

1 **Distribution and availability of rare earth elements and trace**
2 **elements in the estuarine waters of the Ría of Huelva (SW**
3 **Spain)**

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9
10 **Abstract**

11 Metal pollution in estuaries represents a serious environmental challenge, especially in
12 areas affected by industrial and mining activities. This study investigates the metal
13 partitioning and availability of rare earth elements (REE), Y and other trace metals (Ag,
14 Tl, U and Cs) in the Ria of Huelva estuary (SW Spain), strongly affected by mining and
15 industrial activities. A 30h monitoring campaign was performed collecting periodic
16 water samples and deploying diffusive gradient in thin films (DGTs) devices to determine
17 the main factors controlling metal availability. The dissolved concentrations of U (3118-
18 3952 ng/L) and Cs (284-392 ng/L) were in the same order of magnitude than those
19 reported in other estuaries and coastal waters worldwide, however, REE (26 -380 ng/L),
20 Y (15-109 ng/L), Ag (14-307 ng/L) and Tl (29-631 ng/L) concentrations exceeded these
21 values for the same salinities. Unlike most metals (i.e. Ag, Tl, U, Cs), which were mainly
22 found in the dissolved form (87-100% of total), REE and Y were found in the particulate
23 phase (22-36% of total). Metal lability was mainly related to the concentration in the
24 water column following this order: U>REE>Y>Ag>Tl. A similar binding mechanism was
25 observed for Tl and Cd, due to its chemical affinity. This relationship between chemical
26 properties and absorption by DGT-resin was also observed for REE (and Y), Rb and Sr,
27 which may cause bioaccumulation upon persistent exposure, considering the ability of
28 these metals to cross the biological membranes. The lability of metals predicted by
29 geochemical codes did not coincide with absorption of labile metals by DGTs due
30 probably to the instability of complexes in contact with the DGT membranes, the
31 inability of metals to form thermodynamically stable complexes or the absorption of

32 colloids. From this work it can be concluded that DGT passive sampling should
33 complement traditional sampling to monitor metal availability in aquatic environments.

34

35 **Capsule:**

36 Metal retention (i.e. REE, Y, Ag, Tl, U, Cs) in a strongly polluted estuary by Diffusive
37 Gradient in Thin Films (DGT) was related to water concentration rather than lability

38

39 **Keywords;** diffusive gradients in thin films (DGT); metal pollution; availability; metal
40 partitioning; sulfide oxidation; labile metals

41

42 **1. Introduction**

43

44 Estuaries are endangered coastal bodies due mainly to anthropogenic inputs from river
45 catchments. Metal/loid pollution in estuaries represents a serious environmental
46 challenge, especially in areas affected by industrial and mining activities (Machado et
47 al., 2016). In this context, the pattern of anthropogenic metal emissions has changed in
48 the last years due to the rising production and emissions of technology metals, those in
49 demand, available, and used for the purposes of furthering technology and engineered
50 systems (Eggert 2011). A remarkable example of technology metals is the rare earth
51 elements (REE) and Y, a group of chemically similar metallic elements (i.e. lanthanide
52 series), which are of great importance in the development of emerging key technologies,
53 electronics or the aerospace industry (Chakhmouradian and Wall, 2012). The REE and Y
54 distribution and fractionation processes have been widely studied in estuarine systems
55 (e.g. Lawrence and Kamber, 2000; Nozaki and Alibo, 2003), however, little is known
56 about the bioavailability of REE and Y in estuarine waters. Although some studies report
57 potential toxic effects of REE and Y on aquatic living organisms (e.g. Oral et al., 2010;
58 Romero-Freire et al., 2019), there are some discrepancies in the ecological risk
59 assessment of these metals (González et al. 2014). In addition, some ecotoxicological
60 studies fail to reproduce in the laboratory the real conditions found in the field (e.g.
61 matrices and concentrations). An alternative technique to study REE and Y exposure in
62 aquatic organisms is the diffusive gradient in thin-films (DGT), which provides reliable
63 and sensitive in-situ measurements of DGT-labile metal species (Menegário et al., 2017).

64 Thus, the use of DGTs allows to better understand the processes that govern interaction
65 of living organisms-biological membranes with labile metal(loid)s, by performing in-situ
66 measurements during a determinate period. Considering that the labile metal fraction
67 retained in the DGT devices may mimic the metal absorption by biological membranes,
68 the use of DGT technique could provide a reliable insight into the bioavailability of REE
69 and Y in aquatic environments. Although previous works have focused on the use of
70 passive samplers like DGT for base metal(loid)s monitoring in estuaries (e.g. Montero et
71 al., 2012; Mangal et al., 2016), to our knowledge, data of REE and Y retention by DGTs
72 in estuaries have not previously reported in literature. In the same way than for REE and
73 Y, the distribution in estuarine waters of other elements such as Ag, Tl, U and Cs have
74 also been, to a greater or lesser extent, focus of research (e.g. Luoma et al., 1995;
75 Windom et al., 2000; Anagboso et al., 2013; Bera et al., 2015). However, the knowledge
76 about the bioavailability of these metals is, like in the case of REE and Y, still limited,
77 especially regarding the use of DGT for measuring these metals in estuarine waters.

