

# 1 **Implementation of a novel continuous solid/liquid mixing accessory for 3D printing of** 2 **dysphagia-oriented thickened fluids**

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9

## 10 **ABSTRACT**

11 This paper describes the implementation and evaluation of an accessory designed and manufactured  
12 to be adapted to a 3D printer to allow the in situ and continuous mixing of powder and liquid feeds.  
13 In particular, the capacity of this accessory to correctly mix a dysphagia-oriented commercial powder  
14 thickener with several conventional fluids (i.e. water, juice, and milk) was studied. Target thickener  
15 concentrations were defined in order to achieve mixtures with viscosities corresponding to the  
16 textures established by the National Dysphagia Diet Task Force (NDD)—nectar-like, honey-like, and  
17 spoon-thick—for thickened fluids. Both the accuracy of the solid content and the rheological  
18 response of the obtained mixtures were evaluated. Although fluctuations were observed in the  
19 concentrations of the mixtures obtained by continuous mixing with respect to the target values, the  
20 viscosities obtained were within the limits established for each of the desired textures. The  
21 thickened fluids processed using the 3D printing mixing accessory showed viscosities very similar to  
22 their hand-mixed counterparts and a higher degree of structuration, especially when printed at low  
23 mass flow rates, as well as a lower amount of entrapped air. This method of preparation allows the  
24 production of thickened fluids with more appealing shapes and colours for the long-term dysphagia  
25 management, improving the quality of life of patients with dysphagia, and promoting treatment  
26 compliance.

27

28 **Keywords:** 3D printing; dysphagia; gels; mixing; thickened fluids; rheology.

29

## 30 **1. Introduction**

31 Swallowing difficulty (dysphagia) is a problem that, according to the most conservative estimates,  
32 affects approximately 8% of the world's population (Cichero et al., 2013). Dysphagia can be a  
33 consequence of a multitude of neurological, muscular, and structural pathologies or can even be  
34 drug-induced (Shaker, 2006). It can lead to very serious complications, including malnutrition and

35 dehydration as well as severe respiratory problems, such as aspiration pneumonia, resulting from  
36 the aspiration of food or fluids into the airways (Carrión et al., 2019; Pere Clavé & Shaker, 2015). As a  
37 result, dysphagia is associated with increased morbidity, worse prognoses, longer hospital stays,  
38 more frequent readmissions, and, in turn, higher mortality rates (Attrill et al., 2018; Cabré et al.,  
39 2013).

40 One of the most widely used interventions in the management of dysphagia is the thickening of low-  
41 viscosity liquids, as these normally present the greatest risk of aspiration (Andersen et al., 2013;  
42 Newman et al., 2016). Fresubin® Clear Thickener (FCT) is a gum-based  $\alpha$ -amylase-resistant powder  
43 thickener that is widely used for modifying the rheological behaviour of fluids in dysphagia  
44 management (Ortega et al., 2020; Turcanu et al., 2018). As the thickener increases the viscosity of  
45 the fluids, the flow rate of the bolus during swallowing is significantly reduced, increasing the  
46 chances of the airways being secured in time to prevent aspiration (Inamoto et al., 2013; Qazi et al.,  
47 2019). The viscosity-dependent therapeutic effect of this thickener has proven to be especially  
48 noticeable in patients with impaired swallowing physiology, such as elderly people, Parkinson's  
49 disease patients and post-stroke patients. Increasing viscosity through the use of this thickener  
50 allows these patients to swallow safely in up to 96% of cases, compared to just over 40% who are  
51 able to do so when swallowing low-viscosity liquids (Ortega et al., 2020). As the viscosity required for  
52 safe swallowing varies from patient to patient (Choi et al., 2011; P. Clavé et al., 2006), several levels  
53 of thickening are established. The most common and accepted classification is that given by the  
54 National Dysphagia Diet Task Force (NDD); according to this classification, at a shear rate of  $50 \text{ s}^{-1}$   
55 and a temperature of  $25 \text{ }^\circ\text{C}$  the viscosity values are as follows (American Dietetic Association, 2002):

- 56 - Thin liquid:  $1\text{--}50 \text{ mPa}\cdot\text{s}$
- 57 - Nectar-like:  $51\text{--}350 \text{ mPa}\cdot\text{s}$
- 58 - Honey-like:  $351\text{--}1750 \text{ mPa}\cdot\text{s}$
- 59 - Spoon-thick:  $<1750 \text{ mPa}\cdot\text{s}$

60 However, although this classification has been widely used for years, it is not universally accepted at  
61 the level of the medical community, as it is excessively simplistic and does not consider the non-  
62 Newtonian character of these thickened fluids or the different effective shear rates along the upper  
63 digestive tract (Brito-de la Fuente et al., 2019; Nutritional Aspects of Dysphagia Management, 2017;  
64 Salinas-Vázquez et al., 2014). In addition, the influence of saliva in the rheological properties of these  
65 thickened products should also be taken into account to improve the management of dysphagia  
66 (Herranz et al., 2021). All this has led to the emergence of different initiatives to implement viscosity  
67 level classifications that do consider these aspects, such as the one promoted by the European  
68 Society for Swallowing Disorders (ESSD) in alliance with other relevant European societies. This

69 initiative recommends that, in addition to texture classification at  $50 \text{ s}^{-1}$ , manufacturers of thickening  
70 agents also include the viscosity value, at  $300 \text{ s}^{-1}$ , in  $\text{mPa}\cdot\text{s}$  into the labels, as well as the effect on it  
71 of salivary  $\alpha$ -amylase (Baijens et al., 2021).

72 FCT-thickened fluids show a well-known and characterised shear-thinning behaviour, with a  
73 reduction in viscosity of around two decades when shear rate is increased from 1 to  $300 \text{ s}^{-1}$ .  
74 Additionally, in contrast to other thickeners, FCT-thickened fluids are not affected by  $\alpha$ -amylase,  
75 with the same shear-induced viscosity reduction observed in orally incubated samples as in the  
76 absence of saliva. This is an essential feature for dysphagia-oriented products, as a significant  
77 reduction in viscosity on contact with saliva can seriously compromise patient safety (Ortega et al.,  
78 2020; Turcanu et al., 2018).

79 The dose of FCT required to obtain these consistencies (nectar-like and higher) starting from  
80 Newtonian fluids as well as the way to prepare thickened liquids by manual mixing are indicated on  
81 the FCT packaging. However, although these instructions indicate how to reduce the inlet of air and  
82 consequent formation of bubbles in the mixture as much as possible, this is almost impossible in  
83 manual mixing and even more difficult with other mechanical means (such as blenders) (Sopade,  
84 Halley, Cichero, Ward, Hui, et al., 2008). Furthermore, it can be difficult for this process to be  
85 repeated from one preparation to another, especially if mixing is performed by different people.  
86 These complications, in the worst case, can lead to a significant decrease in the viscosity of the  
87 mixture, thus the failure to meet the specific requirements for each texture.

