

1 **Aerial monitoring of atmospheric particulate matter produced by open-pit**
2 **mining using low-cost airborne sensors**

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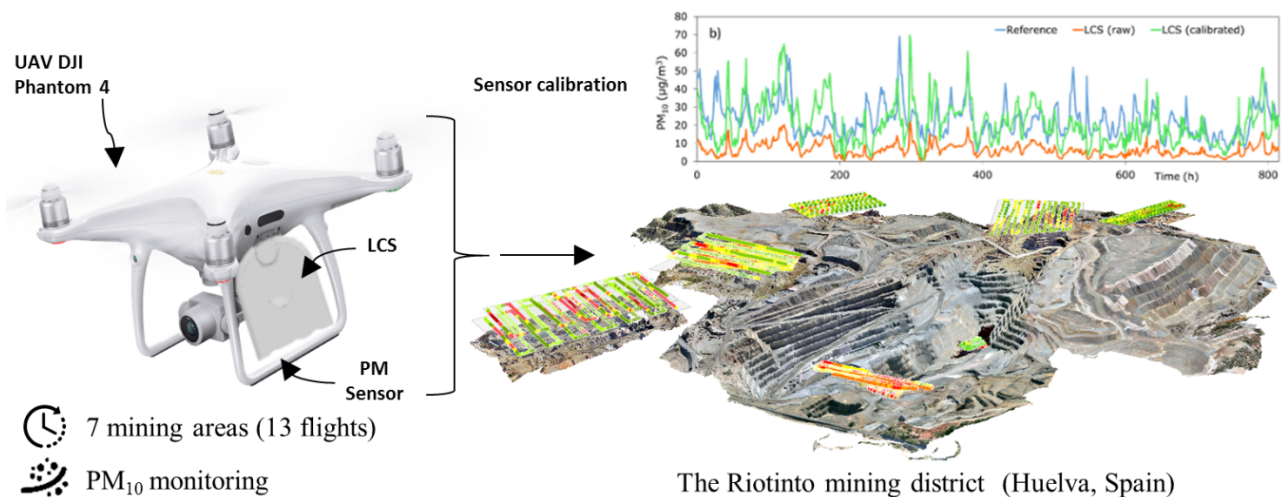
15 **Abstract**

16 Mining is an economic activity that entails the production and displacement of significant amounts
17 of atmospheric particulate matter (PM) during operations involving intense earthcrushing or
18 earthmoving. As high concentrations of PM may have adverse effects on human health, it is
19 necessary to monitor and control the fugitive emissions of this pollutant. This paper presents an
20 innovative methodology for the online monitoring of PM₁₀ concentrations in air using a low-cost
21 sensor (LCS, <300 USD) onboard an unmanned aerial vehicle. After comprehensive calibration,
22 the LCS was horizontally flown over seven different areas of the large Riotinto copper mine
23 (Huelva, Spain) at different heights to study the PM₁₀ distribution at different longitudes and
24 altitudes. The flights covered areas of zero activity, intense mining, drilling, ore loading, waste
25 discharge, open stockpiling, and mineral processing. In the zero-activity area, the resuspension of

26 PM₁₀ was very low, with a weak wind speed (3.6 m/s). In the intense-mining area, unhealthy
27 concentrations of PM₁₀ (>51 µgPM₁₀/m³) could be released, and the PM₁₀ can reach surrounding
28 populations through long-distance transport driven by several processes being performed
29 simultaneously. Strong dilution was also observed at high altitudes (> 50 m). Mean concentrations
30 were found to be 22–89 µgPM₁₀/m³, with peaks ranging from 86 to 284 µgPM₁₀/m³. This study
31 demonstrates the potential applicability of airborne LCSs in the high-resolution online monitoring
32 of PM in mining, thus supporting environmental managers during decision-making against fugitive
33 emissions in a cost-effective manner.

34 **Keywords:** Low-cost sensors; PM₁₀; Monitoring; UAV; Mining.

35 Graphical abstract



37 Highlights

- 38 • Calibrated low-cost PM sensor was airlifted via unmanned aerial vehicle in a mine.
- 39 • Influence of propellers on PM measurements was null at 3 m/s displacement speed.
- 40 • Unhealthy concentrations (>51 µgPM₁₀/m³) were found near mining operations.
- 41 • It has been demonstrated that PM₁₀ from the mine reaches nearby towns.
- 42 • A strong dilution of PM₁₀ with height was observed.

43

44 **1. Introduction**

45 High concentrations of atmospheric particulate matter (PM) have been associated with many short-
46 and long-term adverse health effects (Kim et al., 2015). The exposure of populations to high
47 concentrations of atmospheric PM has led to an increase in mortality in urban and rural
48 environments in recent years (Mostovenko et al., 2022; So et al., 2022; Liu et al., 2019). Given the
49 evidence regarding the association between PM exposure and daily mortality, the concentrations
50 of atmospheric pollutants are regulated through the Air Quality Guidelines developed by the World
51 Health Organization (WHO, 2021), as well as other similar standards in most countries.

52 With these established threshold limits for atmospheric pollution, it is essential to develop novel
53 technological methodologies for the accurate quantification of air pollutant transport, as well as
54 introduce them in prevention and **Quality Assurance and Quality Control (QA/QC)** systems to
55 reduce fugitive emissions, which affect nearby populations (Hassan et al., 2022; Lin et al., 2020).
56 In this context, the use of low-cost sensors (LCS, < 300 USD) for online PM monitoring has gained
57 relevance in recent years (Kang et al., 2022). In contrast to classical fixed-site air quality stations,
58 which are not only immobile but also costly to acquire, operate and maintain (Brauer et al., 2019),
59 properly calibrated LCSs can make near real-time measurements with high spatial and temporal
60 resolution (Snyder et al., 2013). Therefore, LCSs can provide promising results for identifying the
61 spatial trends of PM distribution in air (Giordano et al., 2021; Jovašević-Stojanović et al., 2015).
62 For instance, LCSs have recently been used for online monitoring of PM and air quality mapping
63 in cities by coupling them to cars (Wu et al., 2020), in open-pit mines using pick-up trucks (Zafra-
64 Pérez et al., 2023), or in forests for assessing damage to trees caused by fires (Price and Forehead,
65 2021).

66 Existing studies have frequently focused on mapping concentrations at the ground level or at a
67 height of a few metres. However, the concentration decreases with increasing altitude along the
68 vertical axis and with distance from the pollution source along the horizontal axis (Kalaiarasan et
69 al., 2009). In this context, with advances in robotics and electronics, the development of unmanned
70 aerial vehicles (UAV), informally named drones, has eased the acquisition of three-dimensional
71 atmospheric pollution profiles (Dubey et al., 2022a). Thus, sensors coupled to UAV have been

72 used in different applications (Villa et al., 2016a), such as assessments of the vertical distribution
73 of atmospheric pollutants in cities (Rodríguez and López-Darias, 2021; Zhu et al., 2019;
74 Kuuluvainen et al., 2018), and studies of the scattering of PM released during volcanic eruptions
75 (Román et al., 2022; Pering et al., 2020) or ship emissions (Villa et al., 2019). Although a series
76 of technical and procedural considerations must be considered when mounting a sensor on a UAV
77 (Alvarado et al., 2017), these studies have demonstrated the possibility of achieving online PM
78 measurement at various heights.

79 Companies with processes that release large amounts of particulate matter (Liu et al., 2022;
80 Sumadevi et al., 2022; Greguletz et al., 2020) may receive hefty fines or even shut down of
81 facilities for environmental contamination if their control of PM is lacking as it occurred in other
82 mines in the USA (Rodríguez-Chávez et al., 2021; EPA, 2020) or Chile (Castro and Paredes, 2014;
83 Fox, 2023). These policies are not only implemented at ground level but also extended to higher
84 altitudes, aiming to safeguard the well-being of organisms inhabiting various elevations and
85 mitigate potential impacts across atmospheric layers. Therefore, there are lots of stakeholders that
86 are interested in implementing LCS+UAV technologies, such as civil society, monitoring
87 institutions and policymakers.

88 The mining industry is one of the sectors constituting significant sources of PM (Noble et al.,
89 2017), mainly attributable to different operations, such as grinding, mineral processing, open-field
90 storage of size-reduced material, machinery traffic, rock drilling, and blasting (Boente et al., 2022).
91 Accordingly, this study aimed to develop a novel methodology for gaining deeper insight into the
92 spatial distribution of atmospheric aerosols released during mining operations and to assess the
93 degree of dilution of PM₁₀ with height and distance in order to evaluate whether mining operations
94 may be hazardous to nearby populations and the environment (Boente et al., 2023). Since there are
95 various physicochemical features of PM₁₀, such as chemical composition, particle size distribution
96 or deposition tendency, influencing its distribution (Zhou et al., 2020). Analysing the vertical
97 dimension alongside the horizontal dimension allows us to evaluate if PM₁₀ can ascend to higher
98 altitudes, where wind regimes diverge, potentially leading to greater horizontal dispersion. The
99 study was conducted in the historically prestigious and large Riotinto mining complex (Huelva,
100 SW Spain), more specifically, in the open-pit namely Corta Cerro Colorado, where the Cypriot

101 company Atalaya Mining owns an operating license that extends up to 2035. The findings of this
102 research are important for providing companies with innovative applications for the aerial
103 monitoring of PM and for determining the contribution of specific mining activities to atmospheric
104 pollution in surrounding areas.