78 In this sense, because the high biological productivity of estuaries, the bioavailability of
79 these metals and their speciation should be assessed, especially in those estuaries
80 strongly impacted by mining and industrial effluents. An outstanding example of such
81 polluted environment is the Ria of Huelva estuary (SW Spain), historically affected by
82 sulfide mining activities. The dissolution of host rocks during sulfide oxidation processes
83 may also release elements such as REY, U, Cs and Tl (e.g. Law and Turner, 2011; Barbero
84 et al., 2014) into the hydrosphere, although this latter metal is moreover associated to
85 sulfides (Lis et al., 2003). Nevertheless, these elements are not only delivered by riverine
86 inputs but also by industrial activities; for instance, around 4.2 ton/yr of U and 144 kg/yr
87 of REE and Y are released from a nearby phosphogypsum stack (Fig. 1) (Pérez-López et
88 al., 2016; Cánovas et al., 2018). For this reason, the Ria of Huelva estuary could be an
89 ideal setting to study the bioavailability of these metals in polluted estuaries worldwide.
90 Therefore, the main aim of this work is to study the metal partitioning and availability
91 of REE and Y and other less studied trace metals (i.e. Ag, Tl, U and Cs) in an estuary
92 strongly affected by mining and industrial activities, as well as unravelling the main
93 factors controlling such bioavailability.

94

95 **2. Methodology**

96 **2.1. Description of the study area**

97 The Huelva estuary, also known as the Ria of Huelva (SW Spain), is formed by the
98 confluence of both the Odiel and Tinto acid rivers (pH average 3.5 and 2.5, respectively)
99 with seawater (Fig. 1). The estuary was cut into unconsolidated Cenozoic sediments
100 during the late Pleistocene and early Holocene. After flooding during the Holocene
101 transgression (around 8700 years BP), the lowest unit of the Holocene estuarine infilling
102 commenced deposition, but the estuary is now entirely infilled with sediment and has
103 started to prograde to form a delta (Carro et al., 2020). Freshwater inflow into the
104 estuary exhibits significant seasonal and annual variations, with average monthly
105 inflows of 49.8 Mm³ of acidic and metal-rich waters (Carro et al., 2020). The water depth
106 decreases progressively from the upper to the lower part of the estuary, with maximum
107 depths of 13 m. The estuary is characterized by a semidiurnal mesotidal regime with a
108 mean tidal range of 2.69 m (Borrego et al., 2004) and two different mixing processes: (i)
109 a pH-induced mixing process in the upper part, and (ii) a salt-induced mixing in the lower
110 part of the estuary. Thus, the hydrochemical properties of the Ria of Huelva estuary are
111 strongly controlled by mixing processes between the volume of acidic river waters and
112 seawater.



113

114 **Figure 1.** Location map of the study area (A), showing the sampling stations (T1-T4) and
115 main anthropogenic activities (B).

116

117 In addition to chronic mining contamination, especially during the last 200 years, the
118 estuary has also suffered from industrial pollution after the settlement of a huge
119 industrial complex in the 60's of the last century, composed mainly of a phosphate
120 fertilizer plant, a pyrite roasting and copper smelting plant, a paper mill and a
121 petrochemical complex (Sainz et al., 2004). In addition, the dumping of around 100 Mt
122 of phosphogypsum (Fig. 1) constitutes an important source not only of base metal/loids
123 into the estuary (Pérez-López et al., 2016) but also of REE and Y (Cánovas et al., 2018).

124

125 **2.2. Sampling**

126 A synoptic water sampling (n= 16) was performed during a 30h-time span (0, 6, 24, and
127 30h on July 25-26th 2018) along the outer zone of the Ria of Huelva estuary, where a
128 greater biological diversity is found. The time span was selected in order to include
129 several tidal cycles (low and high tides) to study the metal distribution and exposure
130 associated with these tidal changes. The tidal range during the study period was 1 m,
131 reflecting micro-tidal conditions in the estuary. Four different sampling stations were
132 selected according to their proximity to mine water influence and/or industrial and
133 harbor activities (Fig. 1) in order to evaluate their contribution. Sampling points were
134 selected within the outer zone of the estuary, dominated by salt-induced mixing
135 processes and near-neutral pH values, and characterized by abundance of living
136 organisms and by being affected by anthropogenic activities. T1 is located in the Tinto
137 estuary and suffers from both mining (Tinto river) and industrial effluents (acidic and
138 metal-rich leachates from the phosphogypsum stack; Fig. 1). Located in the outer part
139 of the Odiel estuary, T2 and T3 suffer from mining influence by the Odiel river, although
140 T3 may be also impacted by the Tinto river during high tides (Fig. 1) and by recreational
141 boat activities. Lastly, T4 is the outermost sampling point within the Ria of Huelva
142 estuary, where seawater influence is greater, being also affected by a harbor for
143 recreational ships (Fig. 1). The toxicity of the sediments around this area by Cu, As, Pb,
144 and Zn has been previously reported (Basallote et al., 2018).

145

146 Water samples (n=16) for trace elements determination were collected in high-density
147 polyethylene (HDPE) bottles, filtered through cellulose nitrate 0.45 µm pore size
148 Millipore filters, acidified to pH < 2 with Merck Suprapur® nitric acid 65% and kept stored
149 at 4 °C until analysis. Raw samples (not filtered but acidified) (n=16) were also collected
150 to determine the metal particulate content, being the difference between the filtered
151 and unfiltered samples assumed to be associated with particulate matter. A third aliquot
152 was collected and filtered but non-acidified for anion determination. All HDPE bottles
153 used during the sampling were acid-washed (10% HCl) for 24 h, rinsed in milli-Q water
154 and stored in sterile bags prior to use.

