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**Development of protein-based bioplastics with antimicrobial activity by thermo-mechanical processing**

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19 **ABSTRACT**

20 This study focuses on the development of new bio-active protein-based bioplastics  
21 through a thermo-mechanical processing, which involves a first compounding step  
22 followed by compression-moulding of the resulting material into the desired shape. Two  
23 types of proteins, wheat gluten and egg-white albumen, and two different bioactive  
24 agents, formic acid and oregano essential oil, were selected. The effect of biocide addition  
25 on the material rheological response, its antimicrobial activity and biocide release  
26 behaviour have been assessed. Rheological tests demonstrated that formulation and  
27 processing may exert a notable effect on the material linear viscoelasticity. Kirby-Bauer  
28 tests carried out on four selected types of microorganisms revealed that oregano essential  
29 oil into a wheat gluten-based matrix may be suitable for applications where the active  
30 agent must be progressively delivered (for up to 7 days). Moreover, this biocide can  
31 inhibit microorganisms' growth even if the bioplastic is not in direct contact with the  
32 substrate.

33

34

35 *Keywords:*

36 Bioplastic

37 Biocide

38 Protein

39 Controlled-release

40 Rheology

41

## 42 **1. Introduction**

43 Replacement of synthetic polymers with new biodegradable materials is becoming an  
44 important challenge nowadays. The growing demand of petroleum along with the  
45 political circumstances in many of the most important producer countries have increased  
46 its price far away from those of previous decades (Shawkat and Huimin, 2004).  
47 Biopolymers, derived from agricultural sources (Irissin-Mangata et al., 2001; De Graaf,  
48 2000), seem to be a promising alternative. Different vegetable (corn, wheat gluten, soy,  
49 etc.) and animal (milk, albumen, collagen, gelatin, etc.) proteins have been used to  
50 manufacture bioplastics (Jerez et al., 2007a, 2007b; Pommet et al., 2003). Protein-based  
51 biomaterials may be an efficient way to produce biodegradable materials with a large  
52 range of functional properties. These applications include packaging, matrix for enzyme  
53 immobilization or controlled-release, etc. (Yu and Min, 2006; Suda et al., 2000).

54 A protein-based material could be defined as a stable three-dimensional macromolecular  
55 network stabilized and strengthened by hydrogen bonds, hydrophobic interactions and  
56 disulfide bonds (Pommet et al., 2003). However, as proteins themselves do not have  
57 sufficient plasticity to be handled, a plasticiser is required. Plasticisers are molecules with  
58 low molecular weight and volatility, which modify the three-dimensional structure of  
59 proteins by reducing the intermolecular forces and increasing the polymer chains mobility  
60 (Gennadios, 2002). Moreover, a plasticiser may reduce the bioplastic glass transition  
61 temperature (Pouplin et al., 1999; Irissin-Mangata et al., 2001; Matveev et al., 2000).

62 Plasticizer incorporation into the protein matrix can be performed by following two  
63 different methods: a) physico-chemical or “casting” method, using a chemical reactant to  
64 disrupt disulfide bonds (Gontard et al., 1993); and b) thermoplastic processing, which  
65 consists of mixing proteins and plasticizer by a combination of heat and shear  
66 (Attenburrow et al., 1999; Hernandez-Izquierdo et al., 2008) and, depending on protein,

67 of an additional stage involving further thermo-mechanical treatments (e.g. compression  
68 moulding) is required to achieve a suitable material (Song and Zheng, 2008; Min et al.,  
69 2008).

70 Studies on active packaging have been largely developed in the last recent years.  
71 Quintavalla and Vicini (2002) defined an active packaging material as “a type of  
72 packaging that changes the condition of the packaging to extend shelf-life or improve  
73 safety or sensory properties while maintaining the quality of the food”. Principal active  
74 packaging systems involve oxygen scavenging, moisture absorption, carbon dioxide or  
75 ethanol generation and, finally, antimicrobials (Coma, 2008). Antimicrobial packaging  
76 reduces, inhibits, or retards the growth of pathogen microorganisms in packed foods  
77 (Vermeiren et al., 1999) through: a) volatile and non-volatile antimicrobial agents  
78 incorporated into polymers; b) coating or adsorbing antimicrobials onto polymer surfaces  
79 (Appendini and Hotchkiss, 2002). A variety of compounds, including organic acids,  
80 enzymes such as lysozyme, natural antimicrobials such as spices, etc. have been proposed  
81 for active food packaging (Tharanathan, 2003; Weng and Hotchkiss, 1992). However, the  
82 essential oils, which contain high concentrations of phenolic compounds such as  
83 carvacrol, eugenol and thymol, show the strongest antibacterial activity against foodborne  
84 pathogens (Burt, 2004; Lamber et al., 2001). Their antimicrobial properties have been  
85 demonstrated in numerous studies (Avila-Sosa et al., 2010; Emiroglu et al., 2010; Seydim  
86 and Sarikus, 2006). In addition, various organic acids and their salts (formic acid,  
87 sorbates, benzoates and propionates) are used to inhibit the microbial growths (Over et  
88 al., 2009; Ricke, 2003) and to increase the shelf-life of fresh dough together with cooling,  
89 because these products are packaged without thermal treatment.

90 With the aim of finding novel biomaterials with antimicrobial activity, the present work  
91 studies the thermo-rheological response, biocide release behaviour and the inhibitory

92 effects against four selected pathogens of protein-based bioplastics in which two types of  
93 active agents (formic acid and oregano essential oil) were incorporated. Selected proteins  
94 (wheat gluten or egg-white albumen) would also allow for taking advantage of the low  
95 solubility and high swelling in water of the resulting bioplastics in order to develop  
96 controlled-release matrix for bioactive agents. In this regard, previous works on bioplastic  
97 from these proteins have shown enhanced water resistance (protein solubility below 5%)  
98 and high water absorption capability (up to 300%) depending on material formulation and  
99 processing (Jerez et al., 2007b; Zarate-Ramírez et al., 2011).