88 To minimise these drawbacks while providing new and interesting features, in this study, a new  
89 mixing device was designed and manufactured to be adapted to a 3D printer and controlled by its  
90 firmware, allowing the continuous and automatic in situ mixing of (at least) one powder and one  
91 liquid feed. This device can simply be used as an automatic mixer to obtain mixtures with  
92 proportions controlled via software. In this way, it exhibits advantages over manual preparation,  
93 because the introduction of air into the system during manual mixing is unavoidable (especially in  
94 mixtures with high viscosity). This new preparation method allows mixing without letting air enter  
95 the system; the few small air bubbles that can be observed in mixtures are due solely to the air  
96 trapped between the solid particles, which can be minimised by controlling the granulometry.

97 In addition, through the use of additional feeds, more complex mixtures containing nutrients, drugs,  
98 or even colouring and/or flavouring agents can be prepared. When used to obtain concentrated  
99 systems ( $>10 \text{ wt.}\%$ ) with viscosities that allow self-sustainment to form three-dimensional  
100 configurations, shape can be another key element in making thickened fluids more palatable and  
101 appealing for dysphagia patients. With the device coupled to a 3D printer, which allows it to be  
102 moved in three dimensions, gel models can be designed and printed with appealing shapes similar to

103 conventional foods, which could make clinical nutrition more attractive to patients and less  
 104 repetitive in long-term treatments (Díaz et al., 2019). Making thickened fluids more attractive is of  
 105 utmost importance, as the lack of acceptance of these products by patients is a well-known issue.  
 106 Patients' dislike of thickened fluids has, on the one hand, a very significant negative effect on their  
 107 quality of life and, on the other hand, negative effects due to the potential non-compliance with  
 108 treatment or reduced fluid and food intake (Colodny, 2005; Lim et al., 2016; Low et al., 2001).  
 109 The objective of this study was to develop and validate a novel device designed for the continuous  
 110 mixing of solids and liquids, hereinafter referred to as the MIX3D accessory, controlled by the  
 111 firmware of a RepRap 3D printer to which it is attached in order to be applied for dysphagia  
 112 management. This device can mix a liquid (water, juice, and milk) with FCT to obtain mixtures at  
 113 different concentrations covering the range from nectar-like to spoon-thick consistencies. The actual  
 114 content of solids and the final rheological behaviour of the mixtures obtained with the proposed  
 115 device were evaluated and compared with those found in hand-prepared mixtures by following the  
 116 instructions given on the label of the product.

117

118 **2. Experimental**

119 *2.1. Materials*

120 FCT was provided by Fresenius Kabi Deutschland GmbH (batch 29NB0433). This commercial  
 121 thickener, widely used in dysphagia management, is composed of xanthan gum, modified starch,  
 122 maltodextrin, modified cellulose and flavouring (Ortega et al., 2020). The nutritional information is  
 123 included in Table 1.

124 *Table 1. Nutritional information for Fresubin® Clear Thickener (Fresubin Clear Thickener –*  
 125 *Caring for Life, n.d.)*

Ingredients	Average content per 100g
Energy [kJ/kcal]	1108/264
Fat [g]	0
Carbohydrate [g]	41.5
of which sugar [g]	1.3
of which lactose [g]	0
Fibre [g]	48
Protein [g]	0.5
Sodium [mg/mmol]	1570/68.3
Potassium[mg/mmol]	500/12.8
Phosphorus[mg/mmol]	200/6.5

126 and used as received to be fed into the hopper of the MIX3D accessory for subsequent mixing with  
 127 distilled water. Orange juice with no added sugar (Juver SA, Spain) and skimmed milk (Covap, Spain),  
 128 purchased in a local store, were also used as alternative solvents.

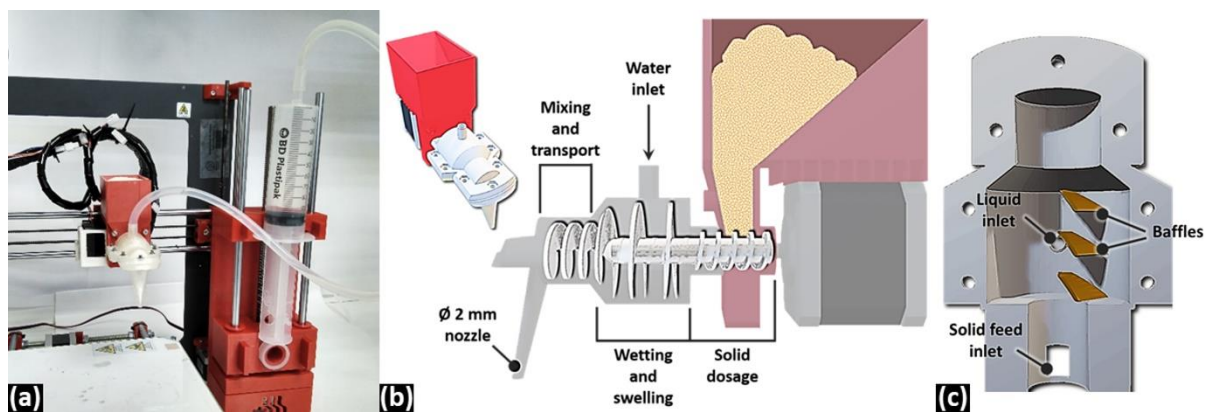
129

## 130 2.2. 3D printer

131 The BQ Hephestos 3D printer DIY kit designed by BQ (Spain) and the electronics (Arduino Mega 2560  
132 and RAMPS 1.4 shield) were purchased from Ícarus Informática (Spain). All parts of the MIX3D  
133 accessory (excluding stepper motor and screws) were designed in our laboratory and printed with an  
134 unmodified BQ Hephestos.

135 Marlin was chosen as firmware for the 3D printer. The different models printed during this study  
136 were designed using the AutoCAD 2018 software and Tinkercad online app. The 3D models were  
137 sliced using the Ultimaker Cura software, and the 3D printer was controlled during operation using  
138 the Repetier-Host software.

139 To mix solids and liquids during printing, the equipment must handle both types of feeds separately.  
140 The liquid feed is dosed using a syringe pump driven by a motor directly connected to the printer  
141 electronics to be controlled as a conventional extruder (Figure 1a). This syringe pump was also  
142 designed and assembled from 3D printed parts and non-printable components supplied by  
143 3DEspana (Spain). The solid, in powder form, is fed through a hopper and dosed by a feeder screw,  
144 which will also drive and mix the material during its flow through the MIX3D accessory (Figure 1b).  
145 More detailed drawings and the dimensions of the mixing chamber, the screw and the hopper can  
146 be found in the Supporting Information (Figure S1).



147

148 *Figure 1. MIX3D accessory layout. (a) photograph of device and syringe pump setup, (b) schematic*  
149 *representation of the mixing device, (c) inside view of the upper half of the mixing device casing*

150 The mixing screw is directly attached and driven by a NEMA 17 motor, which, like the one in the  
151 syringe pump, is configured as a conventional extruder to be controlled via software. Marlin  
152 firmware has the option of using a mixing extruder, by uncommenting the option available in the  
153 'Configuration.h' file and specifying the number of motors to be used. The extruders can then be  
154 operated simultaneously through a virtual extruder in Repetier-Host for which the flow ratio of both  
155 feeds can be controlled by means of a simple script. However, when a conventional 3D printer has  
156 multiple extruders, they are usually of the same type and geometry, so the flow ratio can be

157 assigned directly. That is, by setting a weight of 50 (over 100) for each extruder, the material flow  
158 would be the same. This is not the case in the proposed system, where the syringe pump and hopper  
159 are feeding systems with completely different geometries; for equal motor movement, they provide  
160 different material flows. Therefore, the first step to set a specific concentration is to calibrate each  
161 of the feeds passing through the system individually. Once each feed has been calibrated separately,  
162 it is possible to correct the weights and obtain the desired solid content.