105

106 2. Materials and methods

107 2.1 Study area

108 The Riotinto copper mining complex is located in the Spanish sector of the Iberian Pyrite Belt. It
109 constitutes one of the largest volcanogenic massive sulfide (VMS) deposits in the world with
110 estimated VMS resources of more than 500 Mt and low-grade mineralisation of approximately 2
111 Gt (0.41–0.20% in Cu, Atalaya Mining, 2022). The orebody of the VMS in Riotinto mainly
112 comprises pyrite, with minor amounts of sphalerite, galena, chalcopyrite, and traces of grey copper
113 ores and arsenopyrite (De Mello et al., 2022). In fact, the low ore grade coupled with the vast open-
114 pit exploitation, with an extension of approximately 20 km², implies massive earthmoving that
115 releases large amounts of PM into the atmosphere. In addition, weather conditions are
116 characterized by low rainfall and dry air with annual mean temperature of 24°C and relative
117 humidity around 58% (Müller, 2021), which favour the resuspension of dust during periods of
118 strong winds.

119 Three villages are located next to the mine: La Dehesa, Minas de Riotinto, and Nerva, at distances
120 of 0.2, 1.5, and 4 km, respectively. These three villages sum a total population of 9,000 permanent
121 residents. Thus, in order to keep inhabitants safe, it is important to monitor the PM released by
122 mining activities that may affect them. In this regard, a previous source apportionment revealed
123 that the annual mean contribution of the mine to PM₁₀ in these three villages ranged from 2.0
124 µgPM₁₀/m³ to 13.4 µgPM₁₀/m³ in 2021 (Boente et al., 2023). In contrast, a study performed when
125 the mine was closed, between 2009 and 2016, stated that the annual mean contribution was 1.0
126 µgPM₁₀/m³ (Sánchez de la Campa et al., 2020). In this regard, the company owning the mine has
127 implemented different abatement systems to avoid fugitive emissions, such as irrigation using

128 CaCO₃-neutralised water from the mining processes. However, previous research has revealed that
129 significant amounts of PM are still released despite the measures adopted (Boente et al., 2022).

130 **2.2 LCS: Technical features, calibration and data validation**

131 Data acquisition for PM₁₀ was performed using a consumer-based device and low-cost unit (< 300
132 USD). Specifically, the unit used was an internal optical particle sensor PMS7003 from Plantower
133 Co. LTD. (Beijing, China), with dimensions of 13.23×9.83×2.79 cm and weight of 42 g introduced
134 inside a plastic shell to avoid damages on the sensor (total weight 170 g) but provisioned with
135 holes to allow entry of PM to the sensor. According to the manufacturer (Yong and Haoxin, 2016),
136 the PMS7003 is a scattered light sensor that uses a fan to attract ambient air to the measuring
137 cavity, where a LED irradiates light induced by a laser in the area of detection. The PM reflects
138 the received light, which is detected by a photodiode detector located at 180° with respect to the
139 LED. The detector then sends electric pulses to a microprocessor which transforms the
140 measurements into mass concentrations of PM₁₀, PM_{2.5} and PM₁, in accordance with the Lorenz-
141 Mie theory (Hagan and Kroll, 2020). The manufacturer declared a high performance of this sensor
142 in PM₁₀ measurements, with an effective range of detectable particle concentrations up to 500
143 µgPM₁₀/m³, a maximum consistency error of ± 10% at 100–500 µgPM₁₀/m³, a resolution of 1
144 µgPM₁₀/m³, and a durability of more than three years. In this context, previous studies comparing
145 different PM sensors have revealed that Plantower LCSs have an above-average performance,
146 being one of the best among those available in the market (Lambey and Prasad, 2023; Báthory et
147 al., 2022).

148 The raw PM₁₀ data measured by the LCS allows us to distinguish trends, but to provide an accurate
149 result similar to the real concentration value, a comprehensive calibration with regulatory
150 apparatuses is required (Giordano et al., 2021). By default, the specific unit used for this research
151 was calibrated using a normalised aerosol (ISO 12103 – A1) in the desert of Arizona (USA).
152 However, the properties of this material and the climatic conditions in the calibration area are not
153 the same as those in the Riotinto mining complex. Therefore, the Plantower PMS7003 sensor was
154 exhaustively calibrated prior to its use in the sampling campaign. The calibration was performed
155 in accordance with the official European (EU Working Group, 2010) and EPA (EPA, 2021)

156 protocols, and the results were validated through regression methods suggested by [Badura et al.](#)
157 [\(2019\)](#).

158 Thus, **according to EPA standards** ([Clements et al., 2022](#)), the calibration involved an outdoor field
159 campaign for 34 days conducted. For this, there were used two apparatuses located in a monitoring
160 station of the Andalusian Air Quality Network (Government of Andalusia, Spain), 75 km south of
161 the study area in the city of Huelva. During this time, simultaneous measurements were taken
162 using the LCS and two equipment: 1) A beta attenuation 5014i model (Thermo Scientific, Franklin,
163 USA), with a resolution of $0.1 \mu\text{gPM}_{10}/\text{m}^3$, which is a fixed-site monitoring equipment providing
164 real-time and continuous measurements of PM_{10} concentrations with a time resolution of 1 s. 2) A
165 regulatory ([UNE-EN 12341, 2015](#)) high-volume air sampler (MCV CAVF-PM1025, $30 \text{ m}^3\text{h}^{-1}$,
166 Barcelona, Spain) equipped with PM_{10} Munktell quartz fibre filters that collect PM for 24 h. PM_{10}
167 concentrations were determined in the laboratory through a gravimetric standard procedure ([UNE-](#)
168 [EN 12341, 2015](#)).

169 Once all data for calibration were collected, a temporal resolution transformation was applied by
170 averaging all 1 s measurements from the beta attenuation and LCS for the same 1 h. In this manner,
171 the results between these two devices could be compared ([Clements et al., 2022](#); [Zimmerman et](#)
172 [al., 2022](#)). Subsequently, a linear regression was performed between a) the MCV and the beta
173 attenuation device, and b) the LCS and the beta attenuation device. **Both calibrations serve crucial**
174 **purposes: the first ensures calibration of PM_{10} for a regulatory apparatus that continuously**
175 **measures (beta attenuation) using filters every 24 hours (MCV) providing accurate concentration**
176 **of PM_{10} , while the second calibration aligns a non-regulatory apparatus (LCS) by leveraging the**
177 **previously calibrated regulatory apparatus (beta attenuation).**

178 After confirming adequate quality of the regression, **by fulfilling acceptable parameters established**
179 **in [Clements et al., 2022](#) (also Table 1)**, we extracted a calibration factor between the beta
180 attenuation device and LCS. This allowed the correction of the raw PM data directly measured by
181 the LCS to transform it into a result similar to that obtained by a regulation device. Another typical
182 correction for the LCS is drift correction, which is related to the loss of precision. **This precision**
183 **degradation arises due to prolonged use over time and exposure to adverse climatic conditions**

184 during the LCS measurements. In this case, it was not necessary because the campaign duration
185 was too short to cause drift effects on the Plantower PMS7003 unit, as stated by Mukherjee et al.
186 (2017). However, authors recommend calibrating the sensor at least once a year (Zafra-Pérez et
187 al., 2023).

188 Finally, five goodness-of-fit statistical indicators were used to validate whether the corrected LCS
189 PM₁₀ concentrations were better proxies for official beta attenuation and closer to the actual values
190 than raw LCS concentrations (Table SM1, Gressent et al., 2020). The indicators were the mean bias
191 (MB), normalised mean bias (NMB), root mean square error (RMSE), normalised mean error (NME),
192 and normalised root mean square error (NRMSE). A further description of these indicators can be
193 found in Supplementary Materials – Part 1.

194 **2.3 UAV**

195 A DJI Phantom 4 quadcopter (DJI 2016) was used to lift the calibrated LCS. It contains four
196 two-blade propellers 9450S (240 × 127 mm), individually coupled to an electric engine 2312S 800
197 kV to gain elevation and ensure manoeuvrability. The transmission range of Phantom 4 is 3.5 km
198 when unobstructed and free of interference, which is more than sufficient to cover the expected
199 sampling distance in this study (< 1 km). The weight of the unit was 1380 g, and the battery life
200 was up to 30 min without payload. In this study, a payload of 170 g corresponding to the LCS
201 caused a reduction in endurance of up to 16 min. The UAV is equipped with a digital visual camera
202 of 12.4 megapixels, with a field of view (FOV) of 94°, 20 mm (35 mm format equivalent) f/2.8
203 focus at ∞. It also has a dual positioning system via GPS and GLONASS, which allows the drone
204 to carry out stable and steady hovering flights to deliver aerial photographs, as well as to determine
205 its position in space and, subsequently, the location of the LCS.