155

156 Potential metal toxicity and bioavailability was studied by passive samplers. Thus,
157 diffusive gradient in thin-films (DGT) devices (LSNX-NP loaded DGT device; DGT®
158 Research) were deployed in sampling points by duplicates. DGT units were deployed
159 hanging at 1 m depth bellow water surface using nylon cords to support them. The used
160 Chelex binding gel DGTs have been widely used for the determination of up to 40 metals
161 (Panther et al. 2014). In these devices, free cations and metal complexes are diffused
162 through a cellulose nitrate filter (0.45 µm porosity) and a diffusive gel due to a
163 concentration gradient, and then accumulated in a chelating resin gel Chelex-100. After
164 12 and 24h of exposure, DGT devices were removed and carried to the laboratory in
165 sterile bags. Then, the DGTs were disassembled and each resin gel (Chelex 100-gel) was
166 immersed separately in 1 mL ultrapure HNO₃ (3M) contained in acid washed
167 polyethylene vials and agitated at 60 rpm for 24 h, according to a specific determination
168 protocol (Ardelan et al., 2009; Basallote et al., 2020). Afterwards, the elution extracts
169 were diluted with Milli-Q water (18.2 MΩ, Millipore) to 10 mL, before metal
170 determination. Laboratory DGT blanks were used for results comparison purpose. DGT
171 concentrations were determined according to Davison and Zhang (1994) using Eq. (1):

172
$$C_{DGT} = \frac{M_{DGT} \Delta g}{DtA}, \quad \text{Eq. (1)}$$

173 where C_{DGT} is the concentration of metal in the DGT extract, M_{DGT} is the mass of the
174 metal accumulated on the binding layer, Δg is the thickness of the diffusive gel (0.078
175 cm) plus the thickness of the filter membrane used (0.014 cm), D is the diffusion
176 coefficient of each metal (cm² s⁻¹), t is deployment time (s, exact time for each exposure

177 period), and A is the DGT cross-sectional exposure area (3.14 cm²). The REE, Y and Tl
178 diffusion coefficients (D) reported by Yuan et al. (2018) and Deng et al. (2019)
179 respectively, were used in this study, taking into account the average temperature.

180

181 **2.3. Analytical determinations**

182 Physico-chemical parameters (i.e. temperature, pH, electrical conductivity (EC), and
183 oxidation-reduction potential (ORP)) were measured in situ using a HANNA HI 98190
184 and 98192 portable meters. A three-point calibration was done for both EC (1.413,
185 12.88, and 80 mS/cm) and pH (4.01, 7.00, and 9.21), while ORP was controlled using two
186 points (240 and 469 mV). The salinity (S) of samples was calculated from the Cl⁻ content
187 (following the equation $S = 1.805 \text{ Cl}^- (\text{g/L}) + 0.03$; from Chester, 1990). The concentration
188 of major elements (i.e. Na, Ca, Mg, and K) was determined by ICP-OES (Jobin Yvon Ultima
189 2). Trace metal/lloid determination in water samples and DGT elution extracts was
190 performed in triplicate by iCAP TQ ICP-MS at the HydroSciences laboratory of the
191 University of Montpellier (Tables SM1 and SM2). Raw samples were 0.45 μm pore size
192 filtered prior to analyze them to avoid any particle interference. Detection limits ranged
193 from 0.1 to 2.0 ng/L for REE and Y, 2 ng/L for Ag, 1 ng/L for Cs, 0.4 ng/L for Tl and 0.3
194 ng/L for U (Table SM2). Blanks were analyzed for both water and DGT extract samples.
195 Estuarine water reference material for trace metals (SLEW-3) was also analyzed to verify
196 the analytical accuracy. In addition, anions (i.e. PO₄³⁻, Br⁻, Cl⁻, NO₃⁻, NO₂⁻, and F⁻)
197 determination was performed by ion chromatography (Dionex DX-120) at the R+D
198 laboratories of the University of Huelva while total alkalinity was determined by
199 CHEMetrics® Total Titrets®, with a range of 10–100 or 100–1000 mg/L as CaCO₃
200 equivalents. The quality of anion determinations was validated by home-made
201 standards.

202

203 **2.4. Data treatment**

204 REE concentrations were normalized using the North-American Shale Composite (NASC)
205 values (Gromet et al., 1984) to study fractionation processes during metal partitioning
206 along the estuary and uptake by living organisms. Cerium (Ce/Ce*)_{NASC} and Europium
207 (Eu/Eu*)_{NASC} anomalies were also determined from the expression $C_{\text{NASC}} / \sqrt{[La_{\text{NASC}} \cdot$
208 $Pr_{\text{NASC}}]}$ and $Eu_{\text{NASC}} / \sqrt{[Sm_{\text{NASC}} \cdot Gd_{\text{NASC}}]}$ from Worrall and Pearson (2001) and Taylor and

209 McLennan (1985), respectively. DGT results were compared with speciation modelling
210 to interpret and validate these measurements. Thus, chemical speciation and saturation
211 indices of solutions were calculated by PHREEQC code v3.4 (Parkhurst and Appelo, 2013)
212 using the database provided by Lawrence Livermore National Laboratory (llnl.dat),
213 based on Truesdell-Jones equations. Collected samples exhibited values of ionic
214 strength below 0.7. Information provided by PHREEQC was compared with chemical
215 speciation obtained using the CHEAQS program version 2017.3 (Verweij, 2017) for the
216 sake of consistency. The CHEAQS model includes inorganic speciation and mineral
217 equilibrium based on the National Institute of Standards and Technology database
218 version 8.0 (NIST, 2004).