163 The casing of the device is divided into two halves (upper and lower) to allow the insertion and  
164 removal of the screw as well as the cleaning of all components. The feeding inlets are located in the  
165 upper half to reduce the probability of clogging, while the mixing outlet is located at the end of the  
166 lower half. In addition, the upper part contains an array of baffles (Figure 1c) matching the truncated  
167 segments of the screw for the removal of the wet solid from the surface of the screw. The device has  
168 no heating or cooling system, so prints were performed at room temperature.

169 All parts of the mixing device must be perfectly clean and dry before assembly. The two casing  
170 halves were secured with screws after inserting the feeder screw. This whole set is coupled to the  
171 hopper so that the motor shaft fits with the cavity in the feeder screw. Then, the hopper is loaded  
172 with the FCT powder, and the syringe pump, previously loaded with the liquid to be thickened, is  
173 connected to the upper inlet of the casing. When the device is loaded and ready for use, the desired  
174 flow ratio is configured via software.

175 It is worth mentioning that the weight of the device as a whole, even when filled with material, is  
176 very similar to that of the original Hephestos extruder (around 470 and 485 g, respectively). This is  
177 an important detail, as loading the 3D printer carriage with heavy components can be a source of  
178 problems during printing, as well as shortening the lifespan of mechanical components.

179

### 180 2.3. Calibration of the device and printing parameters

181 The firmware of the printer measures the advance of the motors (their rotation) in units of length.  
182 Thus, by setting the printer to print different distances and weighing the amount of material printed  
183 in each case, a flow rate value in g/mm can be obtained. This flow rate value, in turn, can be  
184 converted into the mass flow rate directly by means of the printing speed used (Eq. 1):

$$185 \quad \dot{m} = fr \cdot v, \quad (1)$$

186 where  $\dot{m}$  is the mass flow rate [g/min],  $fr$  is the flow rate [g/mm], and  $v$  is the printing speed  
187 [mm/min].

188 Since the geometries of the two feed systems are different, as are the flow rate values for the  
solvent ( $fr_s$ ) and the solid ( $fr_{FCT}$ ), therefore each of the flows has to be calibrated independently by

189 weighing the amount of material supplied by the syringe pump and the screw for given motor  
 190 advance lengths, resulting in:

$$fr_{FCT} = 1.13 \cdot \frac{10^{-3}g}{mm}, \quad fr_s = 0.33 \frac{g}{mm}.$$

191 Once the characteristic flow rate of each feed system is known, a weight from 0 to 100 is applied to  
 192 each motor to control the mixing ratio. A weight of 1 was assigned to the syringe pump motor ( $w_s$ ),  
 193 as it was the one with the highest feeding capacity. Then, the weight for the motor driving the screw  
 194 ( $w_{FCT}$ ) was calculated to obtain the correct ratio in each case. However, the sum of the weights of  
 195 the different motors must be equal to 100, so that a third 'ghost' motor is defined in order to absorb  
 196 the excess weights. Different mass flows can be achieved by multiplying the weights of the FCT and  
 197 solvent. For instance, considering the nectar-like concentration (1.6 wt.%),

$$198 \quad \dot{m} = 2 \text{ g/min} \begin{cases} w_s = 1 \\ w_{FCT} = 4, \\ w_g = 95 \end{cases} \quad \dot{m} = 4 \text{ g/min} \begin{cases} w_s = 2 \\ w_{FCT} = 8, \\ w_g = 90 \end{cases}$$

199 where  $w_s$ ,  $w_{FCT}$ , and  $w_g$  are the weights of the solvent feeding motor, the FCT feeding motor, and  
 200 "ghost" motor, respectively. The script to apply these mixing ratios in Repetier-Host would then be  
 201 as shown below for the example of the nectar-like texture at 2 g/min:

```
202         M163 S0 P1
203         M163 S1 P4
204         M163 S2 P95
205         M164 S0
206         T0
```

207 This script saves these mixing ratios for extruder 0 and selects the corresponding extruder (T0).  
 208 There are several ways for this script to be applied during printing, but the most convenient is to add  
 209 it in Cura as part of the 'Start G-code' field in the machine settings.  
 210 Finally, the mixing mass flow rate can be calculated as follows:

$$\dot{m} = (w_{FCT} \cdot fr_{FCT} + w_s \cdot fr_s) \cdot v. \quad (2)$$

211 The printing speed was set to 600 mm/min for nectar-like blends and was slightly changed to  
 212 compensate for the increased solid flow for the two higher concentrations. The resulting  
 213 configurations for each concentration and mass flow are summarised in Table 2.

214

215 *Table 2. Summary of the samples studied in this work*

Texture	FCT concentration setpoint [wt.%] <sup>a</sup>	Printing speed [mm/min]	$w_s/w_{FCT}$	Mass flow rate [g/min]
Nectar-like	1.6	600	1/4	2
			2/8	4
			3/12	6
			5/20	10
Honey-like	5.0	583	1/13	2
			2/26	4
			3/39	6
			4/52	8
Spoon-thick <sup>b</sup>	9.5	555	1/26	2
			2/52	4

<sup>a</sup> Manufacturer's recommended concentrations, indicated on product packaging

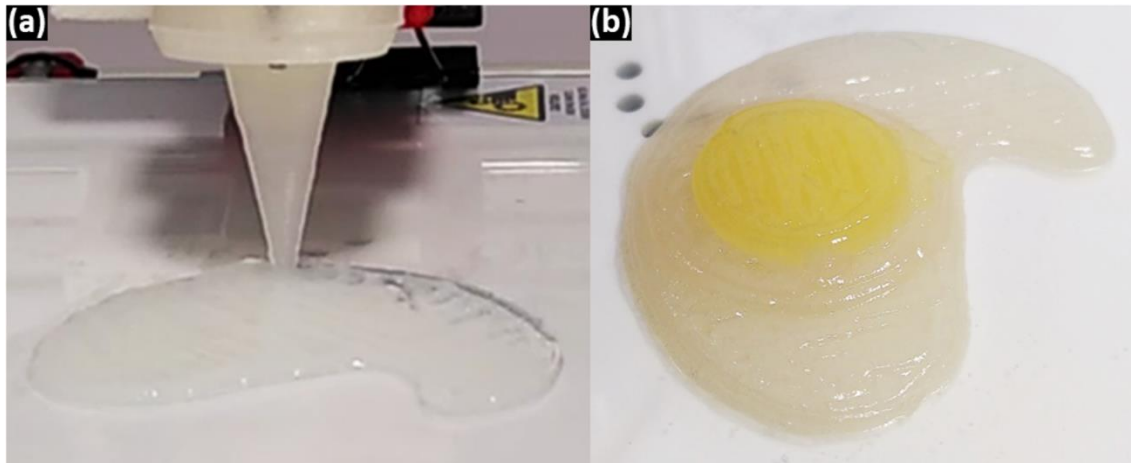
<sup>b</sup> The same mass flow rates have not been studied for all textures because, with shorter residence times, the mixing was not adequate, and very heterogeneous systems were obtained

216 However, owing to the high viscosity and stickiness of the system, the complexity in the mixing and  
 217 flow of the material causes fluctuations in the final concentration. One of the goals of this work was  
 218 to optimise the operating parameters and routines of the device to improve the accuracy of the  
 219 concentrations obtained and thus ensure that the resulting thickened fluids had the right viscosity  
 220 for each texture. Thus, the actual solid content of each sample was gravimetrically quantified. To this  
 221 end, each sample was weighed before being placed in a Selecta Digitronic convection oven (Selecta  
 222 SA, Spain), dried overnight at 90 °C, and weighed again the day after.