206 **2.4 Sampling campaign: design and implementation (LCS + UAV)**

207 The sampling flights in the mine were conducted by coupling a unit of the LCS with the Plantower
208 PMS7003 sensor to a DJI Phantom 4 UAV. The LCS was fastened to the landing gear of the UAV
209 DJI Phantom 4 using adhesive tape, as shown in Figure 1, while ensuring that the air inlet to the

210 PM sensor remained open. Other configurations were not possible because of excessive weight
211 and loss of the centre of gravity, which could cause the system to fall during flight.

212 Given this configuration, the UAV propellers may eject particles arriving at the LCS, thereby
213 affecting the measurements. [Villa et al. \(2016b\)](#) demonstrated that regardless of whether the sensor
214 is attached to the body of a UAV, the measurement is not affected by the propellers. Nevertheless,
215 in this study presenting a novel configuration, an aerodynamic test was performed prior to the
216 flight. The CFD package ANSYS® ([ANSYS Inc., 2009](#)) was used to calculate the drone
217 aerodynamics. The 3D model was imported into ANSYS® Design Modeller to create the volume
218 of fluid surrounding the drone. Subsequently, the domain was discretised, resulting in a full
219 tetrahedral mesh of 8M cells. In addition, a sphere of influence refinement around the drone was
220 used to enhance the spatial resolution of the area of interest. This density of cells ensured the
221 accuracy of the results. ANSYS® Fluent software was used to solve the aerodynamics of the
222 model. A $k-\epsilon$ RNG turbulence model was used with a Standard wall function. The boundary
223 conditions are in agreement with those in the literature ([Avanzini et al., 2020](#)).

224 Once the coupling was designed, a series of flights in certain mining areas were proposed. To study
225 the dispersion of PM in the air by the mine, we proposed the following sampling campaign,
226 corresponding to seven areas/mining operations covered by a total of 13 flights at a certain height-
227 metres above the ground where the UAV was launched (Figure 1). **The following areas were flown:**

- 228 • Area 1: Lowest floor of the open pit, **or crater**. Four flights were conducted at 35, 45, 55,
229 and 65 m above the ground.
- 230 • Area 2: Highest floor of open pit 1 flight was performed 40 m above the ground.

231 Specific mining operations:

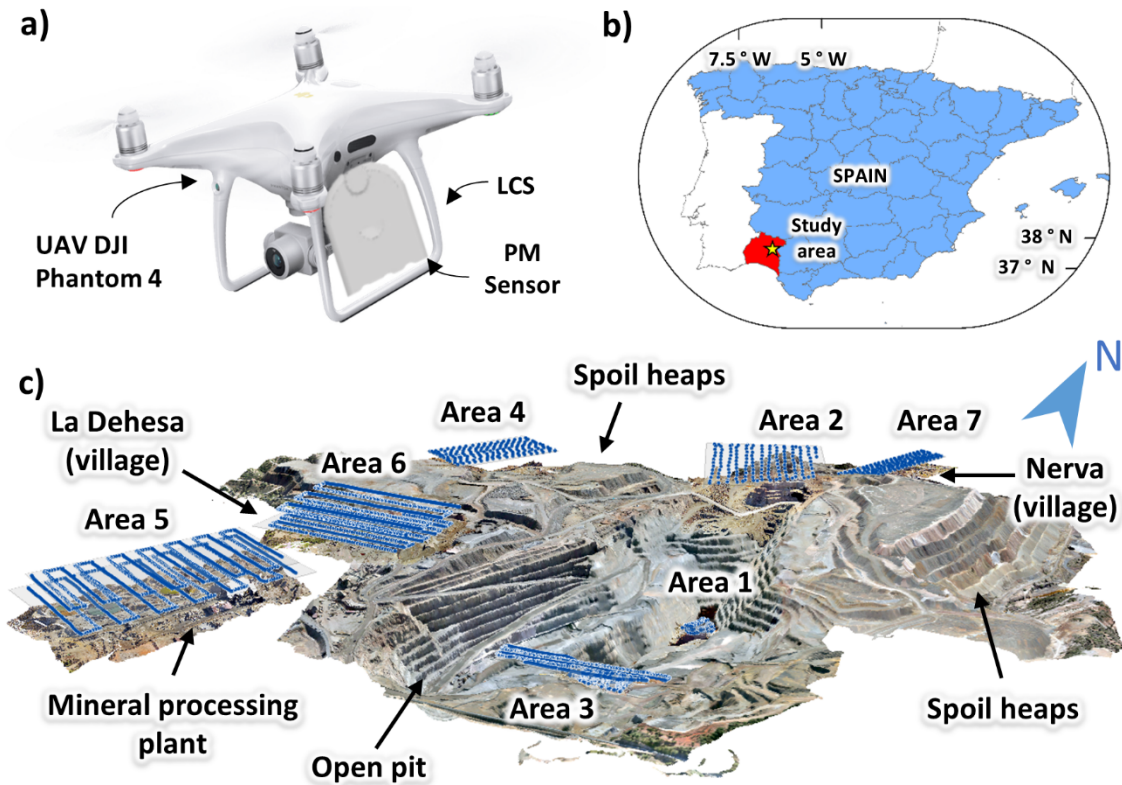
- 232 • Area 3: Entrance of the open pit: mineral loading through backhoe and intense dumper
233 truck traffic with intense drilling. Two flights at 25 and 30 m above the ground.
- 234 • Area 4: Northern spoil heap: during the unloading of coarse waste material. One flight was
235 conducted 25 m above ground level.

236 • Area 5: Mineral processing area during operation. Two flights were conducted at 40 m
237 and 50 m above the ground.

238 PM_{10} arrival at surrounding populations:

239 • Area 6: Open storage of size-reduced material (stockpile) in La Dehesa village located at
240 200 m. Two flights were conducted at 40 and 50 m above the ground.

241 • Area 7: Spoil heap of waste material to Nerva village. **The flight was performed 12 m**
242 **above the ground from the launching point at the spoil heap, the minimum height accepted**
243 **by the UAV, to reach, after a height jump of -40 m, an altitude of 52 m above the ground**
244 **in Nerva.**



245

246 **Figure 1.** a) Configuration of UAV DJI Phantom 4 and LCS for flight. b) Location of the study area (**Riotinto**
247 **mining complex**) in Spain. c) 3D view of the **Riotinto mining complex**, overflow study areas, main parts of the
248 mine and villages.

249 All the flights were conducted under the same **protocol**. They were programmed using the DJI
250 Pilot software for Android OS by adding waypoints along an established route covering the part
251 of the mine to be studied. Each flight consisted of a series of horizontal equidistant transects and
252 was performed at a programmed altitude. This implies that more than one flight would be required
253 to study certain operations at a specific. **Based on recommendations of prior authors, it has been**
254 **opted for a separation of 10 m (Dubey et al. 2022b) or 5 m (Pochwała et al., 2021) for horizontal**
255 **planes. These distances minimize ambiguities caused by aerosol variations when passing the same**
256 **location (Villa et al., 2016a).** Weather conditions were optimal for the flight and preserving the
257 representativeness of the acquired data: no rain and a low wind speed of < 15 m/s. **The duration of**
258 **each flight is around 15 min, which is the maximum allowed by the battery for this UAV with an**
259 **additional load of 170 g. Moreover, it was intended to make the wind and flight directions coincide**
260 **as much as possible in order to assess possible lags in the travel of cloud dusts through wind speed.**
261 Take-off, flight, and landing operations were performed autonomously using the programmed
262 UAV (autopilot) with a flight speed of 3 m/s, optimised using ANSYS, to avoid the influence of
263 displacement on the measurements. During flight, the UAV acquires aerial orthophotographs and
264 telemetry logs that provided information on altitude, acceleration, speed, latitude, longitude, pitch,
265 and roll. Simultaneously, the LCS registered data of PM₁₀ concentration every second. Smaller
266 sizes (PM_{2.5}, PM₁) were not studied because previous research has revealed that aerosols emitted
267 in the study area are mainly composed of coarse particles (PM₁₀, Boente et al., 2022; Sánchez de
268 la Campa et al., 2020).

269 The operations were carried out following the regulations of the Spanish State Aviation Safety
270 Agency (AESA, 2019), which essentially demands permit acquisition and limits the flight altitude
271 to 120 m above the ground.

272 **2.5 Supporting data and treatment**

273 Additional data were required for the treatment and interpretation of the results. First, hourly wind
274 speed and direction data were obtained from the MeteoBlue© platform. MeteoBlue© is a software
275 that assembles meteorological data from different models (ERA5, NEMS12, NEMS4,
276 NEMSGLOBAL, among others, Müller, 2021), from series ranging from 1984 to the present day

277 through the premium package History+. The meteorological station selected was Nerva (37.696°N
278 6.550°W, 336 m.a.s.l.), which is, among those available, the closest to the **Riotinto mining complex**
279 (3 km). All wind speed and direction data were introduced into the statistical package OpenAir
280 (Carslaw, 2021), which was constructed using the integrated development environment of RStudio
281 (RStudio Team, 2020). The traditional wind rose plot at different intervals was obtained through the
282 “windRose” command of OpenAir in order to study the wind direction and speed during the flights.

