 years focused on chlorine
290 disinfection. The results showed that multivariable power law models had the highest
291 predictive capability for TTHM. Additionally, the best models for predicting TTHM
292 were those including at least five of the seven explanatory variables (DOC, UV_{254} , Br^- ,
293 pH, chlorine dose, reaction time and temperature) (Ged et al. 2015). In accordance with
294 this study, the direct explanatory variables initially considered in the predictive model
295 were DOC, SUVA, UV_{254} , ion bromide (Br^-), pH, chlorine dose (d), accumulated
296 chlorine dose (D), reaction time (t), temperature (T) and three composite explanatory
297 variables associated with the disinfection reaction: R_{EF} , R_{DS} and δ , where R_{EF} is the
298 product of the following variables: chlorine dose in WTP (d), reaction time (t),
299 temperature (T) and UV_{254} absorbance in finished water. Similarly, the composite
300 variable R_{DS} is the product of variables involved in the formation of TTHM along the
301 distribution system (stage 2), including TTHM calculated in finished water (TTHM_{EF}),
302 which can be potentially produced by reaction of precursor unoxidized organic matter
303 (UV_{254}), accumulated dose of chlorine (D), contact time (t_{DS}) and water temperature

304 (T_{DS}) in the point selected of distribution system. Finally, δ is an explanatory variable
305 expressed as the difference between the dose of chlorine added in the treatment process
306 and the value of free residual chlorine in the water finished, in relation to the contact
307 time between the two points (Mouly et al. 2010). The detailed description of
308 explanatory variables used in the two stages of the predictive model is shown in Fig. 2.

309 After verifying the statistical significance using the Pearson correlation matrix at
310 95% significance level: $p < 0.05$, the explanatory variables was selected. For that, the
311 combinations of explicit variables were tested and were selected those in which the best
312 statistical results and reproducibility of the model were obtained following criterion of
313 maximum R^2 , minimum standard error s and C_p Mallows.

314 For development of the model, multiple regression analysis of data was carried
315 out. Polynomial: $Y = K + X_1 b_1 + X_2 b_2 + \dots X_p b_p$ and logarithmic: $Y = K (X_1)^{b_1}$
316 $(X_2)^{b_2} \dots (X_p)^{b_p}$ forms were tested, where Y is the variable to be modeled (TTHM),
317 $X_i, i = 1$ to p are the explanatory variables, $b_i=1$ to p represent the statistical coefficients
318 to be estimated and K is a constant term. The model was developed according to the
319 best combination of variables obtained in the statistical analysis.

320 Comparative statistical analyses of measured and predicted data from the model
321 options, started with F-test, Student's T-test, linear correlation coefficient (R^2),
322 analytical variance (AV): percentage of the absolute difference between the measured
323 and predicted values, and standard error (SE) or root mean square error. F-test analysis
324 determined the variance similarity between observed values and predicted values. If the
325 F-test value was > 0.5 , the Student's T-test with equal variance was conducted, and
326 otherwise if F-test < 0.5 Student's T-test with unequal variance was conducted. If the
327 Student's T-test result was < 0.5 , the two data sets had no statistical similarity, and they
328 were not equivalent. Instead if the Student's T-test result was > 0.5 , the two data sets
329 had no significant statistical differences, that is, they were equivalent and then
330 uncertainty analyses were calculates: SE, AV, and linear correlation coefficient (R^2)
331 (Chen and Westerhoff 2010). Both AV and SE reflected the deviation or uncertainty of
332 predicted data relative to measured data; and R^2 indicated the correlation between
333 predicted data and experimental data. According to the statistical analysis the model
334 with higher value Student's T, higher R^2 and lower AV and SE was selected. Moreover

335 the statistical significance of the selected model was checked by the F-value and
336 Durbin-Watson estimate (1.5 – 2.5).

337 **Validation and application**

338 The purpose of validation is to measure the goodness of fit of the values
339 predicted by the model in comparison with the experimental data measured. In order to
340 validate the new two-step model developed, the $TTHM_{Ef}$ and $TTHM_{Ds}$ values were
341 calculated using the model equations for an independent set of additional database
342 obtained from Aljaraque WDS between Jan 2011 to May 2015 (35 samples).

343 To evaluate the adaptability of the new model to different treatment processes
344 and different conditions of the distribution system, the new model was applied to three
345 different WDS (Lepe, La Palma and Rio Tinto).

346 The predicted values were compared with measured values calculating the
347 difference between them, using AV, SE and R^2 . A t-test was done on the predicted
348 models and to determine the biasness by calculating the t_{value} for models compared to
349 the $t_{critical}$ value (If $t_{value} < t_{critical}$ the biasness is considered to be not significant).

350

351 **Results and discussion**

352 **Occurrence of THMs. Seasonal and spatial variation**

353 Seasonal variations of the temperature and raw water quality cause variations of
354 DBPs concentrations in the water supply. Additionally, seasonal changes in water
355 consumption habits, human activities and environmental changes favor such variations
356 (Fokmare and Musaddiq 2001; Karapinar et al. 2014). Therefore, to maintain suitable
357 water quality according to the established regulations it is necessary to combine the
358 water treatment processes with seasonal raw water conditions and the characteristics of
359 the supply system. Considerable seasonal variations of drinking water quality has been
360 reported in many drinking water systems including small water distribution system
361 (Scheili et al. 2015).

362 In this work both the seasonal (from winter to summer) and spatial (from the
363 water treatment plant to the end points of the distribution system) variations of TTHM

364 were evaluated in the four WDS located at important areas of the southwest Spain
365 (Aljaraque, Lepe, Riotinto and La Palma) during the period in which it was developed
366 and validated the model. The seasonal and spatial variations of THMs are shown in
367 Table 2.

368 TTHM levels were higher in summer followed by spring and lower in autumn
369 and winter. The average levels of THMs measured in summer at the water treatment
370 plant of Alj, Lep, Rti and Lpa were 1.41, 1.34, 1.41 and 1.15 times higher than the
371 average levels in winter, respectively. The lower range of seasonal variation occurs in
372 Lpa WTP where advanced treatment process with ozonation and GAC were used. The
373 ranges of spatial variation in Alj, Lep, Rti and Lpa water distribution system were 1.1,
374 1.26, 1.34 and 1.13 times the concentration of TTHM in treated water, respectively.

375 **Influence of the oxidation process. Ozonation test**

376 The natural organic matter present in the source of water is the major precursor to
377 the formation of DBPs. Water utilities need to apply treatment technology and optimize
378 the treatment processes to remove organic precursors to effectively reduce the formation
379 of DBPs (Hua et al. 2015).

380 To evaluate the influence of the treatment process and especially the oxidation
381 process on the formation of THMs in distribution system, a real scale test was carried
382 out in the Lepe WTP varying the ozone dose. A sampling campaign for two months
383 with daily sampling of raw water, treated water and three sampling points of the
384 distribution system (R1, R2 and R3) was performed. The results are shown in Fig. 3.

385 In agreement with other authors (Bond et al. 2014; Galapate et al. 2001;
386 Sadrnourmohamadi and Gorczyca 2015), trihalomethanes formation depends on both
387 oxidation kinetic and halogenation steps. The transformation of dissolved organic
388 carbon during ozonation results in a higher reduction in TTHM (by conversion of
389 hydrophobic fractions -main contributors to the formation of TTHM- to hydrophilic
390 fractions).

391 As a result, it was found that increasing ozone dosage (1, 2 and 3 mg L⁻¹) reduce
392 the content of DOC (31.2, 32.8 and 38.3%, respectively) and trihalomethanes (14, 34
393 and 48%, respectively) in the distribution system. Furthermore as shown in Fig. 3, a

394 higher oxidation treatment contributes to reduce the variability of TTHM throughout the
395 distribution system, suggesting less seasonal and spatial variation, achieving greater
396 stability of supply water quality. The results suggest the importance of considers the
397 effect of the treatment process in the development of DBPs predictive models.