223 Once the flow rates and concentrations have been optimised, the device can be used for different  
 224 purposes. For thickened fluids with lower concentrations (nectar-like and honey-like textures), the  
 225 device would basically act as an automatic dispenser or as a way to create decorations that do not  
 226 require the material to be able to form self-sustaining structures. When the mixed products have  
 227 sufficient consistency to self-support, as is the case of spoon-thick textures, the potential of 3D  
 228 printing is really exploited, and attractive forms and/or shapes that simulate real food can be  
 229 created, as shown in Figure 2 and Movie M1 of the Supporting Information.

230 Although only concentrations corresponding to NDD textures have been systematically studied,  
 231 blends at 20 wt.% FCT (2 g/min mass flow) have been achieved with acceptable repeatability, giving  
 232 viscosities of around 575 Pa·s at 0.5 s<sup>-1</sup> and 9 Pa·s at 50 s<sup>-1</sup>.

233



234  
 235 *Figure 2. 3D printing of a simulated fried egg made with thickened milk and orange juice (a) during and (b)*  
 236 *after 3D printing (FCT concentration: 9.5% wt.).*

237

#### 238 *2.4. Rheological characterisation*

239 Rheological characterisation of the MIX3D accessory-processed prints was carried out with a  
 240 controlled stress rheometer (Physica MCR-301, Anton Paar, Austria). Viscous flow measurements  
 241 were performed within a range of shear rates of 0.01–100 s<sup>-1</sup> at 25 °C using a 50 mm serrated plate  
 242 geometry and a gap of 1 mm.

243 The same plate-plate geometry was used to perform small-amplitude oscillatory shear (SAOS) tests  
 244 inside the linear viscoelastic region in a frequency range of 100–0.03 rad/s.

245

#### 246 *2.5. Statistical Analysis*

247 For the evaluation of concentration accuracy, the solids content of at least seven samples was  
 248 checked for each texture and flow rate. Among them, a minimum of eight samples (at least two at  
 249 each flow rate) per level of consistency were subjected to viscous flow measurements, and four  
 250 samples (two per flow rate) were subjected to SAOS tests. The standard deviation was calculated for  
 251 each mean value as a measure of variability.

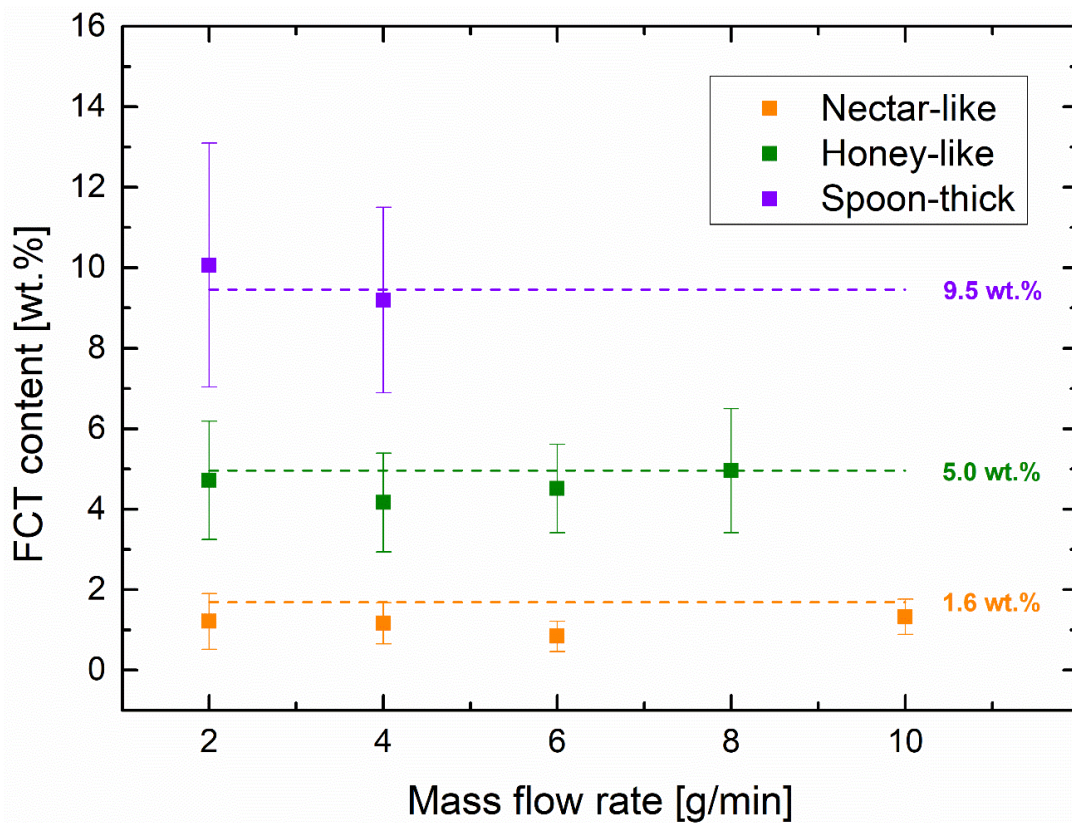
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### 253 **3. Results and discussion**

#### 254 *3.1. Solid content accuracy*

255 The first step in the evaluation of the applicability of the MIX3D accessory is to check that the  
 256 concentrations obtained are correct according to the setpoint established via software in each case.  
 257 Although this may seem trivial because the feeds have been correctly calibrated separately and  
 258 without fluctuations in their flow, it is not. When FCT and water come into contact, a very sticky,  
 259 highly viscous wet dough is created, whose flow properties change as it moves through the

260 accessory and the degree of mixing increases. In addition, this process significantly depends on the  
 261 concentration set. The handling of this wet dough is very complex and has led to numerous changes  
 262 in the design of the device to avoid blockages, accumulations of solids, and total or partial clogging  
 263 of the feed inlets, which were the main reasons why the concentrations obtained were very  
 264 different from those expected. While the current design solves all these complications, there are still  
 265 some fluctuations and variations in concentration among printed replicates. Figure 3 shows the  
 266 actual concentrations of all the blends processed with the MIX3D accessory throughout this study,  
 267 and their deviation from the target concentrations.