283 Additionally, the topography of the mine during the study period was provided by the mining
284 company and determined by means of a high-resolution Digital Terrain Model (DTM). The DTM
285 was obtained using a Light Detection and Ranging (LiDAR) device, and covered the entire extent of
286 the exploitation (18 km²). The density of the points was 0.5 points/m² and the spatial resolution of the
287 generated DTM was 18 m.

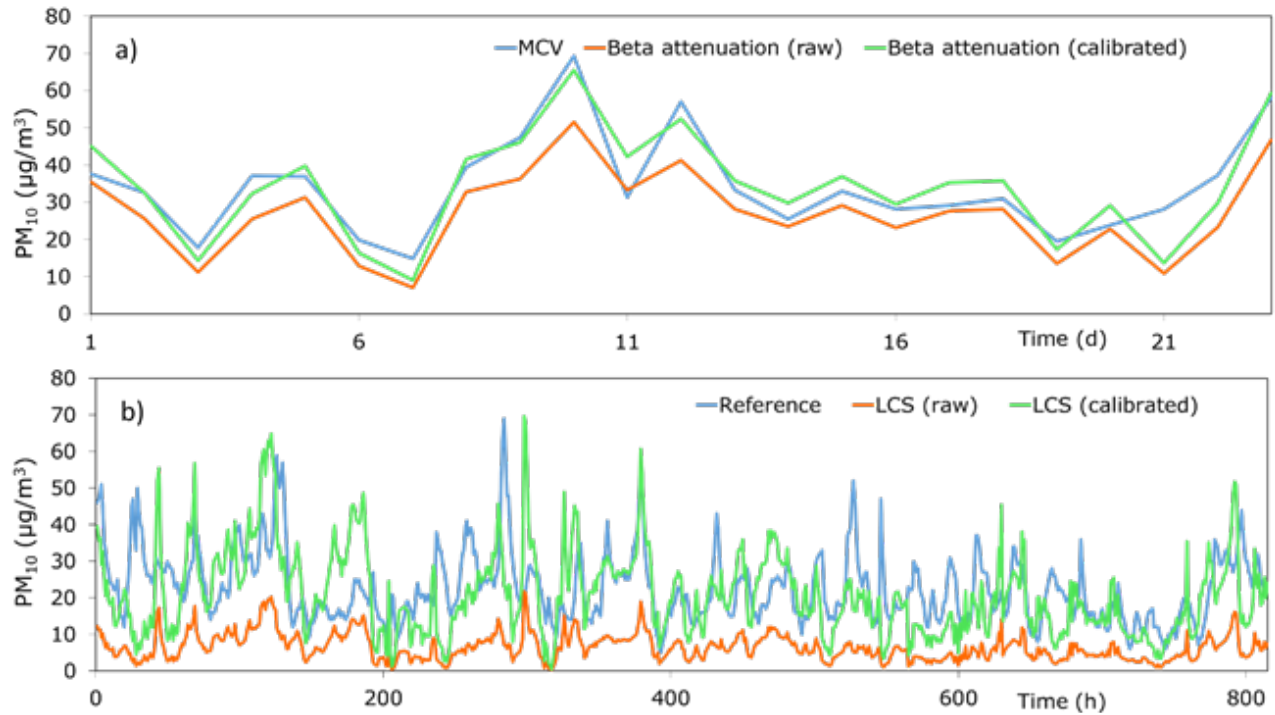
288 PM₁₀ data collected during the flights were geolocated using GPS (x, y, z, coordinates) and loaded
289 into the ESRI ArcScene software (ver 10.8, ESRI 2022) for 3D representation together with the DTM.
290 Moreover, orthophotographs captured by the DJI Phantom 4 were stored in a micro SD card. The
291 photographs were transferred to a computer for processing using Pix4Dreact (ver 1.4.2., Pix4Dreact
292 2022) to obtain a complete 2D orthomosaic of the flight. The procedure included georectification,
293 filtering algorithms, and the precise reconstruction of the coordinates. Afterwards, the generated
294 orthomosaic was overlapped with the DTM in ArcScene to enable precise detection of PM₁₀ sources
295 during the study period. The four data sources (PM₁₀, DTM, orthomosaic, and wind parameters)
296 enabled us to understand the PM₁₀ distribution in each situation.

297 **3. Results and discussion**

298 **3.1 Evaluation of mobile LCS monitoring in the mining environment**

299 Prior to the realisation of the flights, the calibration of the PM₁₀ sensor was assessed to correct the
300 raw data obtained in accordance with the methodology described in Section 2.2, which was
301 previously used by Zafra-Pérez et al. (2023). Figure 2a shows a comparison between the regulatory
302 MCV CAVF-PM1025 large-volume air sampler (MCV) and the 5014i beta attenuation device.
303 Figure 2b shows an intercomparison between the mobile LCS examined and the 5014i beta

304 attenuation device. The calibrated LCS data (green line) overlapped more with the reference lines
 305 (blue) than with the raw data (orange). **In particular, the raw data obtained using the LCS were**
 306 **consistently lower than those provided by regulatory apparatuses.** This issue was fixed through the
 307 calibration. **The calibration factor obtained was 3.2.**



308
 309 **Figure 2.** a) Intercomparison between MCV CAVF-PM102 (Reference) and beta attenuation 5014i. Average PM₁₀
 310 concentrations for 24 h. b) Intercomparison between mobile LCSs and beta attenuation 5014i (Reference).
 311 Average PM₁₀ concentrations for 1 h.

312 Some researchers have stated that these slight differences in the measures performed by LCSs can
 313 be attributed to climatic conditions. For instance, [Magi et al. \(2020\)](#) confirmed that high relative
 314 humidity can cause the hygroscopic growth of particles, which would introduce errors in
 315 measurements. To confirm the reliability of our calibrated data, a comparison with other similar
 316 studies using LCSs and reference equipment was conducted, as shown in Table 1. The table also
 317 shows the R² as well as the goodness-of-fit statistical indicators described in section 2.2.

318 First, the average concentration of PM₁₀ for the calibrated data in the LCS was a better proxy for
 319 those of the reference equipment than the raw data, which was expected (Figure 2). The R² value

320 (0.77) was relatively high and the deviations obtained for the goodness-of-fit indicators were lower
 321 for the calibrated data. In this context, [Gressent et al. \(2020\)](#) revealed that to ensure a correct measure
 322 of the concentrations of PM₁₀ by the sensor, the following requirements must be fulfilled with these
 323 statistical indicators: $|MB| \leq |NMB| \leq |RMSE| \leq |NME| \leq |NRMSE|$. This requirement was
 324 satisfied in our study as $|-1.76| \leq |-7.75| \leq |11.9| \leq |39.9| \leq |52.6|$. Finally, compared with
 325 other studies ([Huang et al., 2022](#); [Bauerová et al., 2020](#), Table 1), it can be noted that our deviation
 326 parameters present lower values and the regression coefficient is higher. Therefore, it was concluded
 327 that the calibration improved the sensor, and the obtained calibrated data would be similar to those
 328 obtained using the reference equipment for PM₁₀.

329 **Table 1.** Concentration of PM₁₀ from raw and calibrated data, results of linear regression between LCS and
 330 reference equipment for PM₁₀ and deviations for goodness-of-fit statistical indicators described in section 2.2.