398 **Effect of natural organic matter**

399 The natural organic matter present in the source water serves as the major
400 precursor to the formation of DBPs. Aquatic NOM is a complex mixture of
401 heterogeneous organic compounds varying in structure and functionality from source to
402 source. Therefore, surrogate parameters are used to predict its removal through
403 treatment, estimating its reactivity toward DBP formation, such as total organic carbon
404 (TOC), dissolved organic carbon (COD), ultraviolet (UV) absorbance, and specific
405 ultraviolet absorbance (SUVA) (Hua et al. 2015; Matilainen et al. 2011; Reckhow et al.
406 1990). SUVA is a good indicator of the formation of unknown DBPs, but generally the
407 correlation between SUVA and THMs is poor, because THMs are produced from
408 diverse types of precursors including UV and non-UV absorbing organic compounds.
409 NOM with high SUVA values is rich in humic substances, hydrophobic compounds,
410 and high molecular weight organic matter. (Ates et al. 2007; Kitis et al. 2002). The
411 SUVA values of the raw waters from the four reservoirs used for this study were lower
412 than $2 \text{ L mg}^{-1} \text{ m}^{-1}$, suggesting the presence of low molecular weight compounds from
413 NOM and low humic acids content.

414 In our study data from DOC, SUVA, UV_{254} were tested using the Pearson
415 correlation test (Table 3). The highest correlation was found with the UV_{254} variable.
416 Therefore, UV_{254} measurement was adopted as a critical variable of the model, which
417 can be easily measured. In the individual study of each treatment process, positive
418 correlations between the variable UV_{254} in treated water and the formation of TTHM
419 were obtained (r : -0.696, -0.365 and -0.704 in TP1, TP2 and TP3, respectively),
420 however a non-significant correlation (r : 0.140) was found in the pooled data from the
421 three process schemes. The reason of this effect could be the different removal
422 efficiency of NOM of each treatment scheme (Badawy et al. 2012).

423 The UV_{254} varies according to the treatment applied in the WTP and is a good
424 indicator of the potential formation of THMs. High UV_{254} values in the finished water
425 indicates poor oxidation and a high potential for formation of THMs throughout the
426 distribution system. Thus the variable UV_{254} was applied in both stages of the model as
427 significant indicator of trihalomethanes reaction formation.

428 **Effect of pH**

429 The concentration of THMs increases at high pH as a result of numerous
430 hydrolysis reactions occurring in these compounds, and the increasing formation of
431 hypochlorite ions at these pH, which reduce the effectiveness of chlorine disinfection.
432 As a consequence, at higher pH values, more TTHM are formed (Hong et al. 2007;
433 Zimoch et al. 2015). In this study a positive Pearson correlation was obtained between
434 water pH and TTHM concentration (Table 3), both in global data ($r: 0.562$) and those
435 from data groups of each treatment process (0.561 , 0.874 and 0.877 in TP1, TP2 and
436 TP3, respectively). Good correlation between water pH and the TTHM formation in
437 distribution system (stage 2) was also found ($r: 0.687$).

438 **Effect of water temperature**

439 In the area under study, a clear relationship of water temperature with the
440 formation of trihalomethanes was found (Table 3), which can be explained by the effect
441 the temperature in the organic matter removal effectiveness during the treatment
442 process, which was confirmed by the results obtained in the conventional treatment
443 processes, used in this study, TP1 and TP3 ($r: 0.944$ and 0.918 respectively). However,
444 a positive, but less marked correlation was observed in the advanced process TP2 ($r:$
445 0.220), which matches with low UV_{254} values in finished water due to the strong
446 oxidation of organic matter by ozone. Despite the clear importance of temperature in the
447 reaction of formation of TTHM, no direct correlation was found when Pearson test was
448 applied to global data.

449 **Effect of chlorine dose**

450 Using the Pearson correlation method a strong relationship ($r: 0.748$) was
451 obtained between THM formation and chlorine dose used in the treatment processes

452 (TTHM_{EF}). Also a strong relationship (r: 0.881) was obtained between TTHM formation
453 in distribution system (TTHM_{DS}) with the accumulated dose of chlorine (Table 3).

454 **Effect of contact time**

455 In this study the contact time (t_{EF}) for the reaction of chlorine with organic matter
456 was measured from the chlorine dosing point to the finished water in the WTP. We also
457 measured the contact time from the point of finished water to different sampling points
458 in the distribution system (t_{DS}). The contact time in the studied water treatment plants
459 (t_{EF}) were between 0.10 and 3.25 h. The contact time in the distribution systems (t_{DS})
460 were between 19.7 and 30.0 h.

461 In both cases a strong relationship was obtained between the contact time t_{EF} (r:
462 0.951) and t_{DS} (r: 0.965) with the TTHM_{EF} and TTHM_{DS}, respectively.

463 **Effect of bromide**

464 In the chlorination process, DBPs concentration increases with the level of
465 bromide. This is because the bromide ion can be oxidized by free chlorine to produce
466 hypobromous acid (HBrO) that reacts with NOM with more substitution ability than
467 HClO. When the level of bromide increases, more bromide could be incorporated into
468 DBPs, and consequently, the formation of chlorine-containing species decreases.
469 Moreover, the weight of bromine atom is higher than chlorine, so DBPs formation
470 increases more significantly with the increase of bromide (Bougeard et al. 2010; Hong
471 et al. 2013; Watson et al. 2015).

472 In the present study a good relationship (r: 0.657) between the bromide in
473 finished water with TTHM_{EF} was obtained. However, peak values of bromide in the raw
474 water were observed that not always are neutralized during the treatment process, which
475 can cause elevations of TTHM in the distribution system. Therefore, the bromide
476 variable was included in the model, despite its low background in the water supply
477 systems studied.

478 **Reaction variables**

479 TTHM formation behaves as a first order reaction with respect to chlorine dose
480 and humic acid precursors. Therefore TTHM formation can be formulate as a function

481 of the concentration of THMFP (humic acid precursor), residual chlorine, reaction time
482 and reaction temperature (Li and Zhao 2006). Following this criterion, the variable
483 reaction (R_{Ef}) was established as an indirect indicator of the reactivity of organic matter
484 with chlorine in treated water in the plant. R_{Ef} represents the product of the dose of
485 chlorine, contact time, water temperature and UV_{254} absorbance measured in finished
486 water. Using the Pearson method (Table 3), a strong relationship (r : 0.965) was obtained
487 between R_{Ef} and $TTHM_{Ef}$. Similarly R_{DS} represents the product of the dose of chlorine,
488 contact time, water temperature and UV_{254} absorbance measured in finished water (R_{DS}
489 = $D \times t_{DS} \times T_{DS} \times UV_{254}$). A strong relationship (r : 0.749) was obtained between R_{DS} and
490 $TTHM_{DS}$.

491 The TTHM in distribution system was inversely proportional to the variable δ .
492 Good relationship was obtained between δ , $\delta \times T$ and $UV_{254} \times \delta \times T$ with $TTHM_{EF}$ (-
493 0.861, -0.713 and -0.611 respectively). However, these variables were not selected in
494 the model proposed since the correlation obtained between predicted and calculated
495 values was lower (R^2 : 0.789-0.878) and standard error higher (19.7-14.9) in respect to
496 the variable R .

497 **Modeling developed**

498 After verifying the effect of different variables on the formation of
499 trihalomethanes in the two stages, the statistical significance and their Pearson
500 correlations, the explanatory variables were selected. Among the possible combinations
501 of explicit variables (pH_{Ef} , d , t_{Ef} , T_{Ef} , Br^- , UV_{254} , R_{Ef} , δ , δT), pH_{Ef} , Br^- and R_{Ef} , were
502 selected, because these variables obtained the best statistical results reproducibility
503 model: R^2 : 0.948, SE: 8.67 and Cp Mallows: 4.0 (Table 4).