100

## 101 **2. Materials and methods**

### 102 **2.1. Materials**

103 Two proteins from different sources were used in this research: a) wheat gluten (WG),  
104 provided by RIBA S.A. (Spain), with 83 wt.% protein; b) egg white (EW) albumen,  
105 supplied by OVOSEC S.A. (Spain), with 73 wt.% protein. Glycerol (G), from Panreac  
106 Química, S.A. (Spain), was used as protein plasticiser. On the other hand, formic acid  
107 (FA) and oregano essential oil (OEO), from Panreac Química, S.A. (Spain) and  
108 Destilerías Muñoz Gálvez, S.A. (Spain), respectively, were used as antimicrobials. Both  
109 oregano essential oil and formic acid (in packaging) are listed as generally recognized as  
110 safe (GRAS) by the Food and Drug Administration. In addition, their uses as food  
111 additives and in packaging are regulated by EU (EC-No 1333/2008 and EC-No  
112 1935/2004 regulations).

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### 115 **2.2. Samples preparation**

116 Bioplastics compounding was performed in a Polylab torque-rheometer equipped with a  
117 Rheomix 3000p kneading tool (Thermo-Haake GmbH, Germany). This device allowed  
118 evolution of mixing temperature and torque to be monitored. Neither heating nor cooling  
119 was supplied to the kneading chamber (filled to 85% of its full capacity) during  
120 compounding. The procedure consisted in mixing protein (fine powder) with both biocide  
121 and plasticiser (liquids), by means of two rollers counter-rotating at 50 rpm. The mixing  
122 time applied, which depended on the type of protein, varied between 10 and 30 min.  
123 Subsequently, the resulting dough-like biomaterials were compression-moulded into  
124 rectangular specimens (50 mm length, 10 mm width and 3 mm thick) by applying, a gauge  
125 pressure of 100 bar, for 10 min, at two selected temperatures of 90 and 120°C (Jerez et  
126 al., 2005a, 2005b). Finally, specimens were allowed to cool down to room temperature  
127 inside the hot-plates press before removing from the mould. Afterwards, bioplastics were  
128 stored at 53% relative humidity (RH) before testing.

129 All the formulations studied present a 67 wt.% protein and 33% plasticizer+biocide blend,  
130 with the relative quantities of glycerol and biocide being adjusted so as to obtain a biocide  
131 content of 0, 5, 10 or 33 wt.% over the total weight of the bioplastic.

132

### 133 **2.3. Testing procedures**

134 Dynamic Mechanical Thermal Analysis (DMTA) tests were conducted on 50×10×3 mm<sup>3</sup>  
135 samples with a DMS 6100 (Seiko Instruments Inc., Japan) in double cantilever (bending)  
136 mode. In these tests, the storage modulus E' (elastic response) and loss modulus E''  
137 (viscous response) of polymers are measured as a function of temperature (or frequency)  
138 as the polymer is deformed under an oscillatory load (stress). The complex modulus can  
139 be calculated as,  $|E^*|^2 = |E'|^2 + |E''|^2$ , measured in tension or flexure. Temperature sweep

140 tests from 30 to 170 °C were carried out, within the linear viscoelasticity (LVE) region,  
141 at selected frequency and heating rate of 1 Hz and 2°C/min, respectively.

142 Pure biocides volatility was studied by means of thermogravimetric analysis (TGA). Tests  
143 were carried out in a Q-50 analyser (TA Instruments, USA), on 5-10 mg samples which  
144 were heated from 30 to 250 °C at 10 °C/min, in N<sub>2</sub> atmosphere.

145 The Kirby-Bauer test (Boyle et al., 1973) was used in order to determine the antimicrobial  
146 activity of the two biocides proposed (formic acid and oregano essential oil). Round paper  
147 disks soaked in pure biocide or its corresponding 10 vol.% aqueous solution were set on  
148 a solidified agar culture medium inoculated with a solution of a selected microorganism.

149 The four microorganisms studied, usually involved in food preservation and water  
150 pollution control processes, were: *Aspergillus niger* (fungus-mold) (wild strain), *Candida*  
151 *kefyr* (fungus-yeast) (wild strain), *Bacillus cereus* (bacteria gram-positive) CECT131 and  
152 *Escherichia coli* (bacteria gram-negative) CECT434. Antimicrobial test were carried out  
153 by disc diffusion method using 100 µl of bacterial suspension containing 2.0x10<sup>8</sup> CFU/ml  
154 in sterilized Petri dishes 90 mm in diameter. The paper discs (6mm in diameter) were  
155 impregnated with 20 µl of each biocide dilution. Antimicrobial activity was evaluated by  
156 measuring the zone of inhibition against the test microorganisms. The growth inhibition  
157 level exhibited by a selected microorganism for every different biocide tested can be  
158 quantitatively calculated by measuring the diameter of the inhibition zone, that is, the  
159 clear halo around the disk. Thus, K-B tests were carried at room temperature for 48 h,  
160 after which an average inhibition diameter was calculated for every combination of  
161 microorganism and biocide tested. The data were presented as mean ± standard deviation  
162 (SD) of three determinations. A probability value of p<0.05 was considered significant.

163 A similar procedure was followed for the active bioplastics prepared, but replacing the  
164 paper discs by a square piece (1x1 cm<sup>2</sup>) of that material. Alternatively, bioplastic

165 antimicrobial activity was tested by placing the specimen under the lid of the Petry dish,  
166 so that the material is not in contact with the inoculated agar. In that case, inhibition would  
167 arise from the development of an antimicrobial atmosphere. Experiments were carried  
168 out in triplicate.

169 The transport rate, from the bioplastic samples into water, of the two active agents studied  
170 was evaluated by immersion of 1 g bioplastic in 100 mL water and taking samples of  
171 water at 24, 48, 72 h, and finally after 1 week at room temperature. Formic acid release  
172 was determined by acid/base titration and, as for OEO release, concentration in water of  
173 phenolic compounds (thymol and carvacrol) contained in this biocide was determined by  
174 means of a colorimetric assay involving the Folin-Ciocalteu reagent (FCR), and expressed  
175 in gallic acid equivalent (mg/L).

176

### 177 **3. Results and discussion**

#### 178 **3.1. Biocides antimicrobial activity**

179 In order to guarantee biocides antimicrobial effectiveness on the microorganisms  
180 selected, the Kirby-Bauer test was firstly carried out on their pure or 10 vol.% aqueous  
181 solution samples. As previously mentioned, if bacteria are susceptible to a particular  
182 antimicrobial, an area of clearing called “inhibition zone” (where bacteria are not capable  
183 of growing) surrounding the paper disk soaked in the biocide arises. As an example,  
184 Figure 1a illustrates the effect of oregano essential oil, pure and diluted, on *Bacillus*  
185 *cereus*.