268  
 269 *Figure 3. Actual FCT content of thickened water obtained with the MIX3D accessory at different mass flow rates*

270 The figures illustrate the complexity of the flow of the solid/liquid blend and its dependence on  
 271 concentration, as discussed previously. At all flow rates, the average solid content of the nectar-like  
 272 blends was  $1.1 \pm 0.5$  wt.%. The variability among the concentrations obtained was minimal in this  
 273 case. However, this value is slightly lower than the target value. At all mass flows, the mean  
 274 concentration values for honey-like and spoon-thick textures were  $4.5 \pm 1.3$  and  $9.6 \pm 2.6$  wt.%,  
 275 respectively. For these consistencies, the variability was greater. Nevertheless, the averages are  
 276 proportionally much closer to the set value, especially in the case of the spoon-thick blends. The  
 277 sources of error in the concentration, as observed during the experimentation with the device, are  
 278 the backward movement of liquid into the dry solid feed zone (Figure 1) and the slight accumulation  
 279 of solid stuck to the different elements of the accessory. In the first case, the moistened powder in

280 the feed zone causes a reduction in the flow of the solid, which results in a concentration drop. In  
281 fact, this is most likely the cause of the average solids content below the setpoint for nectar- and  
282 honey-like textures, as the lower linear velocity induced by the screw and the presence of a greater  
283 amount of water within the device induces the liquid to flow back more easily. In the second case,  
284 the solid accumulated in the small dead zones of the device causes the concentration to be slightly  
285 lower at the beginning of the operation and higher afterwards. However, the variation caused by  
286 this effect is minor (the device is designed to minimise dead zones and baffles avoid the adhesion of  
287 solid to the surface of the screw) and is only noticeable for the more concentrated blends (spoon-  
288 thick samples) since, in those with a higher water content, the powder dissolves better and does not  
289 accumulate.

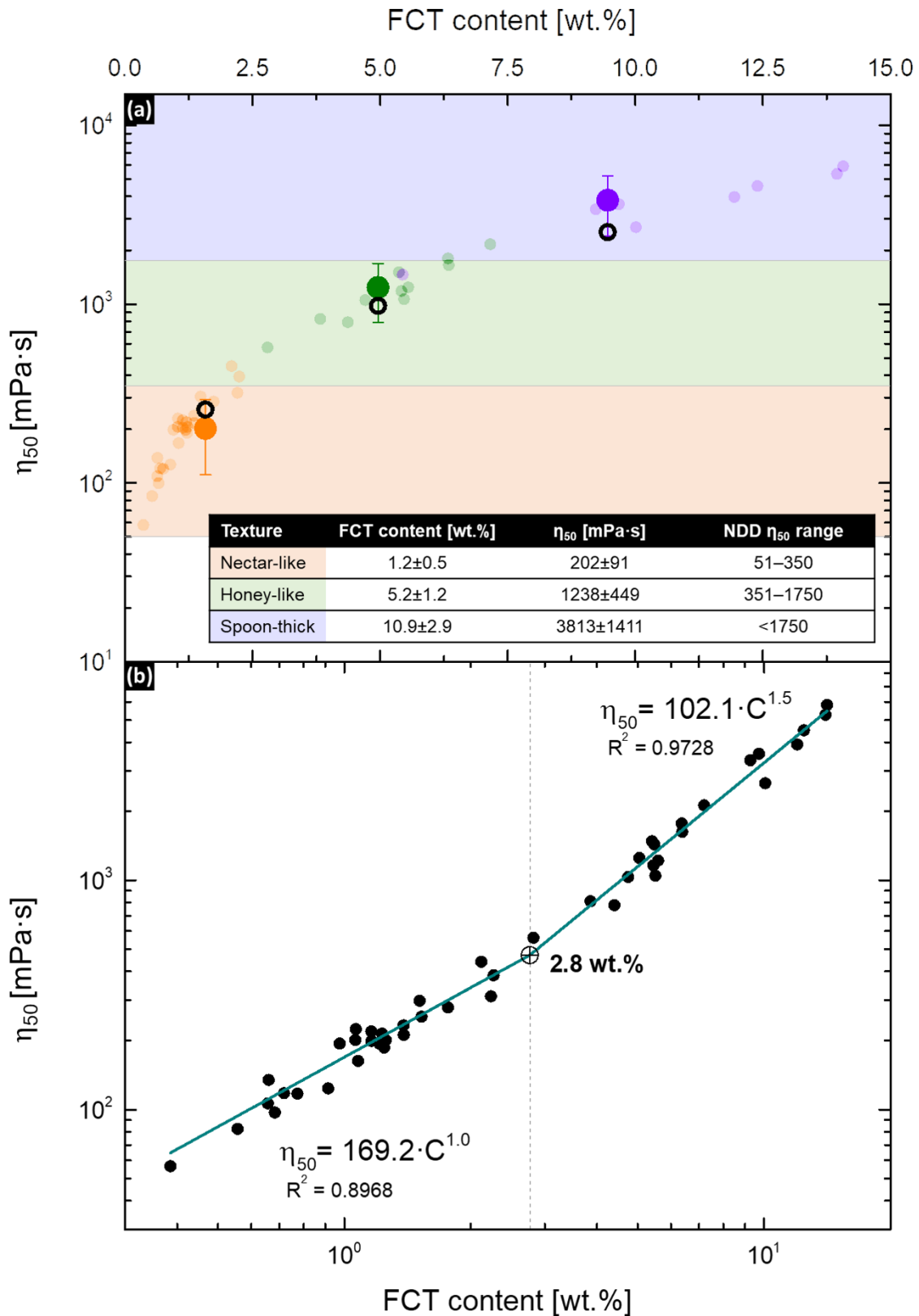
290 Figure 3 also shows that, for nectar- and honey-like textures, the concentrations are more accurate  
291 at both low (2 g/min) and high (8 or 10 g/min, depending on the case) flow rates, with intermediate  
292 flows being more distant from the setpoint. At low rates, the residence time is higher and the  
293 powder is better dissolved in most of its path. When the solid is properly dissolved, the gel formed is  
294 not sticky, as in the case of the initial mixture; instead, it is slippery and flows easily. On the other  
295 hand, at high flow rates, the rapid linear advance of the screw rotating at high speed prevents the  
296 liquid from flowing back into the dry solid feeding zone, which has already been described as one of  
297 the most common complications. Thus, the intermediate flow values, in which none of these  
298 favourable situations occur, are those that present the greatest difficulty; thus, they are the values  
299 that are generally farthest from the setpoint.

300

### 301 *3.2. Flow measurements*

302 One of the main goals of the design of products for patients with dysphagia is to meet the prescribed  
303 requirements in terms of viscosity/consistency for a safe swallowing process. The addition of  
304 beverages and foods with inadequate viscosity increases the risk of aspiration and poses a real  
305 threat to their health, especially when the viscosity is lower than specified, as most patients with  
306 dysphagia have more problems swallowing thin liquids (Bolivar-Prados et al., 2019; Nutritional  
307 Aspects of Dysphagia Management, 2017; Leonard et al., 2014; Newman et al., 2016; Ortega et al.,  
308 2020; Quinchia et al., 2011).

309 Figure a shows the viscosity values of FCT/water blends processed with the MIX3D accessory  
310 measured at  $50\text{ s}^{-1}$  and  $25\text{ °C}$  ( $\eta_{50}$ ) according to NDD criteria. The largest points correspond to the  
311 average values and their standard deviations from the values obtained for each target concentration  
312 setpoint (1.6, 5.0, and 9.5 wt.%). On the other hand, black unfilled points refer to  $\eta_{50}$  values  
313 measured for hand-prepared blends, i.e. the conventional preparation method.