504 Different options of models (linear and polynomial) were evaluated according to
505 the best combinations of variables obtained in the statistical analysis and the accuracy of
506 the predictions regarding the measured values. Based on the results obtained, the lineal
507 model was selected. The summary of TTHMs models is shown in Table 5. The results
508 of Student's T-test were > 0.5 (0.99 and 0.90 for Stage 1 and 2 respectively) shown no
509 significant statistical difference between measured and predicted values. The analytical
510 variance (AV), standard error (SE) and linear correlation coefficient (R^2) were: 13.6,

511 8.67, 0.948 and 9.9, 6.08 and 0.9 for Stage 1 and 2 models respectively. The new model
512 is statistically significant and the value of the Durbin-Watson statistic were found to be
513 1.74 and 1.62 for Stage 1 and 2 respectively. The value of Durbin-Watson is preferred
514 to be between 1.5 and 2.5 for statistically best model (Kumari and Gupta 2015; Uyak et
515 al. 2007). According to the comparative results, the lineal model was selected:

$$516 \quad TTHM_{Ef} = 165 - 21.3 \text{ pH}_{Ef} + 0.232 \text{ Br}^- + 5.84 R_{Ef}$$

$$517 \quad TTHM_{DS} = 14.9 + 1.01 \text{ THM}_{Ef} + 0.20 \text{ pH}_{DS} - 0.104 R_{DS}$$

$$518 \quad \text{where: } R_{Ef} = d \times t_{Ef} \times T \times UV_{254}; R_{DS} = D \times t_{DS} \times T_{DS} \times UV_{254}$$

519 The analysis of variance (Garcia-Villanova et al.) showed that the model was
520 statistically significant ($p < 0.05$). The examination of statistical residuals of model
521 showed a normal distribution of data evenly distributed above and below the zero
522 baselines and no visible trends. The range of application of the developed model is
523 restricted by range of quality and operational variables taken as the basis of design and
524 validation: $TTHM_{EF}$ (22.6–125.5 $\mu\text{g L}^{-1}$), COD (1.40-5.09 $\mu\text{g L}^{-1}$), UV_{254} (0.017-0.076
525 cm^{-1}), pH_{Ef} (6.50–7.80), T (10.6-26.6 $^{\circ}\text{C}$), t (0.10-3.25 h), Br^- (20.0-176 $\mu\text{g L}^{-1}$), d (0.70-
526 5.80 mg L^{-1}), $TTHM_{DS}$ (27.3–130.1 $\mu\text{g L}^{-1}$), t_{DS} (19.7-30.0 h), D (2.97-6.31 mg L^{-1}),
527 pH_{DS} (6.73-7.75).

528 In developing the model, some effects that could be limiting and affect the
529 quality of the predicted results can be observed. Therefore, the data were selected and
530 those corresponding to unstable or anomalous behavior were removed. Thus, data which
531 trihalomethanes in distribution system were lower than in finished water were
532 discarded. This effect was observed in some reservoirs far away from the WTP, where
533 the inlet water was cascading, affected probably by air-stripping. Furthermore, it is
534 observed that quality measuring of certain operational variables such as contact time
535 and cumulative dose of chlorine could be sources of uncertainties in the predictive
536 model. However, it should be pointed out that the source water used in the development,
537 validation and application of the model contains low levels of bromide and it would be
538 advisable to check the effectiveness of the model with high levels of this ion.

539 **Model Validation**

540 The new predictive model was validated in the same WDS in which was
541 developed (Aljaraque WDS) during period Jan 2011 to May 2015 (35 samples). During
542 the validation period Aljaraque WTP operated with conventional treatment process from
543 October to May (pre-KMnO₄, CFS, SF, GAC and disinfection) and advanced treatment
544 between May and September (pre-O₃, CFS, SF, CAG and disinfection). The results of
545 the validation analysis are shown in Table 6. A t-test was applied to determinate the
546 biasness of the model. The t_{value} for the two stages (Alj WTP and Alj DS) were less than
547 the t_{critical} value and p values were also greater than 0.05, which indicates that the model
548 biasness were no significant. The uncertainly analysis show a low deviation of predicted
549 data relative to measured data. The standard errors (SE) of the two stages (Alj WTP and
550 Alj DS) measured as root mean standard errors were 2.57 and 3.00 respectively. The
551 analytical variance (AV) measured as average percentage of the absolute difference
552 between measured and calculated by the model were 7.38% and 7.22% respectively.
553 The maximum differences between measured and calculated TTHM in the two stages of
554 the model were 17 and 12%, slightly lower in the DS indicating some adjustment in the
555 second stage model. The analysis of variance of the first stage (WTP) obtained
556 prediction errors (AV) greater than 10% in the values range studied (TTHM: 28.3-56.5
557 $\mu\text{g L}^{-1}$). Likewise in the second stage model (DS) 23% of the predictions obtained a
558 variance > 10%. The two-stage model validation indicates very satisfactory predictions
559 with R^2 of 0.91 and 0.87. The Fig. 4 shows measured vs. predicted TTHM values in
560 water treatment plant (Stage 1), and distribution system (Stage 2).

561 **Model Application to different water supply system**

562 In order to check the suitability of the new two-stage model and its adaptability
563 to different boundary conditions, the model developed was applied in three different
564 water supply systems: Lepe, Riotinto and La Palma water distribution systems with
565 substantial differences in treatment processes, water source and distribution system
566 characteristics. The quality characteristics of raw water, treated water and water
567 distribution system of Aljaraque, Lepe, Riotinto and La Palma are shown in Tables S2,
568 S3 and S4 of supplementary information.

569 The numeric results of the application are shown in Table 6. Graphically, the
570 measured and calculated values for the different distribution systems studied are

571 represented in the Fig. 5. To analyze the results of applying of the model, similar
572 statistical procedure to validation was followed. A t-test was used to determine the
573 possible bias data. The predicted values measured values were compared with
574 calculating the differences between them, using AV, SE and R^2 .

575 The uncertainly analysis show low deviations of predicted data relative to
576 measured data in the three WDS studied with SE and AV between 2.98-4.94 and 6.67-
577 9.80 % respectively. The maximum differences between measured and calculated
578 TTHM in the three WDS were between AV 14.0 to 26.6%. The largest variance was
579 obtained in Lpa WDS, coinciding with the widest range of values TTHMs (14.8-64.8 μg
580 L^{-1}). The R^2 obtained were between 0.82 to of 0.96. The new model was tested globally
581 by adding all the data used from validation and application (Fig. 6) obtaining very good
582 predictive capability of TTHM in the water treatment plant (R^2 : 0.940, SE: 3.18, AV:
583 7.79%) and distribution system (R^2 : 0.87, SE: 4.05, AV: 8.30%).

584 The results obtained shows good adaptability of the two-stage developed model
585 to the boundary conditions of the three supply systems studied, despite their differences
586 in source water, treatment processes and the characteristics of the distribution systems.

587 **Comparison predictive capability of different models according to the treatment** 588 **processes**

589 Most empirical DPBs model proposed in the literature are based on databases
590 from specific treatment conditions, water quality and distribution system. As a
591 consequence, introduce specific values ranges related to boundary conditions and
592 cannot be applied to any real situation (Amy et al. 1987). In the development of
593 predictive models, the treatment process is a critical factor to be taken into account by
594 using databases from different treatment scenarios. To demonstrate the influence of the
595 treatment process on the accuracy and applicability of predictive models of THMs, a
596 comparative study of the predictive efficiency of seventeen models was performed.