186 Table 1 presents the inhibition zone diameters corresponding to the different  
187 microorganisms studied for the two types of active agents. Biocides clearly demonstrate  
188 larger values of inhibition zone diameters, and so, a more effective antimicrobial activity,  
189 when they are used as pure substances. Thus, diameters always result notably reduced

190 when using a 10 vol.% aqueous solution. However, except for *Aspergillus niger*, a certain  
191 degree of antimicrobial activity is still appreciated after dilution. This fact reveals that,  
192 when dealing with *Candida kefyr*, *Bacillus cereus* or *Escherichia coli*, a 10 vol.% solution  
193 lies above the minimum inhibitory concentration (MIC), a parameter which marks the  
194 threshold above which no growth is observed. In addition, for diluted samples of biocides,  
195 *Bacillus cereus* and *Escherichia coli* are found to show the lowest resistance (largest  
196 diameters) to oregano essential oil. Hence, these microorganisms are expected to present  
197 the smallest oil MIC values. Similarly, *Bacillus cereus* would present the smallest acid  
198 MIC value. With respect to *Aspergillus niger*, an aqueous solution with a biocide content  
199 above 10 vol.% must be used for microbial growth to be inhibited. Thus, it seems to be  
200 the most resistant microorganism to both biocides, as no inhibition zones were detected  
201 in their corresponding tests with diluted solutions. This result would correlate with larger  
202 oil/acid MIC values for this specific type of bacteria.

203 In consequence, this procedure provides valuable information on the appropriate  
204 antimicrobial type and concentration to combat a particular microorganism, and will be  
205 further used in the formulation of the active protein-based bioplastics.

## 206 **3.2. Study on formic acid-containing bioplastics**

### 207 **3.2.1. Thermoplastic processing**

208 Mixing during the bioplastic compounding has proven to be a key factor in determining  
209 the final quality of the resulting biomaterial (Jerez et al., 2007a, 2007b). On these grounds,  
210 Figure 2 shows the evolution of mixing torque and temperature during the manufacture  
211 of formic acid-containing bioplastics with a constant plasticiser/protein ratio of 0.5. As  
212 previously explained, the relative quantities of glycerol and biocide were properly  
213 adjusted so as to obtain biocide contents of 0 (reference sample), 5 or 10 wt.% over the  
214 total weight of the bioplastic.

215 In relation with WG-based bioplastics, three different regions may clearly be  
216 distinguished in the reference (0 wt.% formic acid) sample: a) during the 15 first min,  
217 approximately, torque does not increase significantly, as this region stands for an  
218 induction period, which was seen to decrease as mixing speed was raised (not shown  
219 here); b) in the second region, which extends over a time interval of 5 min, torque  
220 undergoes an exponential increase up to a maximum value of about 40 N·m; c) torque  
221 finally decays, whilst seems to tend to a constant value. Analogously, three different  
222 zones have also been identified from the sigmoid function which relates temperature and  
223 time: a) a nearly isothermal step; b) a further exponential increase up to 65°C; c) a very  
224 slight increase in temperature, which corresponds to the final torque decay.

225 When formic acid is added to the WG-based formulations, no induction period is  
226 observed (see Figure 2). However, after a notable initial increase, both mixing torque and  
227 temperature evolve as the reference sample does and tend to a very similar constant value.  
228 Moreover, no significant differences are found when 5 and 10 wt.% samples are  
229 compared, although slightly higher mixing temperatures for the lowest acid concentration  
230 may be noticed.

231 Regarding the EW-based reference sample, low and nearly constant values of torque and  
232 temperature are observed over the 10 first minutes. In contrast, formic acid addition leads  
233 to an instantaneous increase in torque (up to more than 90 N·m) followed by a rapid  
234 decay, whilst temperature suddenly increases up to more than 100°C. This high value of  
235 temperature is due to the large heat flux dissipated by friction during blending of the high  
236 viscosity resulting material. However, if the reference sample processing is prolonged  
237 beyond 10 min, a powdery-like instead of a dough-like material is obtained. In the same  
238 way, acid addition did not allow a material with suitable features to be achieved. As a  
239 conclusion, excessive energy input or acid addition provokes important changes in this

240 globular protein microstructure, which may significantly increase its sensitivity to shear.  
241 Thus, the resulting material cannot be further transformed into a bioplastic by means of a  
242 thermo-mechanical treatment and will not be considered for study.

243 In any case, irrespectively of the type of protein used (EW or WG), FA exhibits  
244 an active role by promoting shear induced crosslinking effects. WG/GL and EW/GL  
245 blends initially exhibit native pH in absence of FA, which in both cases is close to the  
246 isoelectric point (pI). The isoelectric point of spray-dried egg albumen has been estimated  
247 to be close to pH 5.4 by z-potential tests (Riddick, 1968). As for wheat gluten the protein  
248 concentrate used in this work typically shows a pI around pH 6 (Bengoechea et al., 2006)

249 Under such conditions the average net charge of protein surfaces is not far from  
250 zero and random aggregation among protein segments is favoured. Somehow, FA seems  
251 to inhibit physical aggregation among protein segments. Thus, addition of FA provokes  
252 a reduction of pH that leads to a displacement from zero to positive net charge of protein  
253 surfaces, which in turn tends to inhibit random aggregation. As a result, more active sites  
254 exposed to the surrounding medium, thus being readily available for crosslinking. In such  
255 a case, torque and temperature mixing profiles suggest that the induction period is not  
256 required, either for WG or EW based systems, to carry out shear-induced disruption of  
257 protein aggregates previously to the development of crosslinking reactions.

258

### 259 **3.2.2. Thermo-mechanical behaviour**

260 Figure 3 shows the results of DMTA tests in double cantilever mode, over a temperature  
261 interval from 30 to 170°C, carried out on WG-based bioplastic specimens prepared by  
262 compression-moulding at 90°C.