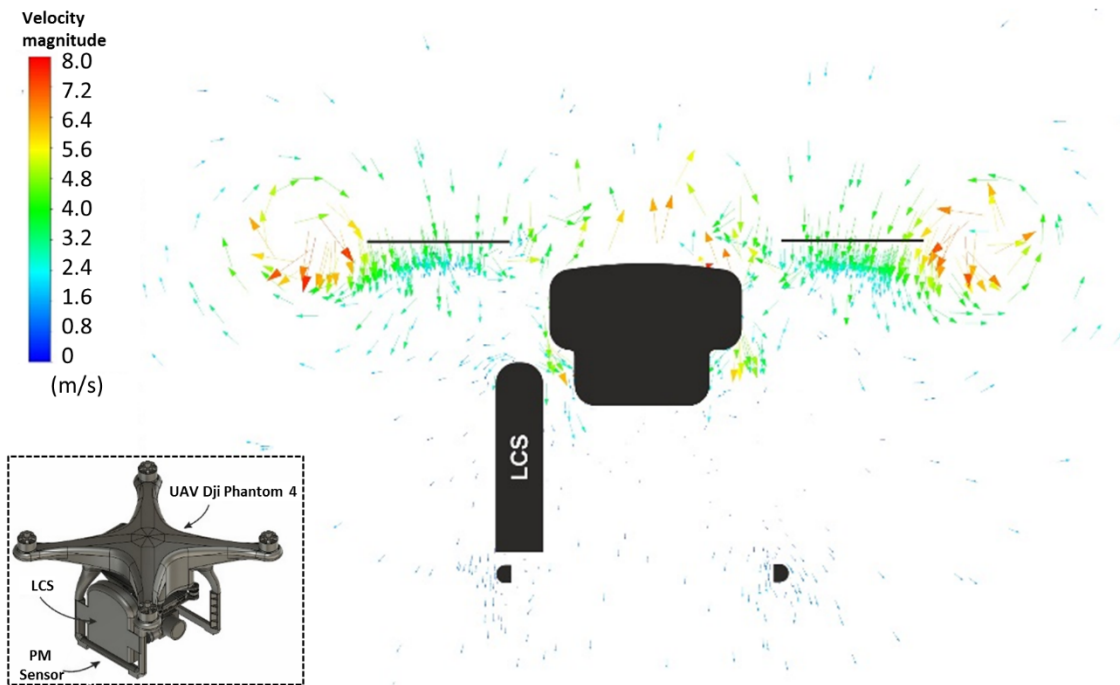
| Research | This research | | Bauerová et al. (2020) | Huang et al. (2022) |
|------------------------------------------|------------------------------|-------------------|---------------------------------------------|---------------------------------------------|
| LCS used | PMS7003 | | PMS7003 | PMS7003 |
| Calibration method | EPA (Clements et al., 2022) | | Not specified, but similar standards to EPA | Not specified, but similar standards to EPA |
| Reference equipment | Beta attenuation 5014i model | | Optical particle analyzer Fidas200 | DustTrak DRX Aerosol Monitor 8533 |
| Reference data (µg/m³) | 22.6 | | 22.2 | 7.91 |
| LCS data (µg/m³) | Raw | Calibrated | Calibrated | Calibrated |
| | 6.53 | 20.9 | 24.3 | 3.55 |
| Statistical indicators | Deviations | | Deviations | Deviations |
| MB (µg/m³) | -16.1 | -1.76 | -2.51 | 2.68 |
| NMB (%) | -71.2 | -7.75 | - | - |
| RMSE (µg/m³) | 18.3 | 11.9 | 15.9 | 12.9 |
| NME (%) | 71.2 | 39.9 | - | - |
| NRMSE (%) | 81.1 | 52.6 | - | - |
| R² | 0.77 | 0.77 | 0.62 | 0.16 |

331

332

333 **3.2 Influence of displacement during PM measurements**

334 Given the development of a novel methodology, the influence of displacement on PM
335 measurements was also assessed for the UAV+LCS configuration using ANSYS Fluent (Figure
336 3). The figure shows the velocity field around the drone in its mid-plane as well as two important
337 zones. On the one hand, a zone of high velocities occurs on both sides of the UAV body
338 corresponding to the location of the propellers. Air was ejected vertically at a maximum speed of
339 8 m/s. On the other hand, a zone of low velocity occurs below the UAV body because the air jets
340 moved by the propellers are swiftly dissipated, leaving a residual velocity zone (< 1.6 m/s).
341 Nevertheless, as the holes of entry for the sensor were located at the bottom face of the LCS, these
342 residual velocities did not affect the measurement. On the horizontal axis, the speed of
343 displacement is constant and very low (3 m/s), favouring the entrance of the PM into the sensor
344 and permanent ventilation of the measuring cavity. In view of these assumptions, it can be
345 concluded that the displacement has practically no effect.



346
347 **Figure 3.** Velocity field around the drone taken from ANSYS Fluent® and 3D model of the UAV along with the
348 LCS.

349 3.3 PM₁₀ levels under the zero mining activity regime

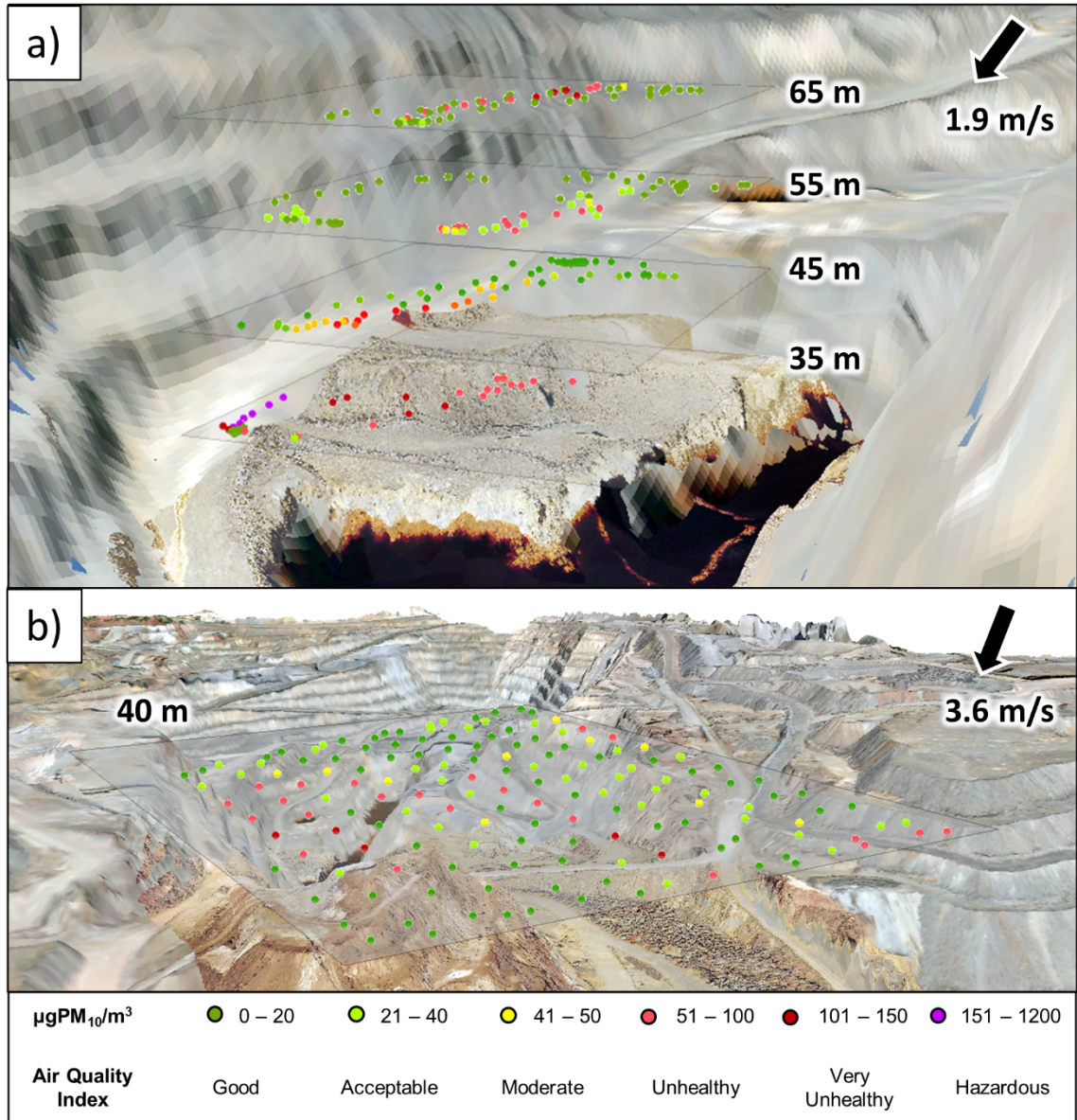
350 After correcting the raw LCS data through calibration and testing the flight methodology, the
351 results of the different PM₁₀ measurements were analysed. To establish comparisons with air
352 quality standards, the ranges of PM₁₀ concentrations were categorised according to the categories
353 established by the European Air Quality Index (AQI, [European Environmental Agency, 2021](#)).
354 These determine six categories of air quality: good (0–20 µgPM₁₀/m³); acceptable (21–40
355 µgPM₁₀/m³); moderate (41–50 µgPM₁₀/m³); unhealthy (51–100 µgPM₁₀/m³); very unhealthy
356 (101–150 µgPM₁₀/m³); hazardous (151–2000 µgPM₁₀/m³). It should be noted that AQI is a
357 frequently used indicator by government agencies to assess the air quality in residential or
358 recreational spaces, and our study area was a working environment. However, in this study AQI
359 was used to visualise the data because of the lack of a specific index for working environments.

360 Figure 4 compares the dust production in the lowest part of the mine with that in the highest part
361 under conditions of low winds (< 3.6 m/s) and without any potential dust producing process in the
362 area. In the lowest part (Figure 4a), the concentrations near the ground (35 m) were mainly at very
363 unhealthy/hazardous levels (> 51 µgPM₁₀/m³), but these levels started to decrease with height.
364 Moreover, scattering following the wind direction was also appreciable. This can be explained by
365 the **crater** accumulating a large amount of dust that is lifted with the winds but up to low heights.
366 Under this scenario, only a small amount of PM₁₀ is transported to the upper parts.

367 The upper part of the mine (Figure 4b) showed a similar pattern. Areas closer to the ground (mainly
368 the left part of the flight and right borders) tended to present more points with concentrations at
369 unhealthy or very unhealthy levels (> 51 µgPM₁₀/m³). The centre of the flight, which is more
370 distant from the floor, practically showed no PM₁₀ concentrations, but with exceptions related to
371 periodic peaks of air current.