597 Mathematical expressions of the models were applied by replacing the explicit
598 variables in the database Alj WDS, with groups according to the treatment process
599 (TP1, TP2 and TP3). TP1: prechlorination with conventional treatment process, TP2:
600 advanced treatment process with pre-ozonation, inter-chlorination, filtration and GAC,

601 TP3: conventional process using potassium permanganate preoxidation and
602 chlorination. The prediction results from the different models TTHM were compared
603 with measured values. The comparative statistical analysis was performed using SE, AV
604 and R^2 . The predictive models evaluated are shown in Table 7 and the comparative
605 results obtained, in Table 8.

606 Of seventeen predictive models evaluated, seven models obtained good
607 prediction capability of TTHM with only one treatment process, specially the
608 conventional process with prechlorination (TP1), however high errors were obtained
609 with other treatment processes. Among them the models M11, M7, M3, M2 and M13
610 obtained small prediction errors, M14 and M4 obtained moderate errors. Also, other
611 predictive models developed in WDS with conventional treatment processes, high
612 concentrations of organic matter in water source and significant seasonal variations
613 (Kumari and Gupta 2015), showed good predictive capacity for the conventional
614 process TP1

615 Seven models (M5, M15, M16, M8, M10, M6 and M9) satisfy the boundary
616 conditions with two treatment processes simultaneously, obtaining acceptable
617 predictions for TTHM with the advanced treatment process TP2 and the conventional
618 TP1. The M10 model obtained the best result with the conventional pre-chlorination
619 process, and acceptable values with the conventional process with permanganate. The
620 M12 model obtained low predictive capacity with the three treatment processes studied.

621 Of the seventeen models studied only two (M1 and M17) provided acceptable
622 results in TTHM prediction levels for any treatment process. The M17 obtained the best
623 overall results of predictive capacity in the three treatment models studied (SE: 18.66
624 AV: 27.36 and R^2 : 0.86). The prediction results with M1 model are also good but with
625 relatively high errors.

626 The new model developed in this work provides clearly the best results, with
627 good individual predictive capability in each treatment process (SE: 7.97 6.37 and
628 11.51%, AV: 4.99%, 12.13%, 25.06% in TP1, TP2 and TP3 respectively) and overall
629 good predictive capability (SE: 8.88, AV 16.63% and R^2 : 0.94).

630 According to the results obtained, it was verified that most of the models are
631 specific in their application and no satisfy the boundary conditions of all the treatment
632 processes. Therefore, most of these models cannot be applied globally. The results
633 suggest the need to develop predictive models of DBPs from databases that include
634 different treatment scenarios, obtaining a wide range of application.

635

636 **Conclusions**

637 In this paper a predictive model of trihalomethanes formation in two stages
638 (WTP and DS) was developed, which gets good predictive capability for a wide range
639 of scenarios of water treatments and distribution systems. The two-stage model
640 developed predicts with low error, the formation of TTHM in treatment process and
641 water distribution system from quality and operational variables. The model developed
642 links for the first time the formation of trihalomethanes in the distribution system with
643 the effectiveness of the treatment process applied in the plant. Thus, the model can be
644 used as a useful preventive tool for process treatment control, alerting about setting
645 requirements that prevent high levels TTHM in drinking water.

646 The new predictive model includes two direct explanatory variables: pH and ion
647 bromide (Br^-) and two composite variables R_{EF} and R_{DS} associated with the disinfection
648 reaction in WTP and DS respectively. Both composite variables were calculated as the
649 product of other direct variables: organic matter (UV_{254}), contact time (t_{EF} and t_{DS}),
650 chlorine dose (d and D) and temperature (T and T_{DS}).

651 In this work it has been verified that the treatment processes applied in the WTP
652 have a high influence on the predictive capability of TTHM in the distribution system.
653 It was shown that an efficient oxidation treatment in the WTP contributes to reduce the
654 range of TTHM values in the distribution system, thus reducing the effect of seasonal
655 and spatial variation, achieving a higher stability of supply water quality. This result
656 underscores the importance of considering the effect of the treatment process on the
657 development of predictive models of DBPs, using databases that include different
658 treatment scenarios.

659 The strategy of development of two-stage DBP predictive models using data
660 from different treatment processes can contribute to improving the adaptability of future
661 developments of models to different boundary conditions and to increase its range of
662 application.

663

664 **Acknowledgements**

665 The authors wish to express their appreciation to the technicians and managers of
666 the public enterprise GIAHSA (Gestión Integral del Agua de Huelva S.A.) for their help
667 and cooperation in facilitating the collection of water samples and operating data during
668 this real scale study. This work was supported by the project CTM2015-67902-C2-1-P
669 from the Spanish Ministry of Economy and Competitiveness (MINECO), and by the
670 project P12-FQM-0442 from the Regional Ministry of Economy, Innovation, Science
671 and Employment (Andalusian Government, Spain). Finally, authors are grateful to
672 FEDER (European Community) for financial support, Grants UNHU13-1E-1611 and
673 UNHU15-CE-3140.

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Table 1. Description of water distribution systems

Water utility	Water (m ³ /d)	Raw Water Source	Treatment processes	Distribution system.	
Alj WTP	47,500	Surface Chanza Reservoir	Pre-KMnO ₄ , CFS, SF, GAC, NaClO 15Jun-15Sep: Pre-O ₃ , CFS, SF, CAG, NaClO	San Bartolomé DS1	D: 3.8 mg L ⁻¹ Cl t _{DS} : 20.4 h
Lep WTP	86,400	Surface Chanza Reservoir	Pre-KMnO ₄ , CFS, SF, GAC, NaClO 15Jun-15Sep: Pre-O ₃ , CFS, SF, CAG, NaClO	Ayamonte DS2	D: 5.1 mg L ⁻¹ Cl t _{DS} : 36.97 h
Rti WTP	8,640	Surface Jarrama Reserv.	Pre-KMnO ₄ , CFS, SF, GAC, NaClO	Fuente Corcha DS3	D: 2.9 mg L ⁻¹ Cl t _{DS} : 38.7 h
Lpa WTP	17,280	Surface CorumbelReserv	Pre-O ₃ , CFS, SF, GAC, NaClO	Niebla DS4	D: 4.7 mg L ⁻¹ Cl t _{DS} : 62.9 h

Pre-KMnO₄: Preoxidation with potassium permanganate; Pre-O₃: Preoxidation with ozone. CFS: Coagulation + Flocculation + Sedimentation; SF: sand filters; GAC: Filtration with Granular Activated Carbon. NaClO: Disinfection with sodium hypochlorite.

DS1, DS2, DS3 and DS4: Sample points of San Bartolome, Ayamonte, Fuente Corcha and Niebla reservoirs

D: accumulate dose of chlorine (chlorine dose in WTP + chlorine dose in rechlorinations).

t_{DS}: contact time from finished water to sample point of the distribution system.

Pre-KMnO₄: preoxidation with potassium permanganate; CFS: Coagulation, Flocculation, Sedimentation; SF: Sand Filters; GAC: Granular Activated Carbon Filters; NaClO: Disinfection with sodium hypochlorite.