263 For the reference sample,  $|E^*|$  decreases with increasing temperature up to 100°C,  
264 followed by a short temperature interval where  $|E^*|$  levels off. This rubbery-like plateau

265 extends from 100 up to 110°C. Finally, the flexural complex modulus starts increasing  
266 again, evidencing an apparent thermosetting potential even after compression-moulding.  
267 This outcome may most probably be the result of a part of the wheat gluten protein yet to  
268 denature after dough post-treatment at 90°C (Jerez et al., 2007a; González-Gutiérrez et  
269 al., 2010). In fact, as previously shown, this viscoelastic growth region becomes more  
270 apparent when the dough is processed at temperature lower than 90°C or dampens and  
271 eventually vanishes by processing at higher temperature (Zárate-Rámirez et al., 2011).  
272 Formic acid addition also seems to exert a clear and pronounced influence on broadening  
273 the temperature interval over which the rubbery-like plateau extends, and consequently,  
274 on decreasing the bioplastic temperature susceptibility. This plateau region has been  
275 extensively described in polymer rheology in terms of an entanglement network formed  
276 by the simple topological interaction of polymer chains. It arises at frequencies higher  
277 (timescale shorter) than the lifetime of the topological entanglements (Ferry, 1980).  
278 However, for these protein-based systems, it may be attributed to a situation that falls  
279 between a temporary entangled network and covalent crosslinking (Ross-Murphy, 1995),  
280 as hydrophobic interactions usually act not at a point on the chain as covalent crosslinks  
281 do, but involve more extended “junction zones”. This effect becomes more important  
282 with increasing acid content, as it may be increasing the number of sites available for  
283 interactions among chains, probably by inhibiting protein aggregation, as previously  
284 mentioned.

285 In order to compare the mechanical properties of these WG-based bioplastics with a  
286 standard synthetic polymer, DMTA results for a commercial LDPE have also been  
287 included in Figure 3. It can be seen that WG-based bioplastics with a plasticiser/protein  
288 ratio of 0.5 and compression-moulded at 90°C, show lower values of  $|E^*|$  over the  
289 temperature interval comprised between room temperature and 120°C. However, whilst

290 the bioplastics did not experience any melting event upon heating, LDPE complex  
291 modulus was seen to greatly decay with increasing temperature increases, with values  
292 rapidly going down as temperature approached its corresponding melting point (around  
293 120°C).

### 294 **3.2.3. Antimicrobial activity**

295 In an analogous manner to the biocides, the antimicrobial effectiveness of the active WG-  
296 based bioplastics containing formic acid was ascertained by measuring the inhibition zone  
297 diameter in the Kirby-Bauer test. As an example, Figure 1b shows the antimicrobial  
298 activity, on the selected microorganism *Escherichia coli*, of WG-bioplastics containing 5  
299 and 10 wt.% formic acid (A<sub>2</sub> and A<sub>3</sub>, respectively). In order to establish a comparative  
300 analysis, K-B tests were also conducted on the WG-bioplastic without addition of the  
301 biocide. They revealed the absence of antimicrobial activity (a biocide-free bioplastic, A<sub>1</sub>,  
302 is included in Figure 1b).

303 Results in Table 2 show that, even after being incorporated into the bioplastic, formic acid  
304 still demonstrates an adequate antimicrobial activity, which becomes higher as acid  
305 concentration increases. *Aspergillus niger* and *Escherichia coli* are the most resistant  
306 microorganism when formic acid is employed as biocide, as shown by the lowest  
307 inhibition zone diameters at 5 wt.% FA.

### 308 **3.2.4. Evaluation of the controlled-release potential**

309 Evaluation of active agent releasing rate from biopolymer matrix has received  
310 outstanding attention over the last years, as they may find promising applications in a  
311 broad spectrum of fields, ranging from food packaging to biomedical, pharmaceutical,  
312 environmental or agricultural engineering. Some specific examples can be water pollution  
313 control or long-term food preservation, where a slow diffusion results of major  
314 importance in order to assure a suitable product performance. In that sense, Han (2005)

315 reported that the efficiency of active packaging is based on an antimicrobial releasing  
316 rate, from the film to food, which matches with the growth kinetics of the target  
317 microorganism.

318 Figure 4a illustrates the cumulative curve for formic acid concentration in water over  
319 time. As expected, a higher formic acid content greatly increases the biocide  
320 concentration in water. It can also be seen that concentration remains constant over the  
321 time interval tested. This means that formic acid release entirely occurs within the 24 first  
322 hours (first measurement), after which diffusion rate drops down to zero. WG-based  
323 matrix is not capable of regulating a progressive release of this substance, which  
324 consequently would limit the suitability of this active bioplastic for applications where  
325 the active agent can be delivered straightaway. On the other hand, compression-moulding  
326 temperature seems to produce some differences, as a lower formic acid release is noticed  
327 if compression-moulding is performed at 120°C (compare curves for 10 wt.% FA).  
328 Related to this, TGA results have shown the mass loss of formic acid is complete at 100°C.  
329 Thus, even though post-treatment is carried out at high pressure, bioplastic specimens  
330 containing different quantities of formic acid are expected at each temperature (90 and  
331 120°C). As a result, this fact must be taken into consideration during material  
332 manufacture. In this sense, the selection of proteins which may be processed using milder  
333 temperatures might be a key factor for the development of biodegradable/bioactive  
334 materials containing volatile antimicrobial agents. It is possible to calculate the biocide  
335 fraction released (mg biocide into water/mg biocide initial in the protein matrix) at every  
336 bioplastic concentration. These calculations show that just about 39% and 73% of the  
337 initial biocide added is released for samples containing 5 and 10 wt.% FA, respectively.  
338 The remaining quantity of biocide may have gone during processing or may be reacted  
339 with the protein matrix.

340

### 341 **3.3. Study on oregano essential oil-containing bioplastics**

#### 342 **3.3.1. Thermoplastic processing**

343 The evolution of mixing torque and temperature during the manufacture of bioplastics  
344 containing oregano essential oil is illustrated in Figure 5. In contrast to formic acid, no  
345 significant differences are noticed when the essential oil is added to the WG-based  
346 formulations. Mixing torque curves still present three different regions: a) induction  
347 (period over which torque does not increase significantly); b) torque exponential increase;  
348 and c) final decay. Thus, biocide addition just seems to slightly shorten the time interval  
349 previous to the torque exponential increase. On the other hand, 10 wt.% oregano essential  
350 oil is shown to decrease the mixing torque with respect to the reference bioplastic,  
351 although the same torque value is eventually reached. Instead, if oregano essential oil  
352 concentration is further raised up to 33 wt.% (and no glycerol is added), the resulting  
353 mixing operation presents values of torque which remain close to zero and no plasticizing  
354 process is noticed. Whilst the glycerol-involved materials (with up to 10 wt.% oregano)  
355 obtained after mixing can be already considered as bioplastics with suitable mechanical  
356 properties, the mixing process of the glycerol-free material (i.e. with 33 wt.% oregano)  
357 gives rise to a dough-like blend, which requires of further thermo-mechanical treatments,  
358 by compression-moulding at 120°C, to obtain the bioplastic.