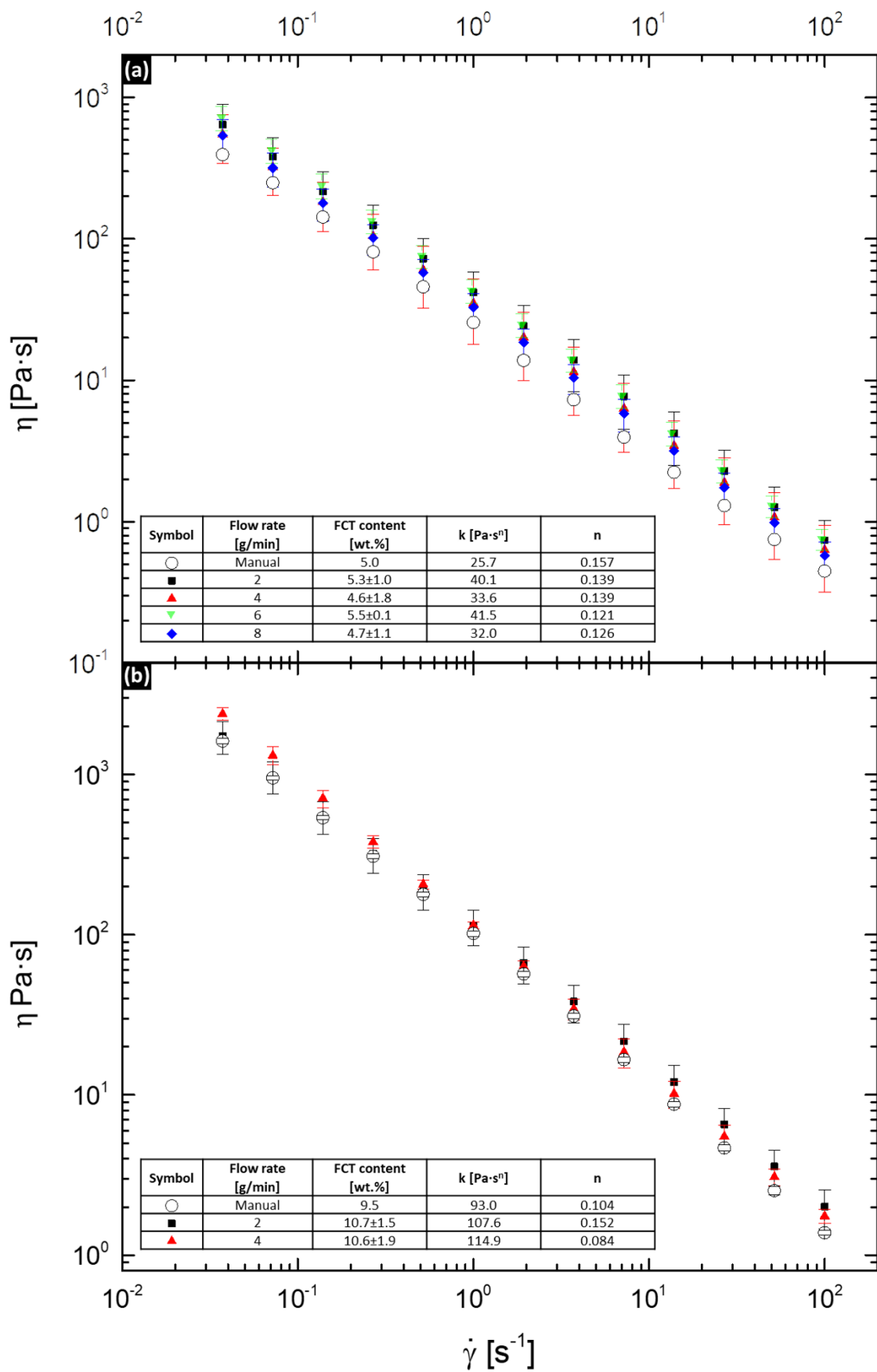
314

315 *Figure 4. Viscosity at a shear rate of 50 s<sup>-1</sup> and a temperature of 25 °C versus FCT content in water in (a) semi-*  
 316 *log scale (bigger points represent mean values for each texture, and black unfilled points represent the average*  
 317 *values of hand-prepared samples) and (b) log-log scale, fitted to intersecting power-law models*

318 As can be seen from the figure, most of the values are within the recommended viscosity range, and  
319 only a few printed replicates, such as the intended honey-like sample showing the lowest viscosity of  
320 the spoon-thick texture (highest green point), or the intended spoon-thick sample showing the  
321 highest viscosity of the honey-like texture (lowest violet point), have textures different from the  
322 target ones. Above all, it must be emphasised that the averages of the viscosity values and their  
323 standard deviations are within the limits established for the three textures.

324 The  $\eta_{50}$  as a function of the concentration in a log-log scale (Figure b) follow a linear trend,  
325 corresponding to a power-law expression, with a change in slope at a concentration of  
326 approximately 2.8 wt.%. This type of viscosity dependence on concentration is very common in  
327 hydrocolloid solutions, with changes in slope (or intersection between different potential models)  
328 being taken as critical concentrations and boundaries between different concentration regimes. The  
329 overlap concentration ( $C^*$ ), which separates the diluted and semi-diluted unentangled regimes, and  
330 the entanglement concentration ( $C_e$ ), which is the boundary between the semi-diluted  
331 unentangled/entangled regimes, are the critical concentrations most commonly found in polymer  
332 solutions, although more or less regions may be observed depending on the type of polymer (Pollard  
333 & Fischer, 2014; Wyatt & Liberatore, 2009; Zhang et al., 2016). Critical concentrations are usually  
334 obtained from the evaluation of zero-shear or specific viscosities and, because the increase in  
335 viscosity with concentration in shear-thinning fluids becomes less significant with increasing shear  
336 rate (Lapasin et al., 1995), the slopes obtained are smaller than those obtained in zero-shear  
337 conditions. Hence, the results obtained in this study are difficult to compare with those of previous  
338 studies, regarding the determination of the critical concentration. However, the critical  
339 concentration value found in this study (2.8 wt.%) is in good agreement with the entanglement  
340 concentrations of different starches (Li et al., 2016), which is, along with xanthan gum, one of the  
341 major components of FCT. On the contrary, the overlap concentration is usually found at much  
342 lower concentrations for starch and xanthan gum solutions (Wang et al., 2001; Wyatt & Liberatore,  
343 2009).

344 As mentioned in the Introduction section, although the NDD criterion for classifying textures is only  
345 the viscosity at  $50 \text{ s}^{-1}$ , there are different shear rates to which foods and beverages are subjected to  
346 during swallowing (Brito-de la Fuente et al., 2012, 2019; Nutritional Aspects of Dysphagia  
347 Management, 2017; Qazi et al., 2019; Salinas-Vázquez et al., 2014). Therefore, it is interesting to  
348 evaluate the viscous flow properties of these products over a wide range of shear rates. Figure  
349 shows the shear rate dependence of the viscosity for some selected blends.