Table 2. Occurrence TTHMs formation. Seasonal variation

	Alj WDS		Lep WDS		Rti WDS		Lpa WDS	
	Alj WTP	DS1	LepWTP	DS2	Rti WTP	DS3	Lpa WTP	DS4
N	52	45	45	45	40	33	47	40
Total	34.6(12.0)	38.2(7.5)	35.1(8.4)	44.1(11.6)	34.0(12.9)	45.6(16.4)	35.3(11.5)	39.9(13.8)
Aut/Win	28.2(7.7)	34.1(4.9)	29.8(5.4)	42.6(9.1)	28.4(6.4)	39.0(19.4)	33.3(9.9)	36.5(14.1)
Spring	36.3(5.7)	39.2(2.7)	36.3(5.9)	43.9(12.6)	32.4(9.1)	46.5(15.7)	35.2(12.4)	39.4(13.6)
Summer	39.9(14.4)	41.8(9.3)	39.9(9.9)	46.3(14.9)	39.9(9.9)	50.3(14.3)	38.0(13.1)	44.2(13.4)

Average concentration of TTHM ($\mu\text{g L}^{-1}$)

DS1: San Bartolomé Reservoir; DS2: Ayamonte Reservoir; DS3: Fuente la Corcha Reservoir; DS4: Niebla Reservoir.

Standard deviation in parentheses.

Table 3. Pearson correlation matrix. Explanatory variables

Stage 1	TTHM _{Ef}		TTHM _{Ef}		Stage 2	TTHM _{DS}		TTHM _{DS}			
T	<i>r</i>	0.162	SUVA	<i>r</i>	0.094	TTHM _{Ef}	<i>r</i>	0.987	<i>R</i> _{DS}	<i>r</i>	0.749
	<i>p</i>	0.520		<i>p</i>	0.711		<i>p</i>	0.000		<i>p</i>	0.000
pH _{Ef}	<i>r</i>	0.562	d / COD	<i>r</i>	0.414	T _{DS}	<i>r</i>	0.097			
	<i>p</i>	0.015		<i>p</i>	0.050		<i>p</i>	0.701			
COD	<i>r</i>	0.102	δ	<i>r</i>	-0.861	pH _{DS}	<i>r</i>	0.687			
	<i>p</i>	0.687		<i>p</i>	0.000		<i>p</i>	0.002			
UV ₂₅₄	<i>r</i>	0.140	$\delta \times T_{Ef}$	<i>r</i>	-0.713	t _{DS}	<i>r</i>	0.020			
	<i>p</i>	0.576		<i>p</i>	0.001		<i>p</i>	0.965			
t _{Ef}	<i>r</i>	0.951	d - Cl ₂	<i>r</i>	0.748	D	<i>r</i>	0.881			
	<i>p</i>	0.000		<i>p</i>	0.000		<i>p</i>	0.000			
d	<i>r</i>	0.748	UV ₂₅₄ × δ × T _{Ef}	<i>r</i>	-0.611						
	<i>p</i>	0.000		<i>p</i>	0.007						
Br ⁻	<i>r</i>	0.757	<i>R</i> _{Ef}	<i>r</i>	0.965						
	<i>p</i>	0.000		<i>p</i>	0.004						
			d-Cl ₂ tT/UV ₂₅₄	<i>r</i>	0.925						
				<i>p</i>	0.000						

Table 4. Model options

Var	R ²	R ² adj	Cp Mallows	SE	pH _{Ef}	d	t _{Ef}	T _{Ef}	Br ⁻	UV ₂₅₄	<i>R</i> _{Ef}	δ	δT
A	2	0.935	0.927	5.3	8.70	x					x		
B	3	0.948	0.936	4.0	8.67	x			x		x		
C	5	0.962	0.945	5.2	9.10	x	x	x	x	x			
D	6	0.962	0.942	7	9.43	x	x	x	x	x			
E	3	0.878	0.852	4.0	14.9	x			x			x	
G	3	0.789	0.744	4.0	19.7	x			x				x

R², R² adj: correlation coefficient model and adjusted; SE: standard error; t_{Ef}: contact time; T_{Ef}: temperature; d: chlorine dose; *R*_{Ef} = d × t_{Ef} × T_{Ef} × UV₂₅₄; δ = (d - Cl₂) / t_{Ef}

Table 5. Statistical evaluation of TTHM models

Stage 1. Predictive Model of TTHM formation in WTP	N	F-test	T-test	R ²	R ² adj	SE	AV	Fvalue	Durbin Watson
$TTHM_{Ef} = 98.5 - 10.3 pH_{Ef} + 6.90 R_{Ef}$	33	0.89	0.98	0.935	0.927	8.70	13.9		
$TTHM_{Ef} = 1230.26 (pH_{Ef})^{-1.84} (R_{Ef})^{0.470}$	33	0.62	0.88	0.894	0.880	9.48	15.5		
$TTHM_{Ef} = 165 - 21.3 pH_{Ef} + 0.232 Br^- + 5.84 R_{Ef}$	33	0.91	0.99	0.948	0.936	8.67	13.6	126.9	1.74
$TTHM_{Ef} = 12022.64 (pH_{Ef})^{-3.6} (Br^-)^{0.299} (R_{Ef})^{0.389}$	33	0.67	0.89	0.914	0.896	9.08	14.3		
Stage 2. Predictive Model of TTHM formation in DS									
$TTHM_{DS} = 14.9 + 1.01 THM_{Ef} + 0.20 pH_{DS} - 0.104 R_{DS}$	33	0.87	0.90	0.976	0.971	6.08	9.9	122.7	1.62
$TTHM_{DS} = 4.1115 (THM_{Ef})^{0.914} (pH_{DS})^{-0.13} (R_{DS})^{-0.147}$	33	0.86	0.87	0.948	0.936	6.47	10.6		

$R_{Ef} = (d \times t \times T \times UV_{254})$; $R_{DS} = (D \times T_{DS} \times t_{DS} \times UV_{254})$

Table 6. Model validation and application

	N	R ²	R ² adjust	SE	AV	AVmax	t _{value}	t _{critical}
Two-Stage Model VALIDATION								
Alj WTP	35	0.912	0.91	2.57	7.38	17.0	0.72	2.032
Alj DS	35	0.874	0.87	3.00	7.22	12.1	0.48	2.032
Two-Stage Model APPLICATION								
Lep WTP	27	0.965	0.963	2.98	7.68	15.8	0.53	2.056
Lep DS	27	0.884	0.879	3.67	7.05	14.0	0.65	2.056
Rti WTP	28	0.964	0.963	3.17	6.67	18.3	0.78	2.052
Rti DS	28	0.954	0.952	4.02	7.27	16.0	0.57	2.052
Lpa WTP	38	0.918	0.916	3.76	8.91	26.6	0.49	2.021
Lpa DS	38	0.825	0.820	4.94	9.80	26.6	0.90	2.042
GLOBAL WTP	128	0.940	0.939	3.19	7.79	26.6	0.49	1.980
GLOBAL DS	123	0.874	0.873	4.05	8.30	26.6	0.56	1.980