359 Similarly, for the EW-based formulations, mixing torque does not undergo any changes  
360 on the reference sample after essential oil addition. Thus, very low and nearly constant  
361 values of torque are still monitored during the bioplastic compounding. However, the  
362 quality of the material obtained after kneading allowed DMTA testing specimens to be  
363 prepared via compression-moulding. Interestingly, if compared to formic acid, oregano

364 essential oil has shown to exert quite a non-significant influence on altering the  
365 compounding process of the bioplastics.

366

### 367 **3.3.2. Thermo-mechanical behaviour**

368 Figure 6 shows the results of DMTA tests in double cantilever mode, over a temperature  
369 interval from 30 to 170°C, carried out on essential oil-containing bioplastics prepared by  
370 compression-moulding at 90°C. As can be seen, biocide addition in concentrations of up  
371 to 10 wt.% does not produce any remarkable change in the thermo-mechanical response  
372 of the reference WG-based bioplastic. It just seems to very slightly extend the temperature  
373 interval over which the rubbery-like plateau appears. The thermosetting potential,  
374 characterised by a further increase in  $|E^*|$  and corroborated by an important decrease in  
375 the  $\tan\delta$  values at the highest temperatures, remains (Figure 6a). However, the glycerol-  
376 free sample, with an essential oil content of 33 wt.%, is strikingly seen to modify the  
377 bioplastic rheological behaviour after compression-moulding at 120 °C. This system is  
378 characterized by an apparent plateau region, which extends over a temperature interval of  
379 about 40°C, and the absence of thermosetting potentials. Moreover, it exhibits a notable  
380 increase in  $|E^*|$  of more than one order of magnitude (comparable to LDPE). According  
381 to previous results by Zárata-Ramírez et al. (2011) an increase in the temperature of  
382 processing (i.e. from 90 to 130°C) yielded an increase in the DMA profile which was  
383 much less pronounced than that shown by the 33% OEO-containing bioplastic (moulded  
384 at 120°C) with reference to the 33% glycerol-containing sample (moulded at 90°C). Thus,  
385 although the increase in moulding temperature plays a role, most of the enhancement  
386 found in flexural properties must be attributed to the replacement of the plasticizer itself.  
387 As seen in Figure 5, oregano essential oil alone does not exert any plasticizing effect, so  
388 that it is expected that natural material moisture would play the only plasticizing role

389 during protein thermal denaturation, which eventually results in a lack of polymer chain  
390 mobility and high modulus values after bioplastic moulding at 120 °C.

391 Regarding the reference EW-based bioplastic, a monotonous decrease in  $|E^*|$  with  
392 increasing temperature, as well as a significant change in the decay rate giving rise to a  
393 plateau region, can be appreciated. This event, which coincides with the maximum in  $\tan\delta$   
394 at 75°C, is known to be related to a gel-glasslike transition temperature of the plasticized  
395 egg white protein (Nakamura et al., 1999; Sepe, 1997; Takushi, 1998). Oregano essential  
396 oil addition in concentrations of up to 10 wt.% does not bring about any substantial  
397 changes in the thermo-mechanical response under small deformations of the reference  
398 EW-based bioplastic. However, some differences are noticed, e.g. the plateau starts at  
399 lower temperatures, which is evidenced by a shift of the gel-glasslike transitions towards  
400 lower temperatures (compare  $\tan\delta$  peaks in Figure 6b). Moreover, addition of 5 wt.%  
401 essential oil generally induces an enhancement in  $|E^*|$  values, whereas a further increase  
402 up to 10 wt.% produces a notorious reduction in  $|E^*|$  leading to values even lower than  
403 those shown by the OEO-free system at the lowest temperature interval studied.

404 Finally, large differences in  $|E^*|$  values are noticed if two similar formulations containing  
405 either wheat gluten or egg-white proteins are compared. Despite the low mixing torque  
406 values registered during the compounding of the EW-based bioplastics, much higher  
407 values of  $|E^*|$  are found for them (comparable to LDPE). This result demonstrates, on the  
408 one hand, that post-treatment (for example, compression-moulding) is of major  
409 importance in the manufacture of suitable EW-based bioplastics and, on the other hand,  
410 the EW-based dough achieved after kneading presents a high thermosetting potential.

### 411 **3.3.3. Antimicrobial activity**

412 The inhibition zone diameters for active bioplastics containing two different oregano  
413 essential oil concentrations (5 and 10 wt.%) are presented in Table 2. As previously

414 explained, K-B tests conducted on the WG-bioplasic without addition of the biocide  
415 revealed the absence of antimicrobial activity. However, bioplastics containing this  
416 bioactive agent have demonstrated a suitable antimicrobial activity, which becomes  
417 higher as its concentration increases. Furthermore, at 5 wt.% OEO, the biocide  
418 effectiveness is clearly higher when this is incorporated into a WG-based bioplasic.  
419 Previous results revealed that a suitable combination of formulation and processing  
420 conditions may lead to materials with different microstructure, which was seen to  
421 ultimately control their linear viscoelastic response. In the same manner, microstructure  
422 might also influence the way a specific active agent diffuses out of the bioplasic matrix.  
423 Hence, inhibition diameters shown in Table 2 verify that WG-based matrix would be  
424 based on a microstructure which seems to facilitate the biocide migration.

425 Finally, it can be observed that, on the contrary to formic acid, oregano essential oil seems  
426 to exert a greater antimicrobial effect on *Aspergillus niger* after being incorporated into  
427 the bioplasic. *Escherichia coli* and *Candida kefyr* are the most resistant microorganism  
428 under the action of oregano essential oil at 5 wt.% OEO and for the EW matrix.