219

220 **3. Results**

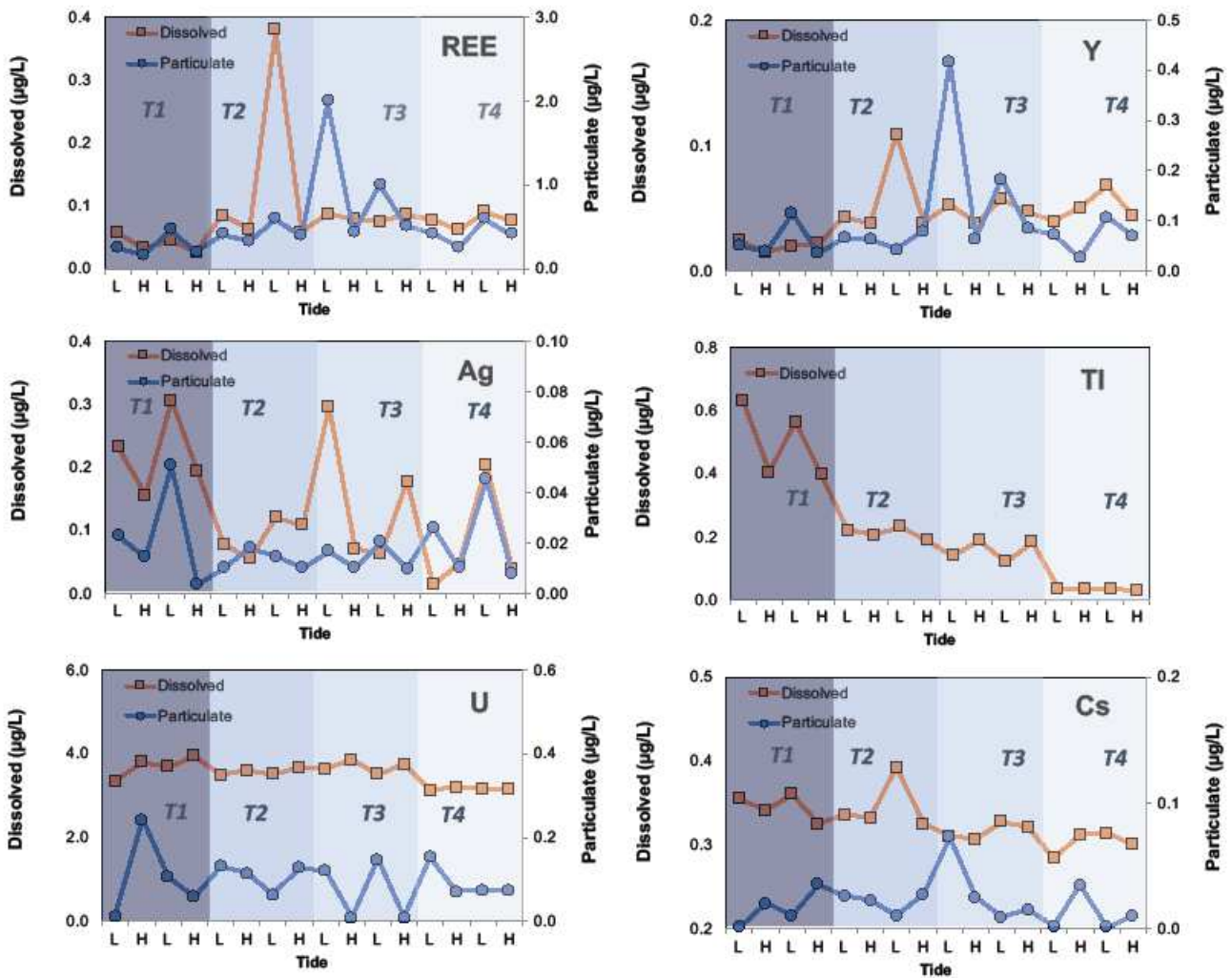
221 **3.1. Dissolved REE, Y and trace metal concentration in water samples**

222 Estuarine waters studied shown mean pH values of 8.2, salinity of 34 g/L and
223 concentrations of 23 g/L of Cl⁻, 9.0 g/L of Na, 3.5 g/L of sulfate, 1.0 g/L of Mg, 469 mg/L
224 of K, 327 mg/L of Ca and 100 mg/L of Br (Table SM1). In addition, mean dissolved
225 concentrations of 65 µg/L of Mn, 50 µg/L of Zn, 21 µg/L of Cu, 14 µg/L of Fe and lesser
226 values of other metal/loids were observed in the estuarine waters (Table SM1).

227

228 Concerning REE and Y, dissolved concentrations in the estuarine waters ranged from 26
229 to 380 ng/L and from 15 to 109 ng/L, respectively (n=16; Table SM2) with the maximum
230 values observed in T2 (Fig. 2). There is no a clear tendency of decreasing or increasing
231 concentrations along the estuary, although generally higher concentrations were
232 observed at low tides (Fig. 2), probably due to the higher influence of AMD. The
233 dissolved concentrations of other trace metals such as Ag and Tl were found in the same
234 order of magnitude than REE and Y; Ag concentrations ranged from 14 to 307 ng/L
235 (mean value of 135 ng/L; Table SM2) while Tl concentrations varied from 29 to 631 ng/L
236 (mean value of 225 ng/L; Table SM2). However, a different evolution is observed for
237 these elements; while Tl concentration decreased progressively concomitantly to the
238 seawater influence and oscillations associated with tides, Ag concentration followed an

239 uneven evolution and tide-associated variations were only observed in T1 (Fig. 2). In
 240 addition, higher values for TI were observed at low tides, especially in T1 and T2.