849 **Table 7.** Predictive models TTHMs evaluated

N°	Model (TTHM)	Treatment process.	Ref
M1	$TTHM = 10^{0.518} (COD)^{0.801} (Cl_2)^{0.261} (Br^-)^{0.223} (t)^{0.264}$		(Amy et al. 1998)
M2	$TTHM = 10^{-1.385} (COD)^{1.098} (Cl_2)^{0.152} (Br^-)^{0.068} (T)^{0.609} (pH)^{1.601} (t)^{0.263}$	(quality variables: raw water)	(Amy et al. 1998)
M3	$TTHM = 0.044 (COD)^{1.030} (t)^{0.262} (pH)^{1.149} (Cl_2)^{0.277} (T)^{0.968}$		(Rodriguez et al. 2000)
M4	$TTHM = 16.9 + 16.0 (TOC) + 3.319(Cl_2) - 1.135(T) + 1.139(t)$	Charlesbourg	(Sérodes et al. 2003)
M5	$TTHM = 21.2 + 2.447(Cl_2) + 0.499(t)$	Sainte Foy	(Sérodes et al. 2003)
M6	$TTHM = 0.012 (COD_{UV_{254}})^{0.47} (D)^{0.48} (T)^{1.10} (pH)^{2.38} (t)^{0.35}$		(Sohn et al. 2004)
M7	$TTHM = 11.967 (TOC)^{0.398} (T)^{0.158} (Cl_2)^{0.702}$	Istanbul. Buyukcekmece WTP: Aer., pre-Cl ₂ , CFS-SF Dis.	(Toroz and Uyak 2005)
M8	$TTHM = 10^{-0.038} (Cl_2)^{0.654} (pH)^{1.322} (t)^{0.174} (SUVA)^{0.712}$	Istanbul Turkey: Terkos WTP: Conv.Proc.; pre-Cl ₂ Buyukcekmece WTP: Conv.Proc.; pre-Cl ₂ ; Omerli WTP: Conv.Proc; pre-O ₃ .	(Uyak et al. 2007)
M9	$TTHM = 10^{-1.375} (t)^{0.258} (D/DOC)^{0.194} (pH)^{1.695} (T)^{0.507} (Br^-)^{0.218}$	Chlorination of the water from Dongjiang River (source water for Hong Kong's drinking water)	(Hong et al. 2007)
M10	$TTHM^2 = -471.11 + 0.48(t)^2 + 1856.07(Br^-)^2 + 404.38(D)^2$		(Semerjian et al. 2009)
M11	$TTHM = -51.408 + 8.449 (COD) + 13.529(Cl_2) + 2.997(pH) + 0.803(T) + 0.504(t) + 0.141(d-4.447)(T-15.03)$	Ontario Supply system.	(Chowdhury et al. 2010)
M12	$TTHM = e^{3.875} (TOC_{Ef} UV_{Ef})^{0.328} (Br+1)^{3.334} (Cl_2)^{0.151} t^{0.314}$	Mills WTP. Adj pH, pre O ₃ , CFS, SF, D. Disinfection: Cl ₂ NH ₃	(Lu et al. 2011)
M13	$TTHM = 1.58 (UV_{254} TOC)^{0.38} (Cl_2)^{1.14} (t)^{0.6} T^{0.5} (pH-2.6)^{0.96} Br^{0.6}$	Siouf Water Treatment Plant (WTP); Alejandría. Conventional process.	(Abdullah and Hussona 2013)
M14	$TTHM = 0.0072 pH^{2.60} T^{0.396} t^{0.475} TOC^{1.397}$	New York. Filtration Avoidance Determination garanted by the New York State Department of Health and the USEPA	(Mukundan and Van Dreason 2014)
M15	$TTHM = 33.436(pH)^{0.062} (T)^{0.069} (RCl_2)^{-0.048} (t/60)^{0.018} (TOC)^{0.079} (UV_{254})^{0.045}$	WTP: Maithon, Dhanbad, Raniganj, Barrackpore, and Ranchi. Conv.Proc;pre-Cl ₂	(Kumari and Gupta 2015)
M16	$TTHM = 4,527(t*60)^{0.127} (Cl_2)^{0.595} (TOC)^{0.596} (Br/1000)^{0.103} (pH)^{0.66}$	Zai water treatment plant supplies water to west Amman,	(Semerjian et al. 2009)
M17	$TTHM = 10^{-6.777} (TOC)^{1.171} (pH)^{4.469} (Cl_2)^{1.765}$	Tampin WTP: Conv.Proc.; CFS SF Dis	(Abdullah et al. 2003)

850 **Table 8.** Predictive capability of models according to treatment processes

		M1	M2	M3	M4	M5	M6	M7	M8	M9	M10	M11	M12	M13	M14	M15	M16	M17	<i>o.m.</i>
TP1	SE	20.54	11.09	7.85	22.03	69.63	10.15	7.25	29.59	77.38	2.69	7.21	29.88	87.88	17.83	77.46	33.50	23.52	7.97
	AV	11.98	7.63	4.43	12.91	49.24	16.83	4.04	20.62	54.60	1.63	4.28	16.45	59.17	10.38	54.77	22.80	15.44	4.99
	R ²	0.44	0.45	0.82	0.80	0.46	0.79	0.89	0.369	0.558	0.98	0.98	0.33	0.13	0.44	0.23	0.49	0.83	0.82
	Min (cal)	95.2	101.1	100.3	90.6	47.6	90.9	103.7	85.2	35.8	108.8	103.4	122.3	164.1	115.1	40.0	75.0	78.2	101.8
	Max(cal)	117.5	124.4	130.9	112.6	49.1	122.8	118.2	91.4	51.0	125.2	118.6	165.2	241.4	143.4	41.4	109.5	126.0	123.3
	Differ.	-27 to	-14 to	-3 to	-30 to	-63 to	-10	-11	-30	-70	-2 to	-9 to	-5 to	33 to	-8 to	-68	-40	-24	-5 to
	Range %	5	11	15	5	-53	to10	to 0	to 18	to 61	-5	0	30	116	28	to 61	to-27	to-3	10 %
TP2	SE	22.00	29.89	37.98	38.75	7.05	25.10	36.15	14.50	14.73	42.65	36.62	40.57	48.81	37.05	11.22	10.33	8.09	6.37
	AV	44.52	54.51	65.27	78.24	11.26	20.52	74.64	27.91	29.66	88.81	75.67	83.20	86.26	70.44	19.30	18.62	15.69	12.13
	R ²	0.40	0.48	0.59	0.50	0.62	0.33	0.30	0.40	0.38	0.38	0.49	0.10	0.58	0.20	0.55	0.67	0.49	0.76
	Min (cal)	58.5	54	50.7	72.7	43.2	36.0	74.0	55.1	88.3	89.2	77.05	72.4	58.2	60.5	37.4	30.4	35.0	41.5
	Max(cal)	73.6	92.7	107.6	97.1	46.9	91.0	92.3	67.6	96.2	96.2	92.6	95.3	127.4	105.1	40.0	64.0	52.8	58.4
	Differ..	23 to	13 to	12 to	32 to	-15 to	-18	55	15 to	-43	62 to	62 to	52 to	22 to	33 to	-28	-36	-27	-3 to
	Range %	79	88 %	96 %	139 %	12 %	to 66	to109	66 %	to-33	119	109	134	132	132	to -3	to 17	to -4	35 %
TP3	SE	26.77	45.98	54.0	54.43	10.11	35.23	30.84	17.04	22.09	18.75	30.72	60.81	11.82	72.871	10.01	15.06	20.63	11.51
	AV	57.24	102.08	120.65	122.83	17.84	42.83	69.25	35.91	46.61	42.00	68.63	133.19	19.35	159.78	17.38	27.58	40.15	25.06
	R ²	0.73	0.54	0.17	0.71	0.59	0.31	0.10	0.59	0.51	0.75	0.21	0.63	0.41	0.43	0.87	0.30	0.47	0.77
	Min (cal)	55.5	70.4	83.9	77.5	39.8	18.1	69.9	48.8	59.3	16.2	67.4	76.6	27.2	86.1	40.4	37.8	22.2	28.4
	Max(cal)	80.1	104.2	111.5	120.8	43.1	29.1	82.8	65.8	70.6	31.3	79.9	129.4	47.8	149.9	43.5	67.3	31.2	46.4
	Differ.	-6 to	37 to	62 to	32 to	-24 to	-53	29 to	-9 to	-53	-69	27 to	30 to	-42	101 to	-31	-3 to	-49	-7 to
	Range %	100	162 %	194 %	216 %	11	to 24	96 %	65 %	to-33	to-22	101	224	to18	275	to14	77	to-29	29 %
TOT	SE	23.26	32.30	38.39	41.52	40.82	20.36	27.75	21.42	47.23	26.95	27.21	45.59	58,44	48.31	45,56	22.03	18,66	8,88
	AV	44.17	67.95	80.93	86.37	20.41	30.03	61.86	30.01	42.08	56.28	62.11	98.29	58.70	102.08	24.70	23,81	27,36	16.63
	R ²	0.68	0.46	0.38	0.12	0.59	0.88	0.41	0.80	0.52	0.60	0.86	0.42	0.83	0.13	0.40	0.65	0.86	0.94