429 Interestingly, essential oil may also inhibit the growth of microorganisms even if it is not  
430 in direct contact with them, by promoting the formation of an antimicrobial atmosphere  
431 within the container. Thus, the antimicrobial activity of an oregano oil-containing  
432 bioplasic sample placed under the Petri dish lid is illustrated in Figure 1c. This outcome  
433 may be of relevant importance in the development of matrix for non-contact food  
434 preservation. For the sake of comparison, the antimicrobial activity of OEO-free LDPE  
435 is also shown in Figure 1c. As seen, no antimicrobial activity was found.

436

#### 437 **3.3.4. Evaluation of the controlled-release potential**

438 EW-based bioplastics have turned out to be unable to control the release of the essential  
439 oil when material is immersed in water. On the contrary, diffusion rate of essential oil  
440 corresponding to WG-based bioplastics, which showed to have the strongest capability  
441 of growth inhibition, has been evaluated. Figure 4b illustrates the evolution with time of  
442 the cumulated oregano essential oil concentration, expressed in terms of gallic acid  
443 equivalent (mg/L), in water. Results demonstrate that, in contrast to formic acid, oregano  
444 oil release occurs progressively with time. Thus, its rate decreases but the cumulated  
445 concentration increases with time. Consequently, WG-based matrix seems to be suitable  
446 in the manufacture of active oregano oil-containing bioplastics for long-term applications.  
447 Compression-moulding temperature does not produce any significant differences in the  
448 oregano oil release rate (compare curves at 5 wt.%). On these grounds, TGA results  
449 carried out on a sample of pure oregano oil reveal no massive substance loss at any of the  
450 two compression-moulding temperatures. Compared to formic acid, which hardly resists  
451 temperatures close to 100°C, EOE shows a mass loss lower than 5 wt.% at such  
452 temperature. Moreover, even lower mass loss during compression-moulding should be  
453 expected as volatility decreases with increasing pressure.

#### 454 **4. Conclusions**

455 Protein-based (wheat gluten or egg-white albumen) bioplastics containing two different  
456 active agents (formic acid or oregano essential oil) have demonstrated a suitable  
457 antimicrobial activity when tested on four selected types of microorganisms.

458 Studied protein-based bioplastic are not able to control the formic acid migration to water.  
459 In contrast, oregano oil release occurs progressively from the WG-based matrix, being  
460 suitable this material for long-term applications. Moreover, bioplastics containing  
461 essential oil may also inhibit the growth of microorganisms even if they are not in direct  
462 contact with them, by promoting the formation of an antimicrobial atmosphere within the

463 container. In consequence, some of the formulations studied may find successful  
464 applications in food packaging or water pollution control.

465

## 466 **5. Acknowledgements**

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470

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568

569 **Figure captions**

570 **Figure 1.** Kirby-Bauer tests pictures: a) pure and 10 vol.% aqueous solution oregano  
571 essential oil, on *Bacillus cereus*; b) WG-based bioplastics containing formic acid (A<sub>1</sub>: 0  
572 wt.%; A<sub>2</sub>: 5 wt.%; A<sub>3</sub>: 10 wt.%), on *Escherichia coli*; c) WG-based bioplastic specimen  
573 containing oregano essential oil (10 wt.%) and LDPE on *Escherichia coli* (both of them,  
574 not in contact with the culture medium).

575 **Figure 2.** Evolution with time of mixing torque (a) and temperature (b) for formic acid-  
576 containing bioplastics.

577 **Figure 3.** Temperature dependence of the dynamic flexural complex modulus for formic  
578 acid-containing bioplastics.

579 **Figure 4.** Diffusion rate tests. Evolution with time of formic acid (a) and gallic acid  
580 equivalent (b) concentrations in water.

581 **Figure 5.** Evolution with time of mixing torque (a) and temperature (b) for oregano  
582 essential oil-containing bioplastics.

583 **Figure 6.** Temperature dependence of the dynamic flexural complex modulus (a) and  
584  $\tan\delta$  (b) for oregano essential oil-containing bioplastics.

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**Table 1.** Antimicrobial activity of the bioactive agents.

	<b>Inhibition diameter (cm) *</b>			
	<b>Oregano Essential Oil</b>		<b>Formic Acid</b>	
	<b>Pure biocide</b>	<b>Aqueous solution (10 vol.%)</b>	<b>Pure biocide</b>	<b>Aqueous solution (10 vol.%)</b>
<i>Aspergillus niger</i>	5.52± 0.16	-	2.58±0.18	-
<i>Candida kefyr</i>	3.06±0.26	1.16±0.14	3.29±0.26	1.45±0.16
<i>Bacillus cereus</i>	4.06±0.21	1.97±0.16	3.94±0.12	2.09±0.13
<i>Escherichia coli</i>	2.95±0.10	1.99±0.17	4.13±0.25	1.50±0.12

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\*Values are mean inhibition zone (cm) ± S.D. of three replicates (p=0.05)

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592

**Table 2.** Antimicrobial activity of the active bioplastics.

593

	<b>Inhibition diameter (cm)</b>					
	<b>Oregano Essential Oil</b>				<b>Formic Acid</b>	
	<b>5 wt. %</b>		<b>10 wt. %</b>		<b>5 wt. %</b>	<b>10 wt. %</b>
	<b>EW</b>	<b>WG</b>	<b>EW</b>	<b>WG</b>	<b>WG</b>	<b>WG</b>
<i>Aspergillus niger</i>	++	++	+++	+++	+	++
<i>Candida kefyr</i>	+	++	++	++	++	++
<i>Bacillus cereus</i>	++	++	++	++	++	++
<i>Escherichia coli</i>	+	++	++	++	+	++

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Inhibition diameter:

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+++ (d >50 mm)

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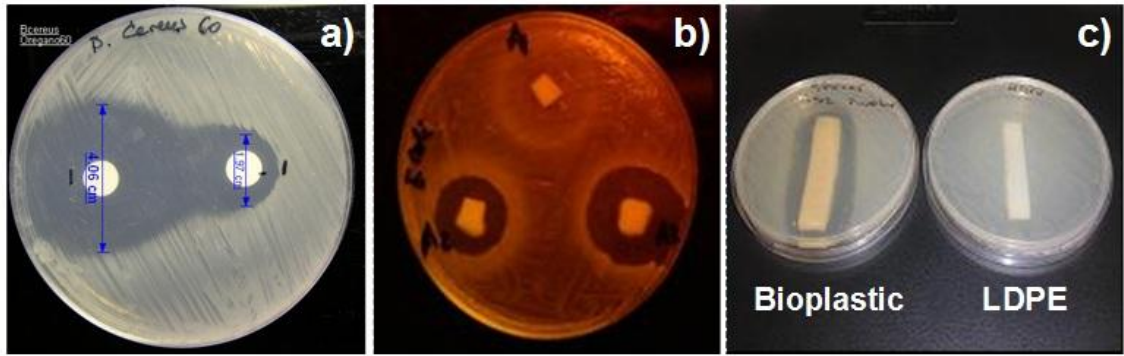
++ (20 mm < d < 50 mm)