241
 242 **Figure 2.** Evolution of dissolved (orange square) line) and particulate (blue circle)
 243 concentrations of metals in the estuary for the 4 sampling sites (T1, T2, T3 and T4) and
 244 for the 4 sampling times (L: low tide; H: high tide). Particulate TI concentrations were
 245 below detection limit of the equipment.

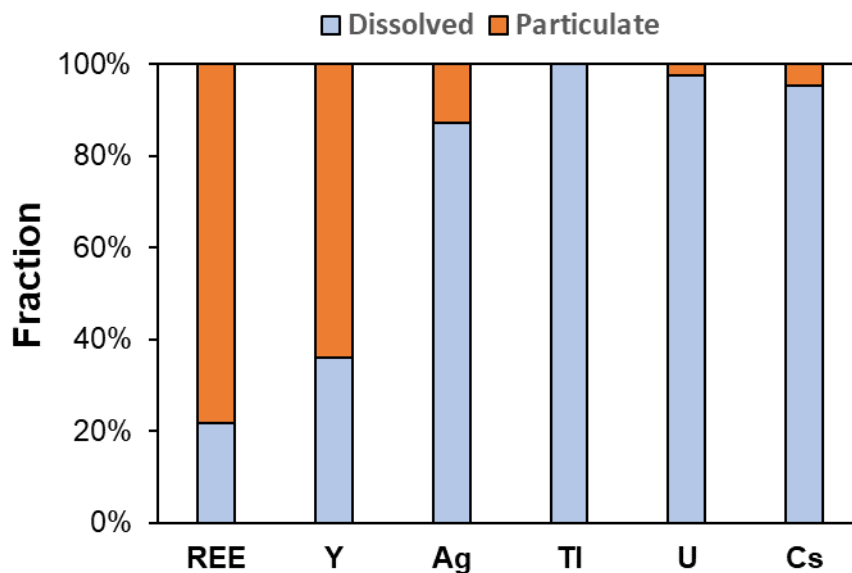
246
 247 Dissolved Cs and U concentrations showed a lower variability than the rest of trace
 248 elements (i.e. REE, Y, Ag and TI), although values for U were an order of magnitude
 249 higher than for Cs; U concentration varied from 3118 to 3952 ng/L (mean value of 3525
 250 ng/L), whereas the Cs concentration ranged from 284 to 392 ng/L (mean value of 327
 251 ng/L; Table SM2). Both metal concentrations followed a slightly progressive decrease in
 252 the estuary associated with seawater influence, although in the case of Cs the maximum

253 concentration among sites was observed in T2, at low tide conditions, similarly to REE
254 and Y (Fig. 2).

255

256 3.2. Particulate REE, Y and trace metal concentration in water samples

257 The particulate concentration of REE and Y is noticeably higher than dissolved (Table
258 SM2 and Fig. 2); particulate REE and Y ranged from 129 to 591 ng/L, and from 27 to 175
259 ng/L, respectively (mean values of 437 and 95 ng/L), with maximum values observed in
260 T3 at high tides (Fig. 2). The average particulate concentration of U was 95 ng/L, with
261 maximum values of 244 ng/L (Table SM2). Lower values were observed for Cs and Ag
262 (mean concentration of 20 and 18 ng/L, respectively), while no particulate TI was found
263 in collected samples. No clear trends in particulate concentration of metals were
264 observed neither associated to seawater influence nor tides, except in the case of REE
265 and Y with higher values at low tides, especially in T1 and T2 (Fig. 2). The distribution
266 pattern of metals studied is clearly defined in Figure 3; REE and Y are mainly found in
267 the particulate phase, travelling in this form outside the estuary, with an average of 78%
268 and 64% of total REE and Y, respectively (Fig. 3).



269

270 **Figure 3.** Percentage of the dissolved and particulate fraction for each metal studied.

271

272 Unlike REE and Y, the rest of metals studied was preferentially present in the dissolved
273 phase (Fig. 3), with values of dissolved fraction ranging from 100% for TI to 87% for Ag.

274

275 **3.3. Metal concentration in the DGT devices**

276 The metal concentrations in DGT (C_{DGT}) deployed in the sampling stations is shown in
277 Table SM2. As can be seen, the element displaying higher concentrations in the DGTs
278 was U, with values ranging from 1053 to 1771 ng/L (Table SM2). Lower C_{DGT} values were
279 observed for REE and Y, ranging from 62 to 208 ng/L, and 18 and 74 ng/L, respectively
280 (mean values of 114 and 41 ng/L, respectively). The average concentration of Tl and Ag
281 in DGTs was 11 and 21 ng/L, respectively with maximum values for Ag of up to 127 ng/L
282 (Table SM2). On the other hand, Cs concentrations in the DGTs were below the
283 detection limit.