851 TTHM measured (Min – Max): TP1: (103.29 - 125.19); TP2: (40.7 - 55); TP3: (26.9 - 58.8).

852 TP1: Conventional treatment process: Pre-Cl₂, CFS, SF, Disinfection Cl₂.

853 TP2: Advanced treatment process: Pre-O₃, CFS, SF, GAC, Disinfection Cl₂

854 TP3: Conventional treatment process: Pre-KMnO₄, CFS, SF, GAC Disinfection Cl₂.

855 **List of Figure Captions**

856 Figure 1. Study zone

857 Figure 2. Model scheme

858 Figure 3. Effect of ozonation

859 Figure 4. Model validation. Aljaraque WTP and DS.

860 Figure 5. Model application in three WDS.

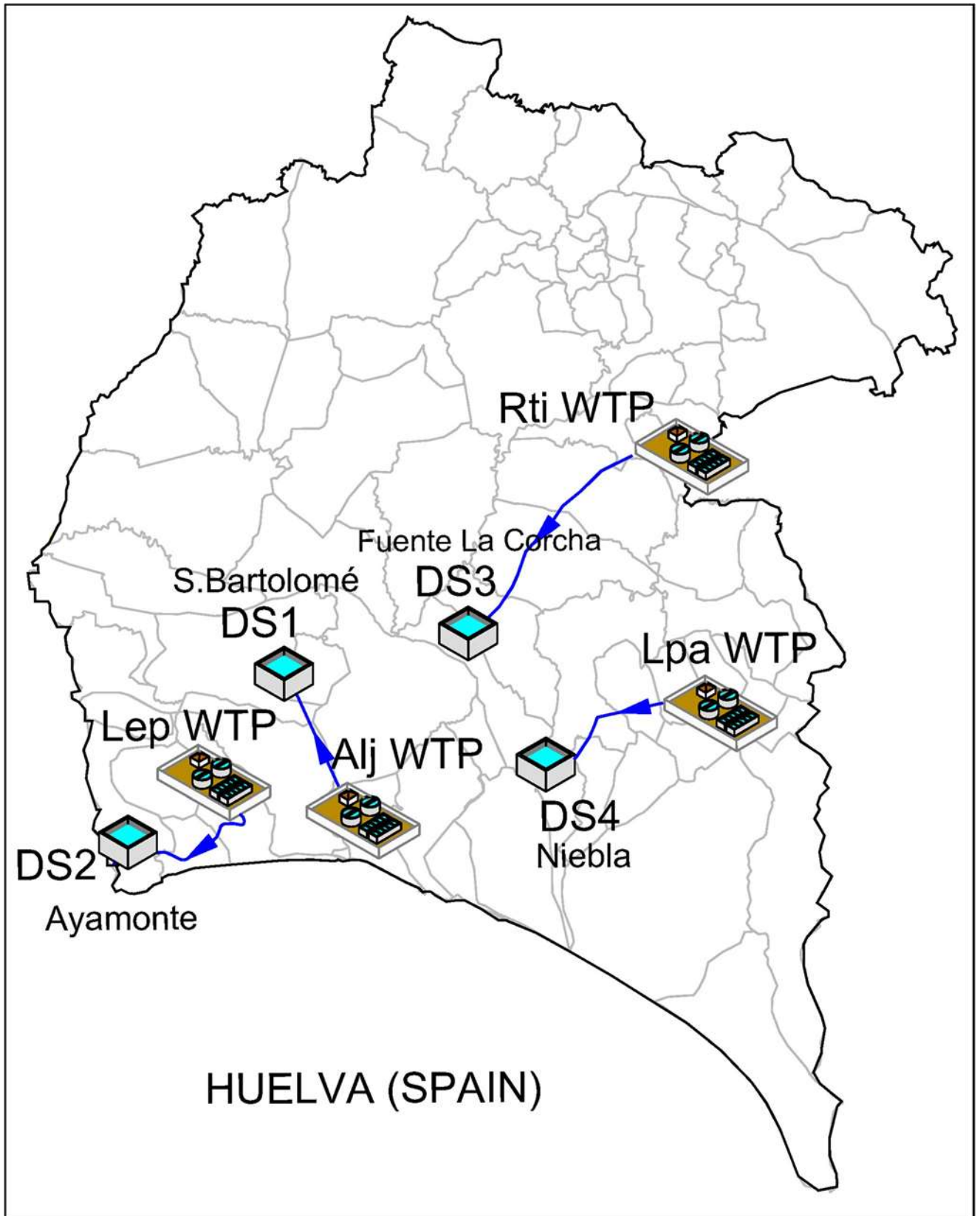
861 Figure 6. Global predictive model TTHM

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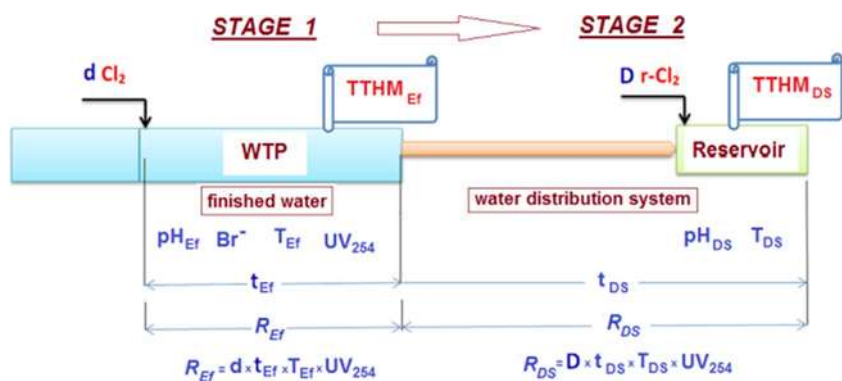
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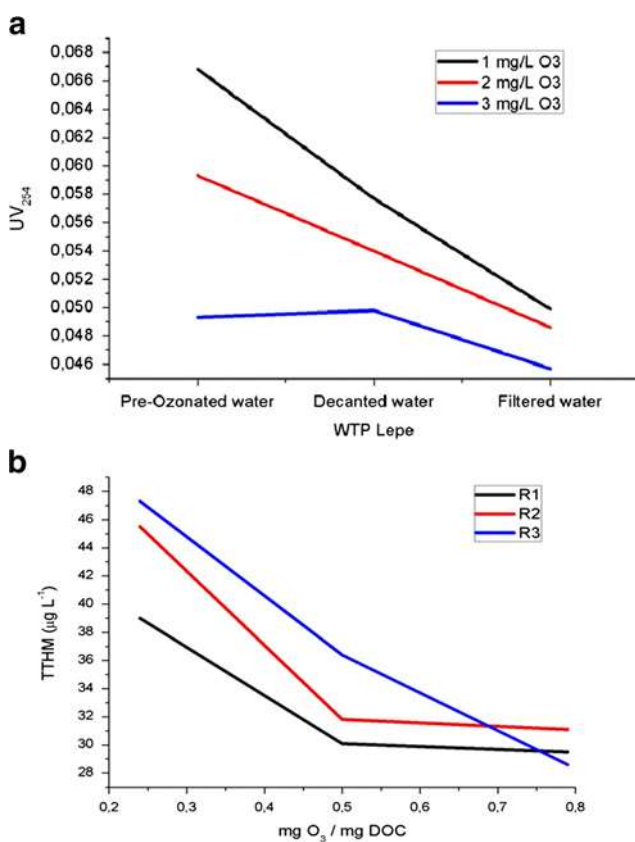
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Figure 1. Study zone