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+ (d < 20 mm)

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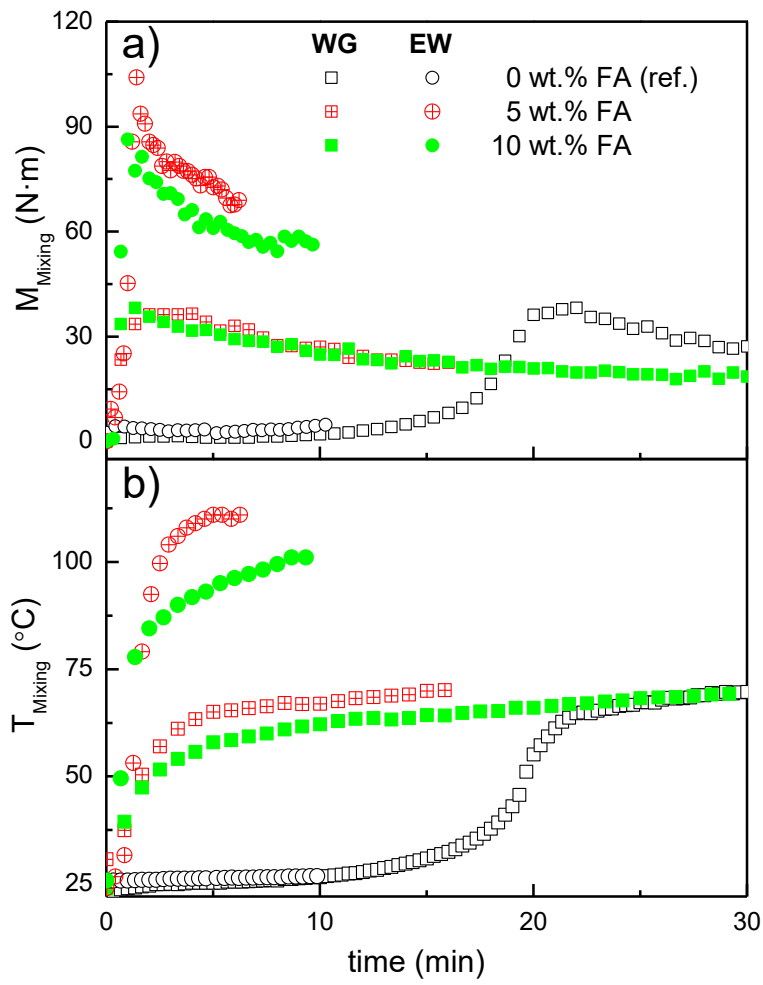
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Figure 1.

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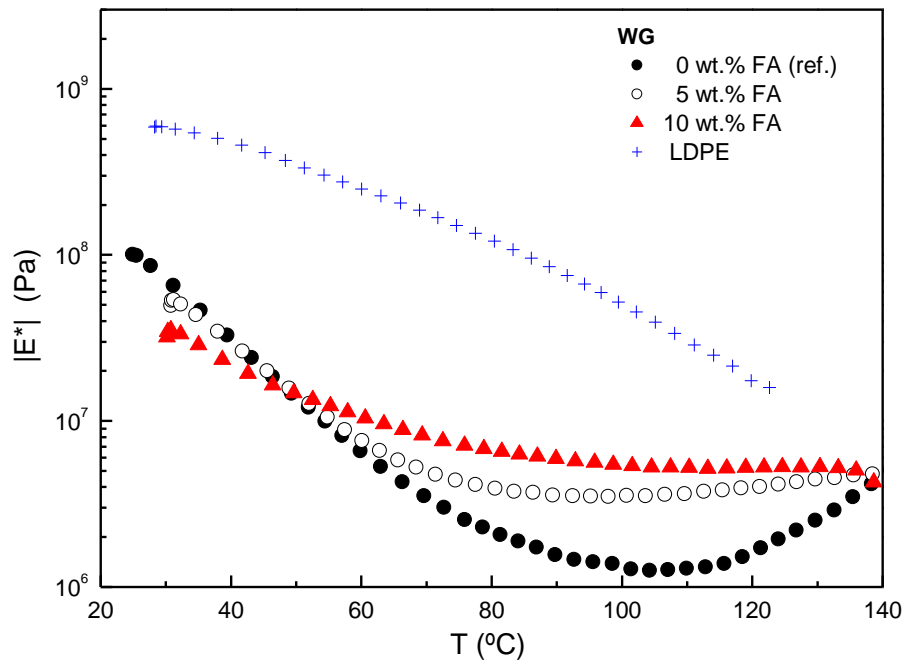
Figure 2.

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611 Figure 3.