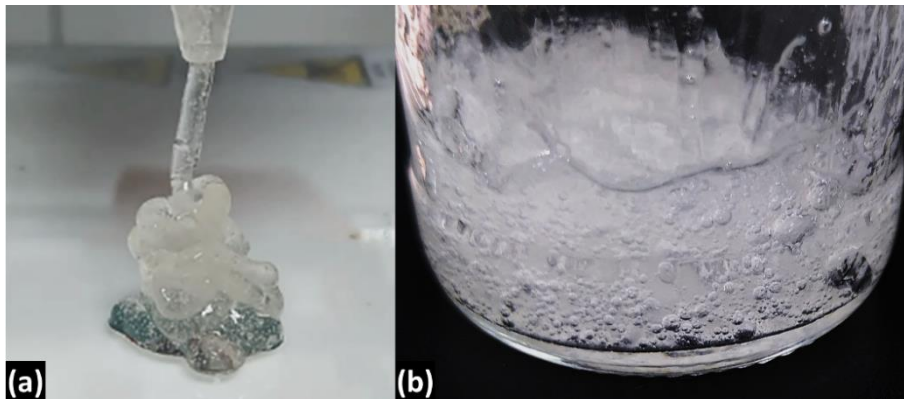
350

351 *Figure 5. Flow curves and values of power-law fitting parameters for (a) nectar-like and (b) spoon-thick blends*  
 352 *processed with the MIX3D accessory (solid symbols) and for hand-prepared blends (open symbols)*

353 Independently from the printing flow rate, the MIX3D accessory generally provides thickened  
354 samples with viscosity values and shear rate dependence comparable to those prepared by hand.  
355 The flow curves included in Figure 4 correspond to the average data for each flow rate. The standard  
356 deviation of the viscosities is represented as error bars, whereas the variability of the thickener  
357 concentration is indicated in the legends. The legends of Figure 4 also shows the parameters  
358 resulting from the fitting of the different average flow curves to the power-law model performed as  
359 follows (the inclusion of the fittings has been avoided for the sake of clarity):

$$\eta = k\dot{\gamma}^{n-1}, \quad [3]$$

360 where  $\eta$  is the apparent viscosity,  $\dot{\gamma}$  is the shear rate,  $k$  is the consistency index, and  $n$  is the flow  
361 index. The power-law fitting parameters also reflect the previously discussed differences in  $\eta_{50}$ . The  
362 consistency index ( $k$ ) is very sensitive to changes in concentration and, considering the fact that it  
363 represents the viscosity value at  $1 \text{ s}^{-1}$ , it also reflects the variations at low or moderate shear rates.  
364 Although the flow response of printed systems is very similar to that of hand-prepared ones, it is  
365 apparent that the former generally show slightly higher viscosity values than manually mixed blends,  
366 which could be due to the significantly lower bulk viscosity induced by the lower amount of air  
367 trapped in the printed samples, as illustrated in Figure .



368 **(a)** **(b)**  
369 *Figure 6. Images of spoon-thick blends (a) processed with MIX3D accessory and (b) prepared by hand*

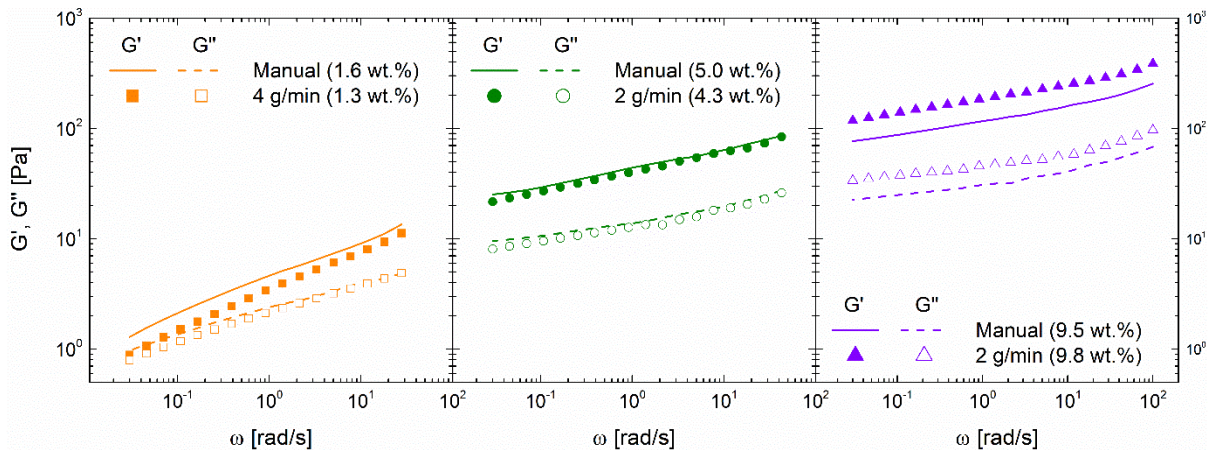
370 As a result, hand-mixed samples had lower viscosity than the MIX3D accessory processed samples  
371 with the same (or even lower) effective concentrations.

372

### 373 3.3. Linear viscoelasticity

374 The viscoelastic responses of the obtained blends in SAOS experiments were also analysed, and the  
375 results for selected blends printed at a 2 g/min flow rate are shown in Figure . The samples were  
376 subjected to frequency sweeps within the linear viscoelasticity regime. From Figure 6, it can be  
377 observed that the dependence of the moduli on frequency is weaker as the thickener concentration

378 increases, yielding gel-like responses, which evolve from soft gel, for the nectar-like texture, to a  
 379 strong gel, for the spoon-thick consistency.



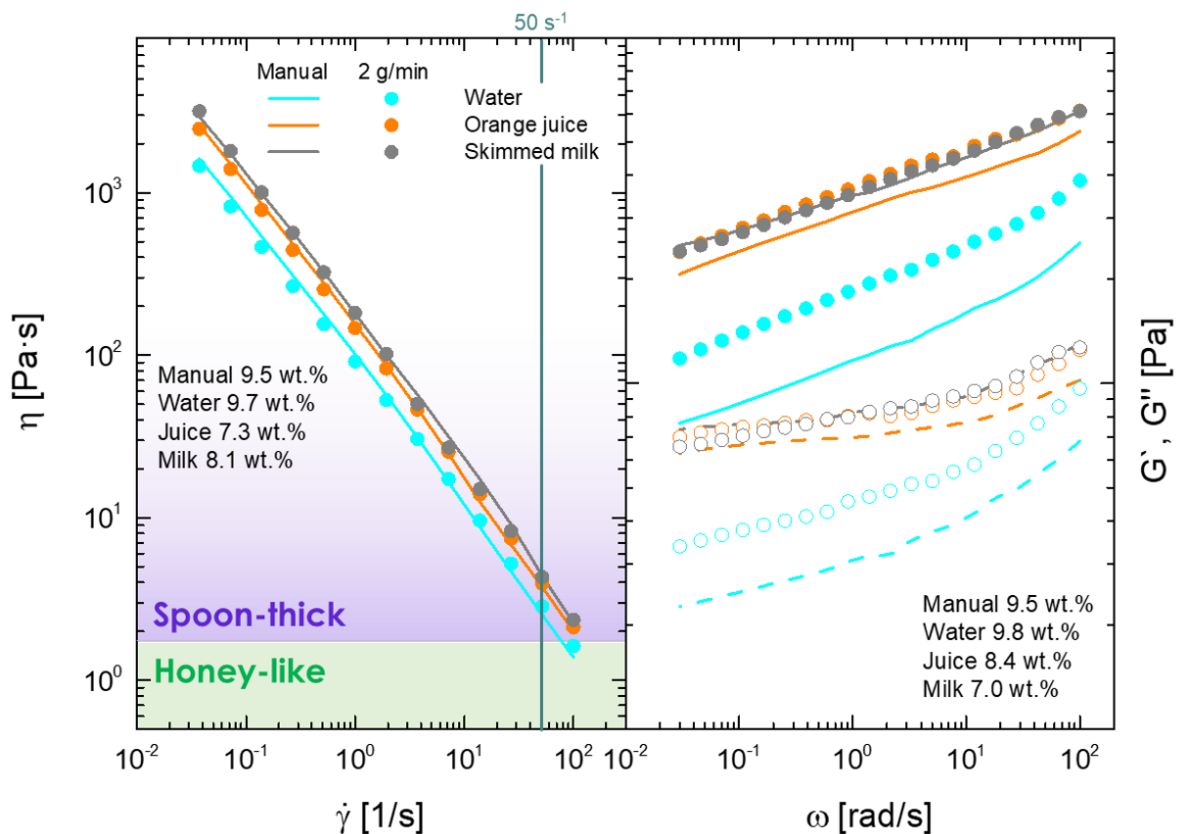
380  
 381 *Figure 7. Viscoelastic response of FCT/water (a) nectar-like, (b) honey-like, and (c) spoon-thick blends processed*  
 382 *with the MIX3D accessory*