284

285 **4. Discussion**

286 **4.1. Comparison with other estuarine systems worldwide**

287 The estuarine waters studied reflect the area where almost complete mixing of alkaline
288 seawater with acidic river waters of the Tinto and Odiel rivers takes place. As a
289 consequence of the progressive increment of pH and salinity values in the mixing zone,
290 a net transference of most metals from the water column to the particulate matter and
291 subsequently their settlement into the estuarine sediments occur (Elbaz-Poulichet et al.,
292 2001). Only the most mobile metals (e.g. Zn, Cu and Cd) pass through the estuary giving
293 rise to a metal-rich plume in the coastal waters of the Gulf of Cádiz (e.g. Van Geen et al.,
294 1991; Elbaz-Poulichet et al., 2001). Thus, dissolved metal/loid concentrations in
295 sampling points were low if compared to upper sections of the estuary, where low pH
296 values (even below 3) and element concentrations exceeding mg/L are commonly found
297 (Elbaz-Poulichet et al., 2001; Olías et al., 2019). Nevertheless, these values are in some
298 cases several orders of magnitude higher than values reported in other estuaries
299 worldwide (Cánovas et al., 2020). This transference between the aqueous and
300 particulate phases can be evidenced by the high concentration of particulate Fe, with
301 mean values of 178 $\mu\text{g/L}$, 12 times higher than that of dissolved Fe. The presence of
302 other metals in the particulate matter is also observed, with mean values of 5 $\mu\text{g/L}$ of
303 Mn, 4.9 $\mu\text{g/L}$ of Cu and 2.7 $\mu\text{g/L}$ of Pb (Table SM1).

304 A comparison with other estuarine systems can be done. For example, REE values are
305 slightly higher than those reported for similar salinities ($> 30 \text{ g/L}$) in other polluted
306 estuaries worldwide such as the Amazon (18-26 ng/L of REE; Rousseau et al., 2015) and

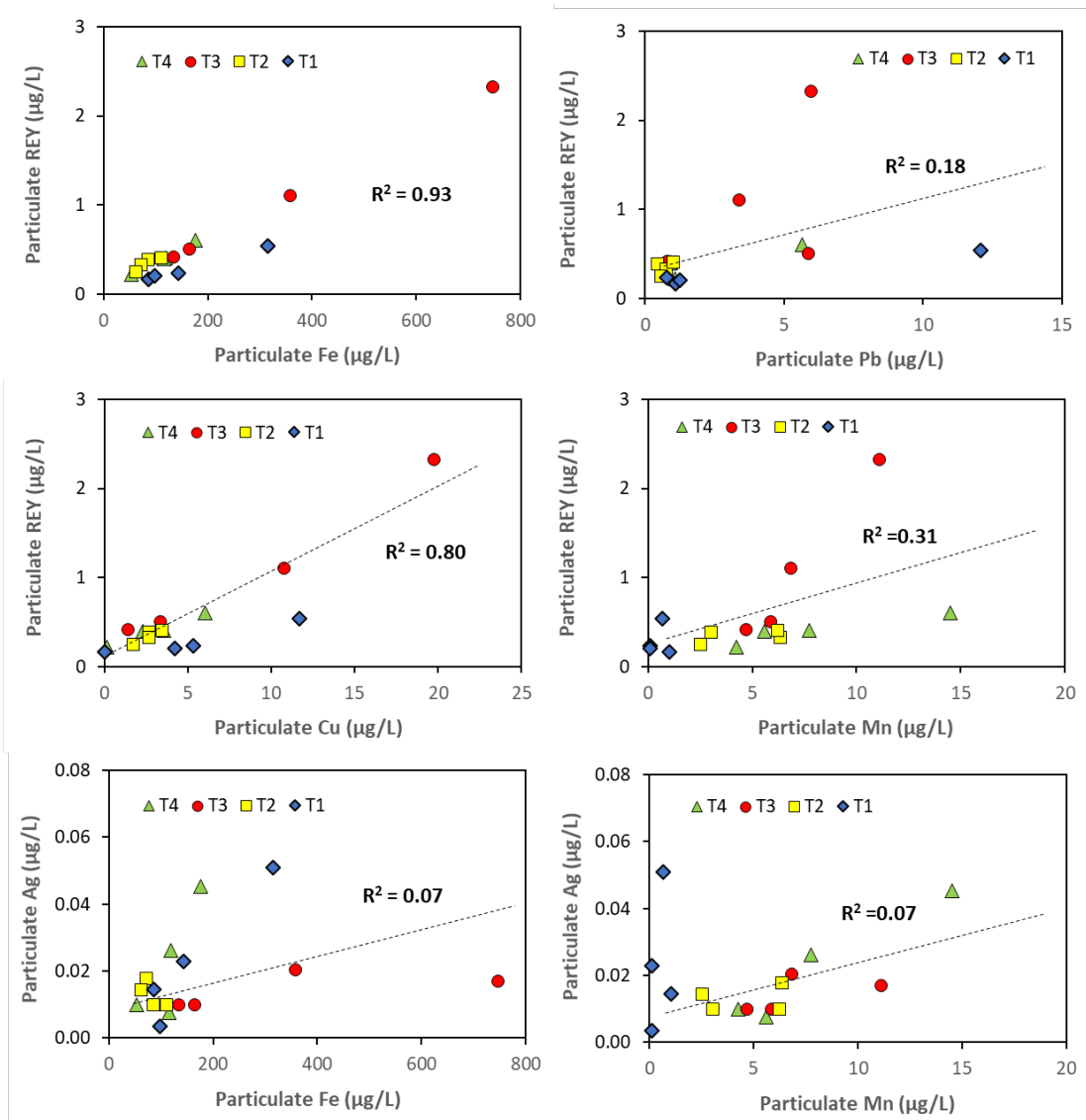
307 Paraguaçu estuaries in Brazil (around 15 ng/L; Andrade et al., 2020) or the Pearl River
308 estuary in China (around 60 ng/L; Ma et al., 2019). In the case of Ag, these values are
309 higher than those previously reported in most European estuaries (Tappin et al., 2010),
310 some of them affected by mining and agriculture activities such as the Fal and Tamar
311 estuaries in UK (2.0 and 3.7 ng/L; Tappin et al., 2010) and the Restronguet Creek (19
312 ng/L; Tappin et al., 2010) and Gironde estuaries (6-8 ng/L, Lanceleur et al., 2012) in
313 France. On the contrary, values recently reported by Ribeiro et al. (2018) in the
314 Portuguese Douro estuary (i.e. 288-970 ng/L), heavily populated and strongly influenced
315 by anthropogenic activities, exceeded those reported in this study. Regarding Tl,
316 concentrations found in this study (29-631 ng/L) exceeded those reported in other
317 European estuaries such as the Tamar (2-9 ng/L; Anagboso et al., 2013) and Weser (13-
318 30 ng/L; Böning et al., 2017). These enhanced contents of Ag and Tl in this estuary with
319 respect to other European estuaries may be due to the influence of AMD. On the other
320 hand, no anthropogenic inputs of dissolved U and Cs seem to exist since dissolved
321 concentrations reported in this study are quite similar to average oceanic
322 concentrations of 3300 ng/L (Ku et al., 1977) and 306 ng/L (Bera et al., 2015),
323 respectively.