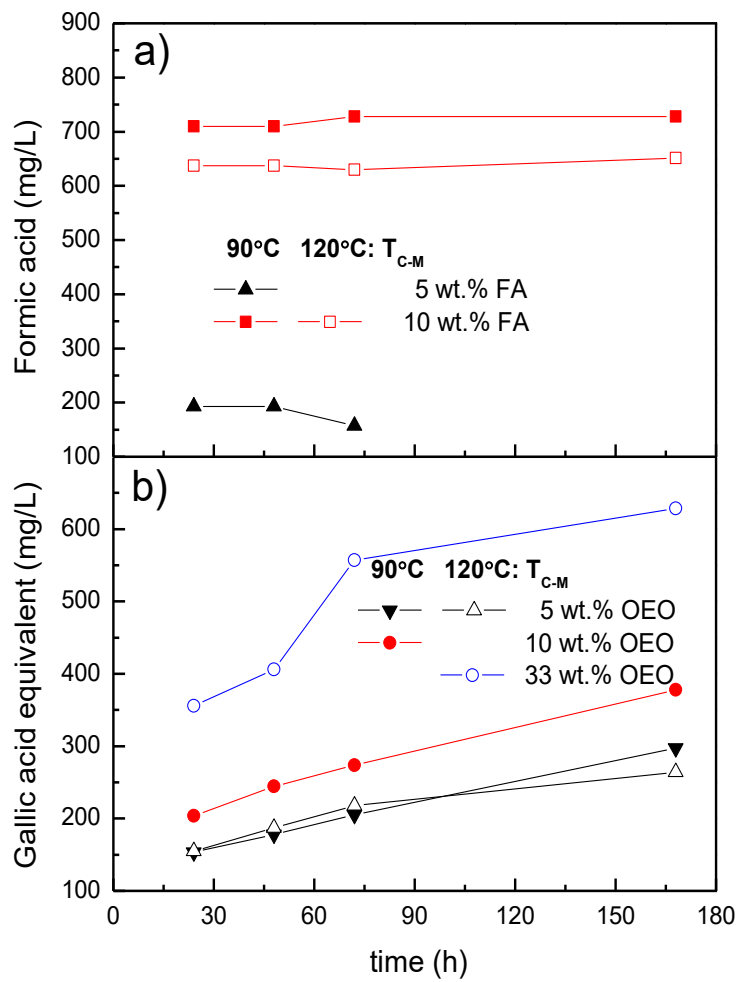
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Figure 4.

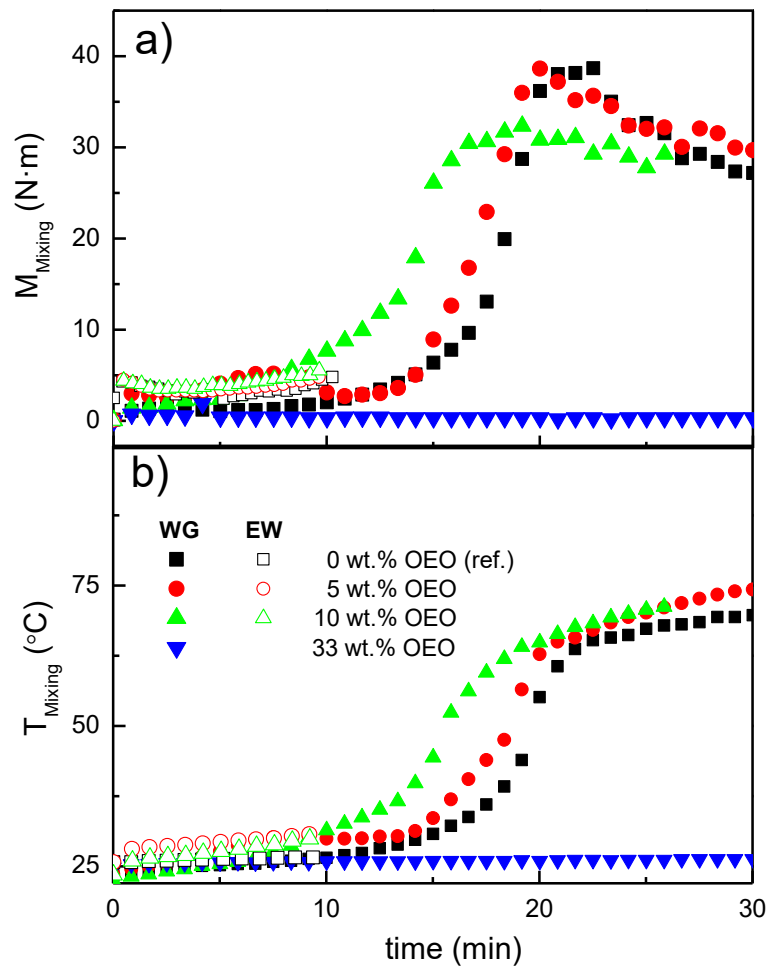
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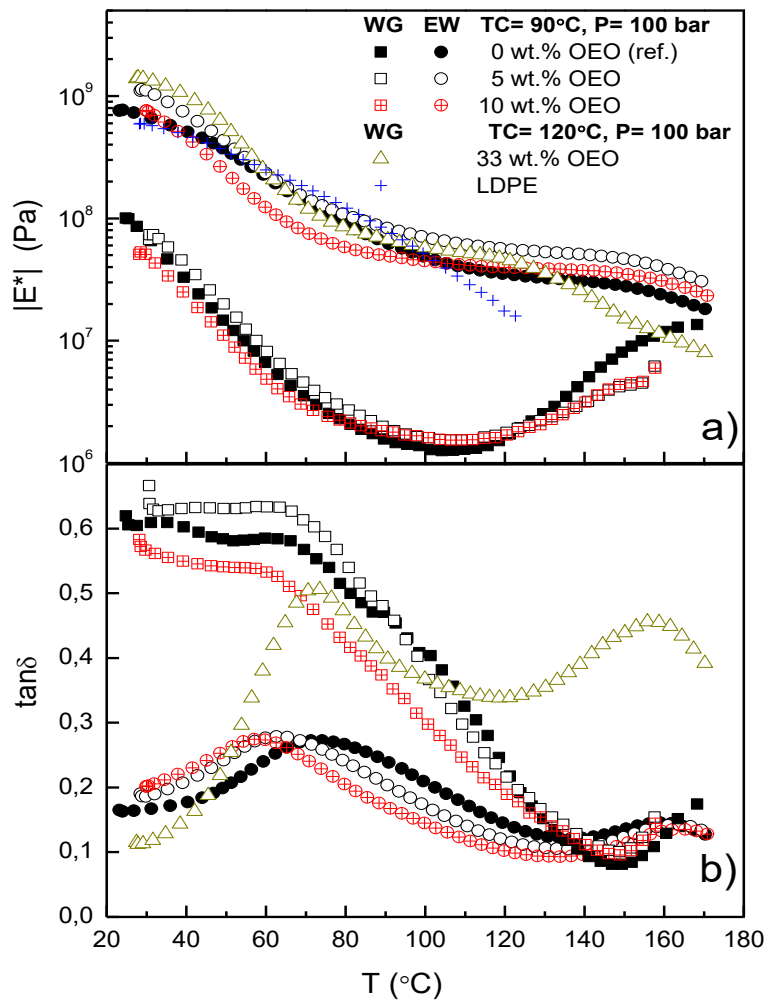
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625 Figure 5.

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Figure 6.

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