372 **As a conclusion, here we have studied the mine under zero mining activity regime. It resulted that**
373 **under low wind speed conditions, the crater is not a dust producer. However, the upper part of the**
374 **mine, being more exposed to adverse weather conditions, can release significant amounts of PM₁₀.**
375 **In this regard, when the sensor flies close to the ground, PM₁₀ levels can reach unhealthy levels,**
376 **but at a greater distance from the ground, concentrations decrease to acceptable levels.**

377 Consequently, when the mine is not operational, the dust remains localized, and unhealthy levels
 378 of PM₁₀ do not reach far distances. This finding aligns with previous findings that reported an
 379 average of 1.0 µgPM₁₀/m³ during mine closure (Sánchez de la Campa et al., 2020).



380

381 **Figure 4.** Three-dimensional visualisation of the PM₁₀ concentration measurements during horizontal flights at
 382 several altitudes for: a) the lowest part of the mine (crater, matches with Area 1 in Figure 1); b) the upper
 383 part of the mine (matches with Area 2 in Figure 1).

384

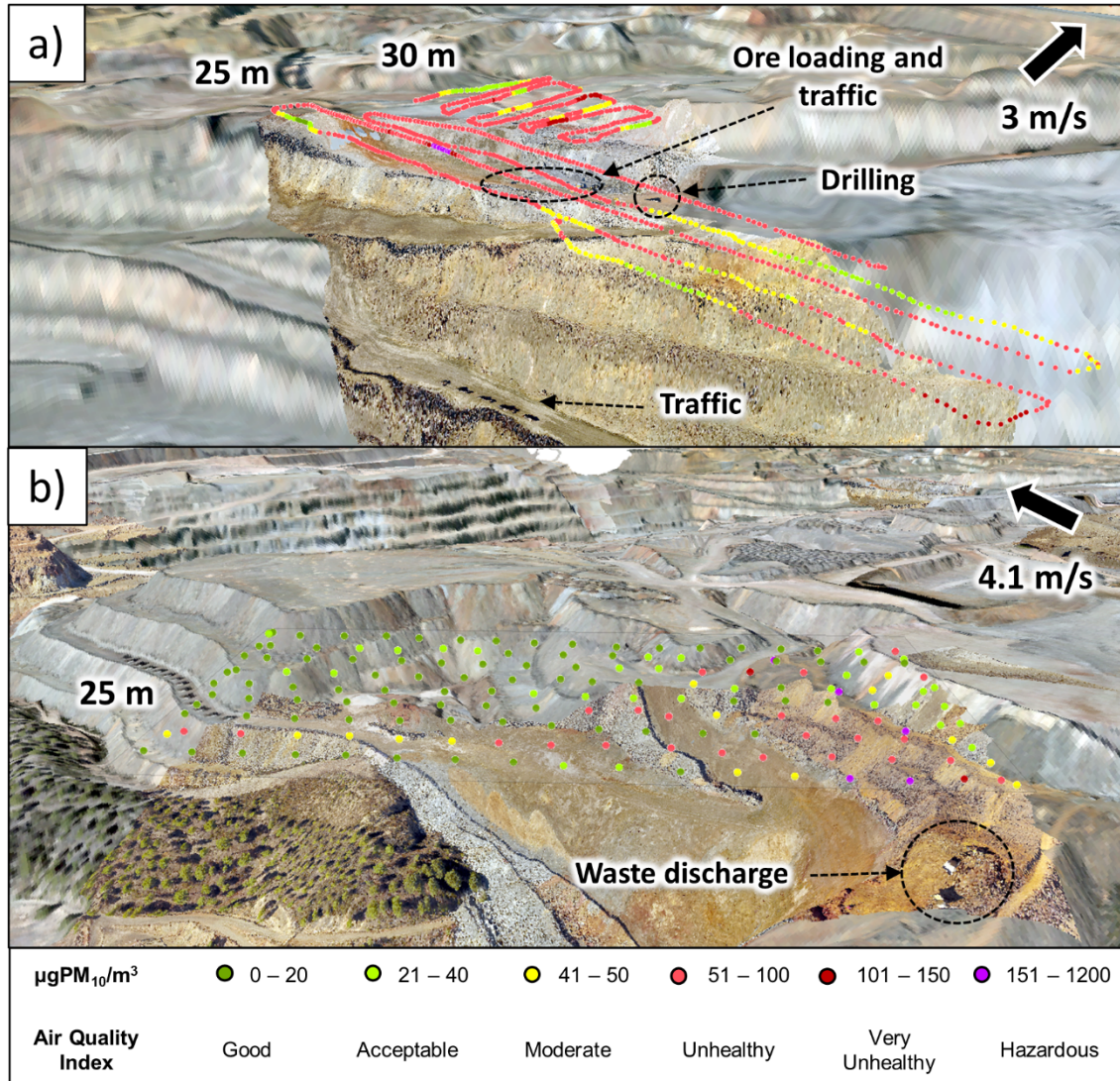
385 3.4 PM₁₀ levels under the mining activity regime

386 In addition, the PM₁₀ released during specific mining operations was also studied. The area
387 covered in Figure 5a is interesting because multiple mining operations were occurring
388 simultaneously: dry ore loading through the backhoe, drilling, and dumper truck traffic. Under this
389 scenario, the predominant air quality was at a very unhealthy level ($> 101 \mu\text{gPM}_{10}/\text{m}^3$) in
390 practically the entire area. However, a slight reduction in the PM₁₀ gradient with height was
391 observed, represented by an increase in the number of green points, although it was not sufficient
392 to render a global reduction of PM₁₀ to acceptable levels. The longest flight (at 25 m height)
393 allowed for the dilution of PM₁₀ in an area that was particularly far from the operations and the
394 ground (yellow- green points on the right part of the image).

395 Figure 5b shows another mining operation—the discharge of waste material. This operation
396 consists of unloading up to 220 t of dry rock from the blasts. In the figure, purple/red points are
397 concentrated in the area above where the dumper trucks unloaded. Moreover, PM scattering
398 occurred along the wind direction. However, in the left part of the figure, the predominance of
399 green points reveals that the dilution of the concentration was very close to that of the dust
400 producer.

401 In conclusion, typical mining operations such as drilling, ore loading, traffic, and waste discharge
402 lead to the release of atmospheric PM at unhealthy levels. This study revealed that, when
403 considered individually, strong dilution can be observed. However, the accumulation of operations
404 can lead to the formation of unhealthy PM₁₀ clouds, for which dilution is difficult and requires
405 greater distances of transport in vertical or horizontal directions. Hence, it is highly advisable to
406 avoid accumulation of mining operations and additionally, to take proactive measures to
407 effectively control dust. Examples include the use of impervious film material on tracks to retain
408 dust through coal/bitumen from polymers or Portland cement (Darling, 2011), or irrigation using
409 CaCO₃ neutralised-water, which are methodologies with proven effectiveness in copper mines
410 (Huang et al., 2019). At the Riotinto mining complex, an advanced irrigation system utilizing
411 CaCO₃ neutralized-water is employed at regular intervals of 30 minutes. Additionally, in areas
412 characterized by high traffic and intense operational activity, a comprehensive screening process

413 is implemented using coarse materials sourced from mining spoils. In this context, the
 414 technological improvement that constitutes the application of UAV+LCS provides a quicker
 415 response to unhealthy concentrations.



416

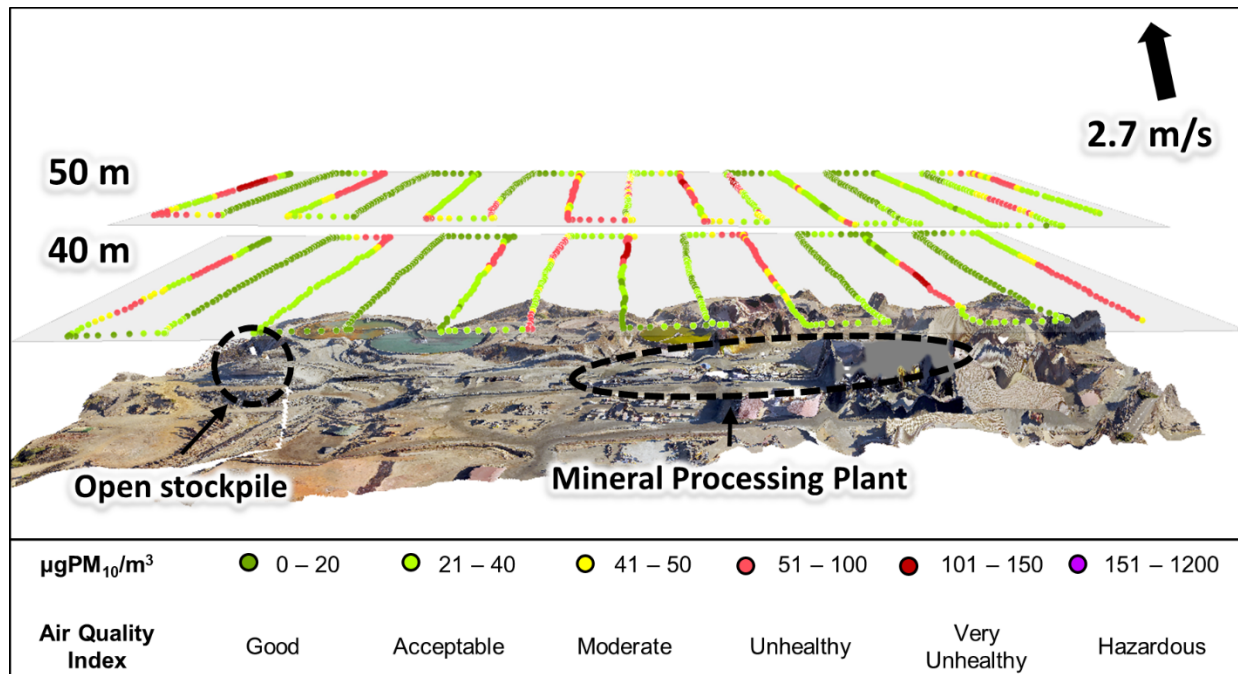
417 **Figure 5.** Three-dimensional visualisation of the PM_{10} concentration measurements during horizontal flights at
 418 several altitudes for: a) operation of ore loading and traffic (matches with Area 3 in Figure 1); b) operation
 419 of waste discharge (matches with Area 4 in Figure 1).