324

325 **4.2. Metal partitioning in the estuarine waters**

326 The affinity of REE and Y by the particulate phase in estuarine systems has been widely
327 reported (e.g. Sholkovitz, 1995; Lawrence and Kamber, 2006) and attributed to
328 coagulation of iron oxide-organic colloids with which REE are strongly associated.
329 Comparing the particulate concentrations of REE and Y with those observed for other
330 elements also present in the particulate matter in this study (i.e. Fe, Cu, Mn or Pb), a
331 high positive correlation ($r^2= 0.93$) is observed between REE and Y and particulate Fe
332 (Fig. 4), which point at Fe mineral phases transported outside the estuary as main REE
333 and Y carrier phases. Other metals such as Cu and Zn (the latter not shown in Fig. 4) also
334 showed a good correlation with particulate REE and Y. On the other hand, poorer
335 correlations were observed for other metals such as Mn, and Pb (Fig. 4). These results
336 partially agree well with those of Mihajlovic et al. (2014), who reported that REE in
337 marsh soil profiles were concentrated in the aqua regia extractable fraction, associated
338 to amorphous iron (and manganese) mineral phases. On the other hand, redox

339 processes associated to water fluctuations may play an important role on metal mobility
 340 in estuaries. In this sense, Mihajlovic et al. (2017) reported increasing REE mobility due
 341 to pH changes associated to redox-conditions. However, the relative contribution of
 342 AMD with respect to seawater may be the cause of REE fluctuations observed in this
 343 study (Fig. 2).
 344



345
 346 **Figure 4.** Relationship between the concentration of particulate REE and Y and Ag, and
 347 other metals.

348
 349 Regarding Ag, although it has been reported that around 80% of particulate Ag in the
 350 Galveston Bay estuary was associated to Fe/Mn oxyhydroxides (Wen et al., 1997), no
 351 correlation between particulate Ag and Fe (neither Mn) was observed in this study (Fig.

352 4). This absence of correlation may be related to the complexation of Ag in the estuarine
353 waters, dominated by the formation of Cl complexes, which may limit particle-reactivity
354 at salinities above 5 (Luoma et al., 1995). Thus, REE and Y remain associated to Fe-rich
355 colloids along the estuary while Ag may be desorbed from these colloids at increasing Cl
356 concentrations.

357

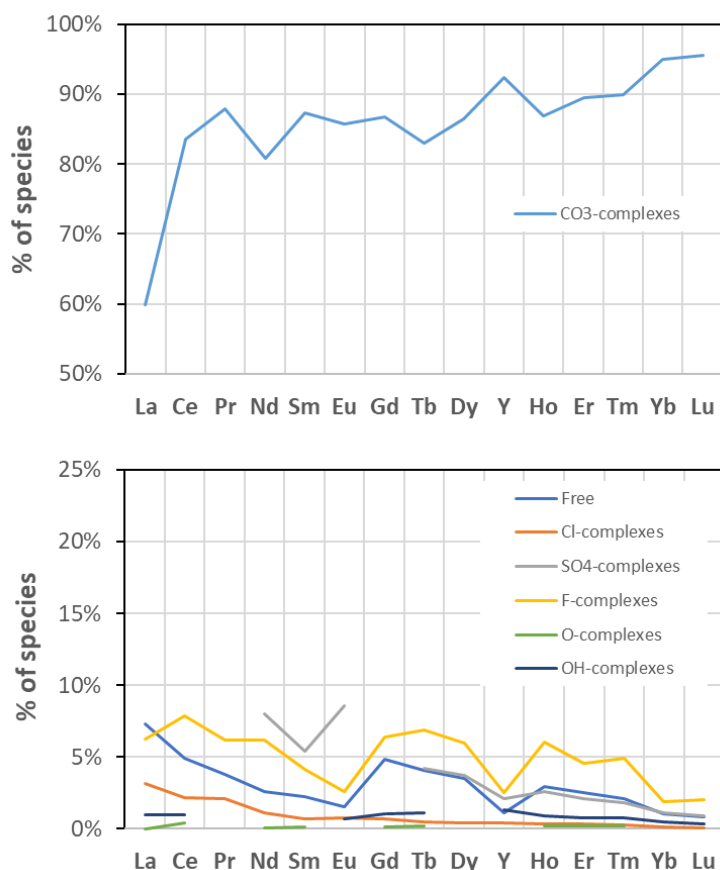
358 **4.3. Metal speciation and bioavailability**