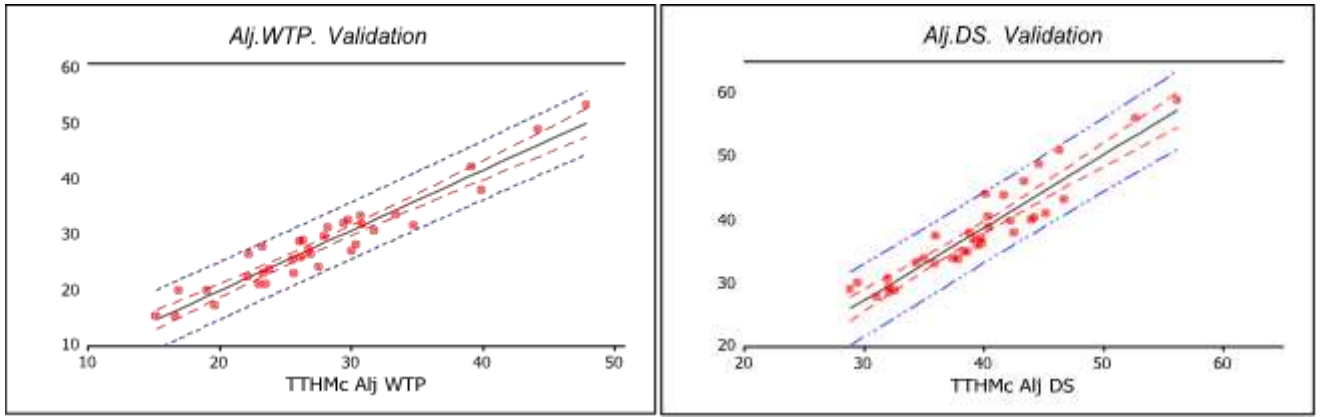
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Figure 2. Model scheme



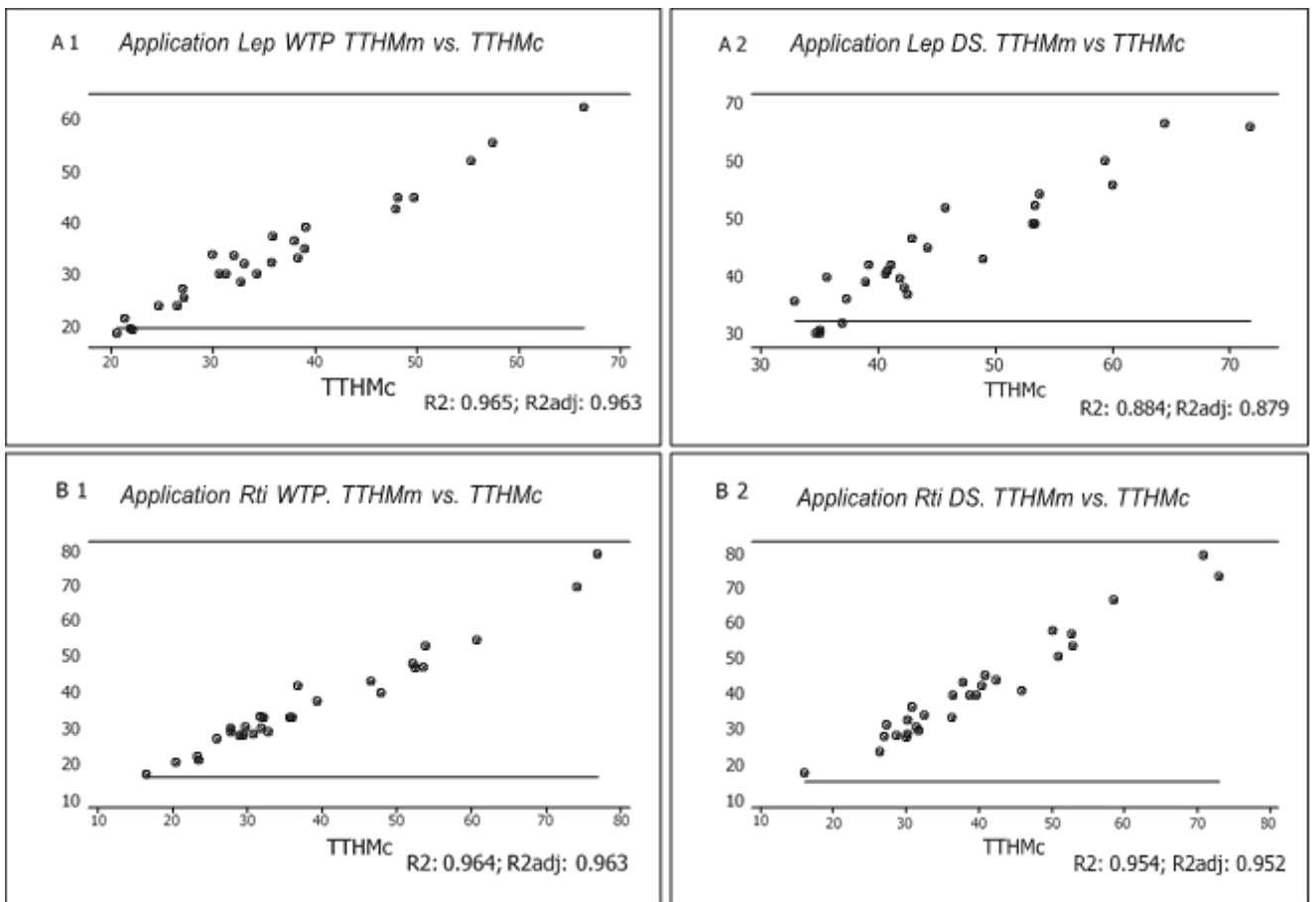
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Fig. 3 Effect of ozonation



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Fig. 4 Model validation. Aljaraque WTP and DS



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Figure 5. Model application in three WDSs

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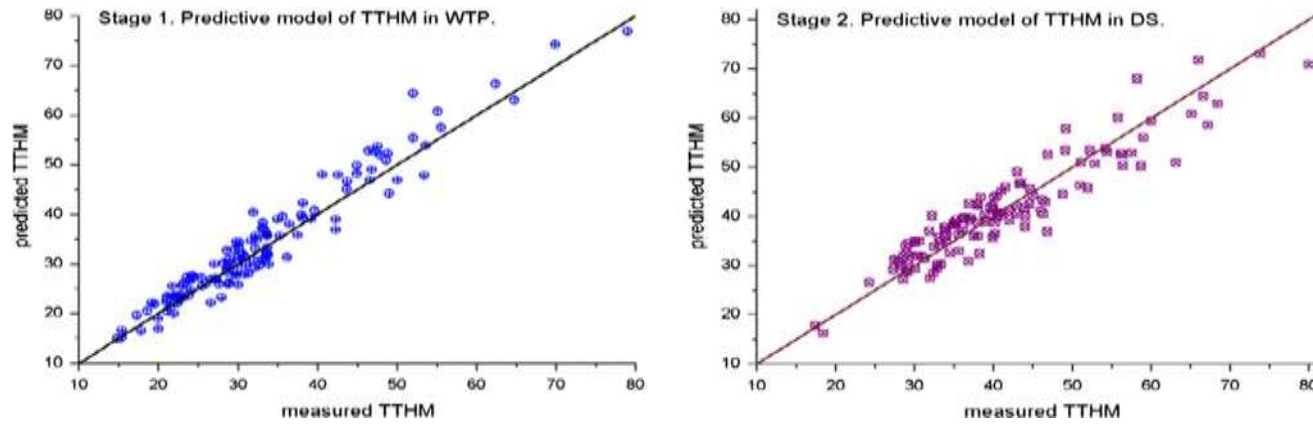


Fig. 6 Global predictive model. TTHM