420 Mineral processing plants are another typical source of PM. Operations such as grinding, milling,
 421 and metallic concentration frequently require the movement of size-reduced particles, with the
 422 consequent generation of PM_{10} (Boente et al., 2022). Figure 6 shows the mapped results of the

423 mineral processing plant and the open stockpile of the concentrated product obtained from this
424 plant covered by the LCS flight.

425 This area presented an alternation of values with high concentrations (unhealthy levels) and others
426 within good or acceptable levels. A dilution with height was not observed, although the high PM₁₀
427 concentrations clearly followed the wind direction, revealing that they came from the mineral
428 processing plant (centre of Figure 6) and the open stockpile (left of the image). In mineral treatment
429 plants, processes are generally performed in closed buildings or equipment. Thus, except for minor
430 leaks during processing or transportation, the release of PM₁₀ is not continuous, as it occurs during
431 open-pit operations, such as those explained previously. This explains the periodic nature of the
432 peaks, which mainly occur in air streams. This is also applicable to the stockpile (left of the image).
433 Although it is open, the discharge of the material under wet conditions hinders the release of PM₁₀
434 into the atmosphere.

435 In general, it can be concluded that PM₁₀ fugitive emissions at unhealthy levels **can occur and**
436 **persist at heights up to 50 m according to our results**. However, the confinement of operation or
437 the use of water reduces PM emission to the surrounding area, unlike those observed from
438 operations in the open-pit. Moreover, the concerning content of PM₁₀ can be attributed to periodic
439 leaks that must be taken into consideration.



440

441 **Figure 6.** Three-dimensional visualisation of the PM₁₀ concentration measurements during horizontal flights at
 442 several altitudes for the mineral processing and stockpile areas (matches with Area 5 in Figure 1).

443

444 3.5 Effects on surrounding populations

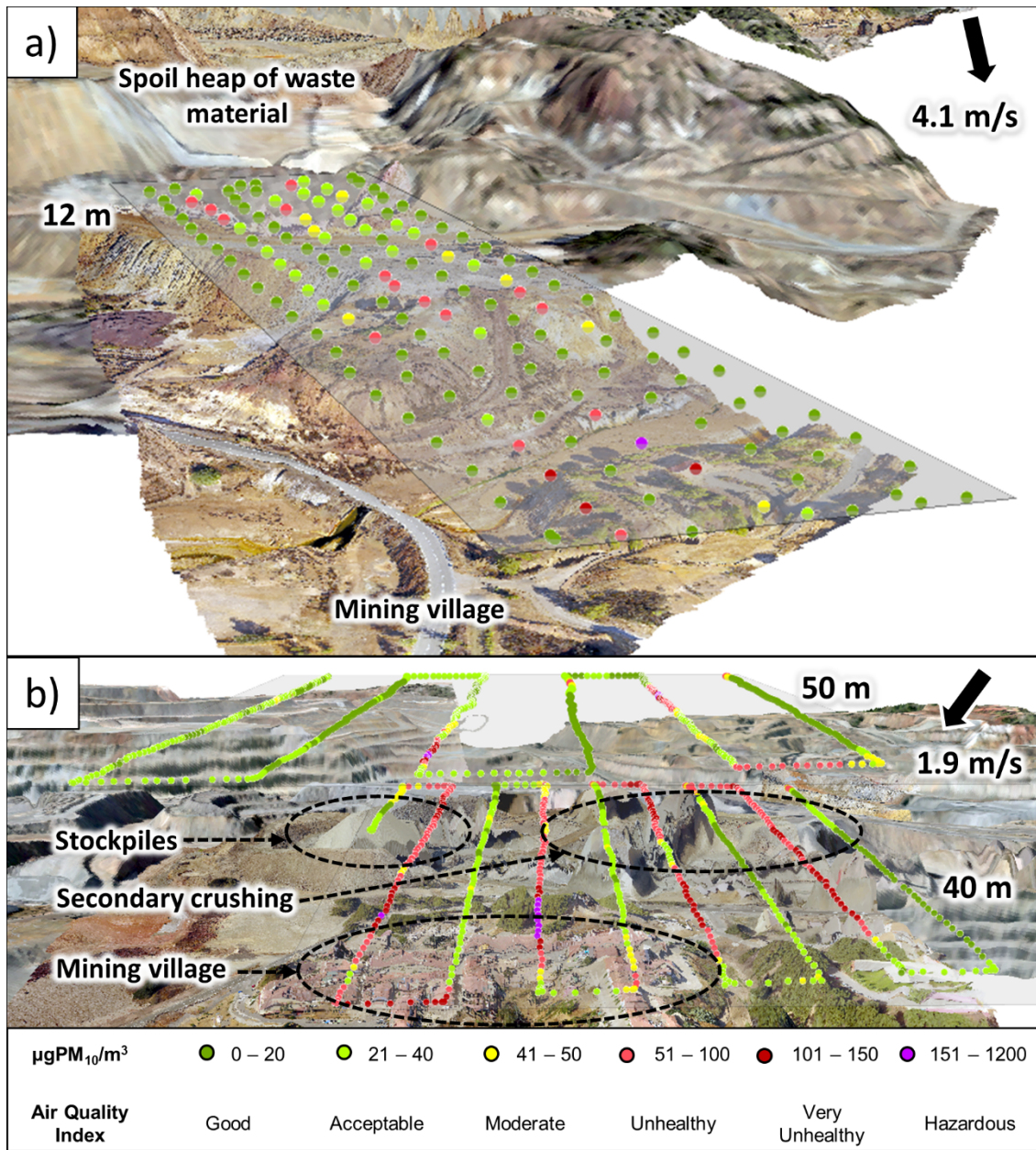
445 Mining operations have been identified as prominent sources of PM₁₀ (Dudka and Adriano, 1997).
 446 Airborne LCSs can be further used to assess whether concentrations from the mine can reach
 447 surrounding populations. Figure 7 shows two scenarios corresponding to flights from the
 448 boundaries of the exploitation area to the nearby villages of Nerva (4 km, Figure 7a) and La Dehesa
 449 (0.2 km, Figure 7b). **The UAV was flying at the same time as mining activity was happening.**

450 Nerva faces the spoil heap of waste material, an area of the mine that usually presents low activity,
 451 except from dumper trucks unloading waste material that, as has been previously discussed, does
 452 not represent a concerning operation because the periodic peaks of concentration are rapidly
 453 diluted owing to the lack of more sources. As shown in Figure 7a, owing to the large number of
 454 green points, the spoil heap does not release concerning amounts of PM when there is no operation,
 455 following the patterns previously identified. Moreover, although unhealthy/hazardous points can

456 be observed in Nerva, a clear discontinuity of concentrations between the spoil heap and the village
457 was observed, which suggests that these extreme concentrations found in the village cannot be
458 attributed to the mine, but to external sources.

459 La Dehesa village is very close (0.2 km) to a stockpile of fine material and secondary crushing
460 area. Periodic extreme concentrations alternating with low-concentration points were observed,
461 following a pattern similar to that observed in the mineral processing plant area (Figure 6).
462 However, a strong dilution with height was also observed, going from a predominance of
463 unhealthy PM₁₀ concentrations at 40 m, to practically presenting good air quality levels at 50 m.
464 This transition of hazardous PM₁₀ concentrations from the mining area towards the village, which
465 coincides with the wind direction, must be controlled to avoid potentially hazardous fugitive
466 emissions that present a risk to the population living in this village. In this context, for readers
467 interested in delving deeper into the air quality management and policy implications of the Riotinto
468 mining complex, please refer to the Supplementary Materials – Part 2.

469 However, it is important to note that these two towns are located at a considerable distance from
470 the mine and are exposed to various sources of pollution. For a more thorough understanding of
471 the mines' contribution to atmospheric pollution in these villages throughout the entire year, we
472 recommend referring to the comprehensive assessment conducted in Boente et al. (2023). The
473 study reveals the presence of multiple sources that contribute to the increase in PM₁₀ levels in both
474 villages. These sources can range from natural origins like crustal material or sea salt to
475 anthropogenic factors such as combustion or traffic, albeit in varying proportions. As a result, it
476 can be concluded that the towns may be affected by pollution from multiple sources.