383 A well-developed plateau region, with low values of the slope of the plots of  $G'$  and  $G''$  as a function  
 384 of the angular frequency, characteristic of strong gels, is apparent in the spoon-thick system,  
 385 whereas a tendency to reach a crossover between the  $G'$  and  $G''$  curves can be observed at low  
 386 frequencies for the nectar-like system. However, in all cases, a predominant solid-like behaviour is  
 387 observed; in particular, the storage modulus ( $G'$ ) is higher than the loss modulus ( $G''$ ) over the entire  
 388 frequency range considered. This confirms that the concentration of all the mixtures studied are  
 389 above the overlap concentration because, below this concentration, the diluted solutions must show  
 390 a viscoelastic response characterised by  $G''$  values higher than  $G'$  in a wide frequency range as well  
 391 as a high dependence of both SAOS functions on the frequency (Sworn, 2007).

392 Again, samples obtained with the MIX3D accessory show values of both moduli very close to those  
 393 of the samples obtained by manual mixing, despite having a FCT content sometimes lower than that  
 394 of hand-mixed samples. However, blends with spoon-thick textures processing with the MIX3D  
 395 accessory generally have higher SAOS moduli than those obtained by manual mixing, probably owing  
 396 to the lower amount of air bubbles incorporated, as discussed in the previous section.

397  
 398 *3.4. Thickening of common beverages*

399 The MIX3D accessory was also used to thicken both commercial orange juice and skimmed milk (see  
 400 Movie M2 in the Supporting Information). Samples were mixed at 2 g/min to obtain the spoon-thick  
 401 target texture, as this is the most interesting consistency to take advantage of all features of 3D  
 402 printing. Figure shows plots of the viscosity and linear viscoelasticity as functions of the shear  
 403 rate/frequency of the FCT-thickened orange juice, skimmed milk, and water obtained with the  
 404 MIX3D accessory and by manual mixing.



406

407 *Figure 8. (a) Viscosity vs. shear rate and (b) linear viscoelastic functions vs. frequency of FCT-thickened orange*  
 408 *juice, skimmed milk, and water*

409 From Figure 8, it can be seen that higher values of viscosity and linear viscoelastic functions are  
 410 obtained for thickened juice and milk than for thickened water. This is not surprising because the  
 411 influence of the dispersing fluid on the rheological properties of thickened fluids is a well-known  
 412 issue; the thickening effect of a given hydrocolloid is different for different dispersing media (Moret-  
 413 Tatay et al., 2015; Sopade et al., 2007; Sopade, Halley, Cichero, Ward, Hui, et al., 2008; Sopade,  
 414 Halley, Cichero, Ward, Liu, et al., 2008).

415 The most remarkable aspect of these results is that, by using the MIX3D accessory, similar viscous  
 416 and linear viscoelastic responses to those obtained by manual mixing may be obtained, although a  
 417 lower amount of thickener is needed (see inlets in Figure 8). More specifically, similar viscosity and  
 418 linear viscoelastic values as those shown by a 9.5 wt.% hand-prepared thickened milk have been  
 419 achieved by using 8.1 wt.% (for viscosity) and a 7.0 wt.% (for linear viscoelasticity functions)  
 420 skimmed milk, respectively, with the mixing accessory. In the case of orange juice, the viscosity of  
 421 the manually thickened fluid was matched by using a 7.3 wt.% thickener concentration. On the other  
 422 hand, the 8.4 wt.% thickener mixed with the accessory has storage and loss moduli values slightly  
 423 higher than those of the manually mixed 9.5 wt.% thickener.

424 A good example of how thickened fluids can be used to produce foods with an attractive appearance  
425 or simulated conventional foods is the “fried egg” for which the printing process is shown in Figure 2  
426 and Movie M1 (Supporting Information). This model has been produced with skimmed milk (egg  
427 white) and orange juice (egg yolk) thickened to spoon-thick consistency, at a flow rate of  
428 approximately 2 g/min (10 mm/s print speed at 1/26/73 mixing ratios), a layer height of 1 mm and a  
429 line width of 2 mm, according to the size of the outlet orifice of the device.  
430 Of course, it would be more appropriate to use base fluids that provide a taste more similar to that  
431 of a real fried egg, but this example adequately illustrates how this technology can be very useful for  
432 the preparation of appealing dysphagia-oriented products.

433

#### 434 **4. Concluding remarks**

435 The in situ mixing of solid and liquid feeds during a continuous 3D printing process was successfully  
436 achieved in this study. The accessory was shown to successfully thicken water, orange juice, and  
437 skimmed milk. However, there are still some fluctuations in the final thickener concentration as well  
438 as in the flow of the mixture along the device, which are mainly due to the stickiness and high  
439 viscosity of the product during mixing and therefore become more evident as the solid content  
440 increases. However, these fluctuations are low enough to achieve viscosity values within the range  
441 required for each texture (nectar-like, honey-like, and spoon-thick). This aspect should be further  
442 improved for future adaptations to new viscosity classifications requiring higher viscosities or more  
443 precise control of the final consistency. Furthermore, the introduction of air into the blends  
444 prepared with the accessory is minimal compared to that observed in the manual preparation,  
445 resulting in slightly higher viscosities and more homogeneous and transparent blends.  
446 Overall, the results of this study validate the proposed MIX3D accessory for the development of  
447 dysphagia-oriented products for in-situ application. The proposed accessory overcomes the  
448 limitations of the *in situ* mixing of solids and liquids. The proposed accessory is compatible with and  
449 operated by the firmware and hardware of a 3D printer and allows the implementation of additional  
450 feeds without complications, as well as the automatic and simple processing of foods with controlled  
451 rheological characteristics. This makes it possible the design of foods with appetising colours,  
452 odours, tastes and attractive shapes to favour patient acceptance.

453

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