477

478

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480

Figure 7. Three-dimensional visualisation of the PM_{10} concentration measurements during horizontal flights at several altitudes in terms of their effects on: a) Nerva (matches with Area 7 in Figure 1); b) La Dehesa (matches with Area 6 in Figure 1).

481

3.6 Comparison of studied scenarios

482

A statistical summary of the mining operations considered in this study is presented in Table 2.

483

The percentage of measures (waypoints) were found to be within good or acceptable levels for the

484

majority of the operations studied. Operations and areas presenting more waypoints with unhealthy

485 or higher categories were ore loading, drilling, and traffic (77-82%), the lowest floor of the mine
486 (13-76%), the mineral processing area (21-25%), and the village of La Dehesa (10-42%).

487 PM₁₀ concentrations were also found to decrease with height. In this context, the crater, ore loading
488 and traffic, and the village of La Dehesa presented a smaller number of points concerning
489 concentrations ($> 51 \mu\text{gPM}_{10}/\text{m}^3$) at higher altitudes than at lower altitudes. These findings can
490 also be contrasted using the statistical descriptors. High Relative Standard Deviation (RSD)
491 coefficients imply high data variability. This is consistent with the nature of PM data, which can
492 present extreme and null concentrations over small distances. However, the maximum PM₁₀
493 concentration in the mine was found to be $284 \mu\text{gPM}_{10}/\text{m}^3$, and the maximum for all the operations
494 was found to be within $100\text{--}200 \mu\text{gPM}_{10}/\text{m}^3$. This implies a certain regularity in the PM₁₀
495 maximums that the mine can release, which undergo rapid dilution with height or distance.

496 Finally, three areas presented means that surpassed unhealthy levels. One of them includes two fly
497 heights (30 m – 40 m), corresponding to typical high-PM operations, such as the dry loading of
498 ore, drilling, and traffic, for which dust abatement measures can significantly reduce the amount
499 of PM being lifted. A second, is the village of La Dehesa at a low altitude. Nevertheless, if the
500 flight is limited to the village itself, eliminating the stockpiles belonging to the mine, this mean is
501 reduced to acceptable levels ($34 \mu\text{gPM}_{10}/\text{m}^3$). The third is the crater at the bottommost height (35
502 m), reaching means of $89 \mu\text{gPM}_{10}/\text{m}^3$. However, as we move higher to an elevation of 45 meters
503 and beyond, there is a significant dilution effect resulting in the reduction of PM₁₀ levels to an
504 acceptable range of $25\text{--}29 \mu\text{g}/\text{m}^3$. Finally, it can be concluded that the mine contributes hazardous
505 levels of PM₁₀ but they get diluted with height and distance, as it has been evidenced along the
506 research, and the short-term effect of every operation on villages in the surroundings will be low
507 when compared with other activities.

508

509

510

511 **Table 2.** Statistical summary of the flights studied. Maximums, means, standard deviations, and
 512 relative standard deviations (RSD).

| Flights | Height (m) | % of measures per Air Quality Index label ($\mu\text{gPM}_{10}/\text{m}^3$) | | | | | | $\mu\text{gPM}_{10}/\text{m}^3$ | | | % RSD |
|---------------------------------------------------------|------------|-------------------------------------------------------------------------------|----------------------|--------------------|----------------------|----------------------------|------------------------|---------------------------------|------|-----|-------|
| | | Good (0 – 20) | Acceptable (21 – 40) | Moderate (41 – 50) | Unhealthy (51 – 100) | Very Unhealthy (101 – 150) | Hazardous (151 – 1200) | Max | Mean | Std | |
| Crater (Figure 4a) | 35 | 14% | 7% | 2% | 40% | 17% | 19% | 211 | 89 | 60 | 68 |
| | 45 | 74% | 10% | 1% | 7% | 2% | 5% | 211 | 28 | 45 | 162 |
| | 55 | 62% | 21% | 3% | 13% | 0% | 0% | 86 | 25 | 18 | 74 |
| | 65 | 73% | 3% | 2% | 16% | 6% | 0% | 118 | 29 | 33 | 115 |
| Open-pit upper floor (Figure 4b) | 40 | 46% | 27% | 9% | 15% | 3% | 0% | 132 | 32 | 28 | 86 |
| Ore loading, drilling and traffic (Figure 5a) | 30 | 0% | 8% | 11% | 77% | 5% | 0% | 138 | 66 | 19 | 28 |
| | 40 | 1% | 7% | 15% | 74% | 2% | 1% | 160 | 63 | 18 | 29 |
| Waste discharge (Figure 5b) | 25 | 54% | 18% | 9% | 15% | 1% | 3% | 284 | 31 | 42 | 134 |
| Mineral processing (Figure 6) | 40 | 39% | 33% | 7% | 19% | 2% | 0% | 144 | 33 | 24 | 72 |
| | 50 | 27% | 36% | 14% | 21% | 3% | 0% | 141 | 37 | 25 | 66 |
| Effects on Nerva (Figure 7a) | 12 | 66% | 15% | 5% | 12% | 2% | 1% | 160 | 22 | 28 | 129 |
| Effects on La Dehesa (Figure 7b) | 40 | 15% | 33% | 10% | 26% | 14% | 2% | 179 | 55 | 37 | 67 |
| | 50 | 46% | 38% | 6% | 7% | 2% | 1% | 157 | 28 | 25 | 90 |

513

514 **4. Conclusion**

515 In this study, an innovative methodology for assessing PM_{10} concentrations in air using a UAV-
 516 borne LCS was developed. First, the LCS was comprehensively calibrated to confirm that the PM_{10}
 517 measurements were similar to those obtained using regulatory apparatuses. Subsequently, using
 518 the fluid mechanics simulator ANSYS, the propellers of the UAV were confirmed to not have any
 519 effect on the measurements when the flight speed is low (3 m/s).

520 The results revealed that when the mine was not operating, the resuspension of PM_{10} was low at
 521 low wind speeds (3.6 m/s). However, mining operations such as traffic, dry ore loading, waste

522 discharge, and drilling or mineral processing can release significant amounts of PM₁₀ at unhealthy
523 concentrations (>51 µgPM₁₀/m³), which lead to concerning accumulations of PM₁₀ that can travel
524 over long distances. Moreover, it has been observed that PM₁₀ undergoes significant dilution over
525 short distances when these operations are not conducted simultaneously. Additionally, as altitude
526 increases, there is a general improvement in air quality, with higher altitudes mainly exhibiting
527 better air quality compared to lower ones. Finally, we demonstrated that this methodology
528 facilitates the study of PM₁₀ transport from mining areas to surrounding villages. In conclusion,
529 studying different altitudes helps to understand PM₁₀ distribution in 3D-space and to assess the
530 impact of winds on its transport. Examining vertical and horizontal axes aids in evaluating dust
531 arrival from the mining complex to nearby towns. Indeed, studying the transport model of PM₁₀ in
532 heights is crucial to evaluate whether pollutants can reach neighboring areas or become diluted. It
533 is worth noting that even at high altitudes, dust clouds can lead to atmospheric deposition,
534 influenced by wind speed.

535 Airborne LCSs have proven to be useful tools for the online monitoring of atmospheric particulate
536 matter during specific mining operations. Although their applications remain to be further
537 explored, airborne LCSs have been revealed to be very interesting for mining companies
538 experiencing air pollution problems, as they enable the monitoring of the scattering of the PM
539 produced by mining activities. Thus, by opting for cost-effective solutions, mining corporations
540 can allocate their resources effectively while still obtaining valuable air quality data for their
541 monitoring and modelling needs. Using such results dust abatement or mitigation measures can be
542 applied in certain areas or specific operations, and the contribution of mines to global atmospheric
543 pollution in the surrounding areas can be further investigated.

544 In future studies, it is recommended to employ UAVs equipped with longer-lasting batteries. This
545 enhancement would enable the investigation of the spatial distribution of dust clouds in real-time,
546 to build better 3D modelling of PM₁₀ with improved precision in both temporal and spatial
547 dimensions. With them, researchers can gain deeper insights into the dynamics of PM₁₀ dispersion,
548 allowing for more accurate assessments of its dilution over time and distance, as well as its
549 implications for human health.

550 **Credit author statement**

551 **A. Zafra-Pérez:** Writing–Original draft; Investigation; Data curation; Formal analysis; Software;
552 Visualisation. **C. Boente:** Writing–Original draft; Investigation; Conceptualisation; Methodology;
553 Validation; Supervision. **M. García-Díaz:** Data curation; Formal analysis; Software; **J.A. Gómez**
554 **Galán:** Writing–Review and Editing; Supervision. **A. Sánchez de la Campa:** Writing–Review &
555 Editing; Resources; Supervision. **J.D. de la Rosa:** Writing–Review and Editing; Resources;
556 Conceptualisation; Methodology; Supervision; Funding acquisition; Project administration.

557 **Declaration of competing interest**

558 The authors declare that they have no known competing financial interests or personal
559 relationships that could have appeared to influence the work reported in this study.

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