359 Although, as previously mentioned, the concentrations of elements such as REE, Y, Ag
360 or Tl observed in this study are noticeably higher than those observed in most European
361 estuaries affected by anthropogenic activities (e.g. Tappin et al., 2010; Anagboso et al.,
362 2013; Böning et al., 2017), their toxicity and mobility depend on both their concentration
363 and speciation (Allen, 1993). Thereby, only the labile fraction of metals is expected to
364 be available for the living organisms (Amato et al., 2014). The retention in the DGT for
365 each element was mainly related to the concentration in the water column. Hence,
366 higher C_{DGT} was observed for those elements showing higher concentration in the
367 dissolved and particulate phases. Since Tl exhibits similar aquatic toxicity and chemical
368 similarities with Cd, Ag and Pb (Turner et al., 2013), an analogous trend in DGT
369 concentrations would be expected for these elements. However, Tl C_{DGT} only exhibits a
370 similar trend to Cd, although the C_{DGT} of this latter metal is higher than that observed
371 for Tl.

372 This relationship between chemical properties and absorption by the DGT-chelating
373 resin is also observed for REE and Y (Fig. SM1), which exhibited a high correlation ($R^2=$
374 0.89). This chemical similarity between each other has been widely reported, in such a
375 way that Y is considered as part of the REE (Chakhmouradian and Wall, 2012). In
376 addition, the C_{DGT} of these elements (i.e. REE and Y) showed a high correlation with the
377 C_{DGT} of other elements (Fig. SM1) such as Rb ($R^2= 0.85$) and Sr ($R^2= 0.92$), which
378 commonly cross the biological membranes (Nielsen, 2004; Chowdhury and Blust, 2011).
379 On the other hand, despite having a different chemical behavior, U seems to follow a
380 similar trend of absorption than Tl (and Cd) as evidenced by the correlation between
381 both C_{DGT} ($R^2= 0.67$).

382

383 A comparison of DGT results with speciation modelling by geochemical codes can be a
384 very insightful way to interpret the measurements, in addition to acting as a
385 complementary technique for purposes of data validation (Menegario et al., 2017). The
386 labile fraction is considered as the labile forms of metals including free metal species
387 and weak metal–ligand complexes (Menegario et al., 2017). Generally, those metals that
388 are mostly found as free or weak metal complexes (e.g., Free-Metal, Cl-Metal species)
389 are expected to be more labile than those mainly found as carbonate or hydroxyl
390 complexes (strong ligands). Table SM3 and Figure 5 show the speciation of the metals
391 studied. It is clearly observed that REE (and Y) CO₃-complexes prevail over other species
392 (i.e. free form, Cl-complexes, F-complexes, SO₄-complexes, and O/OH complexes), with
393 values ranging from 60 to 96% of total species. Sulfate (0.01 to 22%), fluoride (0.06% to
394 8%), free (0.22 to 7%), chloride (0.10 to 2.1%), and O/OH complexes (less than 1%) were
395 of minor importance in REE and Y speciation (Table SM3). A general increase in
396 carbonate complexes is observed across the lanthanide series, with a lower contribution
397 for La (average value of 60%), while the opposite tendency (decreasing across the
398 lanthanide series) is observed for the rest of species (i.e. free, sulfate, fluoride, and
399 O/OH complexes; Fig. 5). Considering the aforementioned lability of species, REE and Y
400 would have a low lability, since the percentage of labile species is below 10% of total
401 (Table SM3). In this sense, it is noteworthy that the increase in carbonate complexes
402 across the series would imply a decrease in lability (from 10% for La to 1% for Lu). A
403 similar lability would be expected for U due to its capacity to form strong complexes in
404 seawater with carbonates such as UO₂(CO₃)₃⁴⁻ and UO₂(CO₃)₂²⁻ (Langmuir, 1978), being
405 the former, the most predominant U species in waters (around 99% of total; Table SM3).
406
407 In contrast to REE, Y and U, which were mainly found forming strong complexes (mainly
408 carbonate complexes) and therefore showed a low percentage of labile species (below
409 10%), Ag, Tl and Cs displayed contents of labile species above 93% of total (Table SM3).
410 These metals would thus exhibit a higher lability according to the determined species
411 (mostly free form and Cl-complexes). For instance, Cs was mainly found as free species
412 (84% of Cs⁺) and Cl complexes (16% of CsCl). Lower values of free species were obtained
413 for Tl (average of 43% for Tl⁺), in favor of Cl (TlCl and TlCl₂⁻; 50%, Table SM3) and sulfate
414 complexes (7% of TlSO₄⁺).



415
416 **Figure 5.** Average speciation values for REE and Y in collected samples.
417

418 On the other hand, Ag was chiefly found forming Cl complexes, being AgCl_4^{3-} (around
419 99% of total; Table SM3) the predominant species. Comparing the percentage of C_{DGT}
420 with respect to the dissolved concentration in the water column (i.e.
421 dissolved+particulate; Table SM2) with their lability (according to speciation modelling;
422 Table SM3), some noteworthy information may be obtained. In this sense, the total
423 concentration was used (instead of dissolved) to represent metal exposure for living
424 organisms since colloidal phase could play a major role in bioavailability, as recently
425 reported by Cánovas et al. (2020), although only REE and Y were mostly found in the
426 particulate matter (Fig. 3). As can be seen in Table SM2, C_{DGT} for REE ranged from 15%
427 to 35% of total concentrations in the water column (average value of 24%), being these
428 values higher for LREE and HREE than for MREE (Table SM2). These values exceed those
429 of labile species (below 10% of total concentrations; Table SM3), although in this case
430 the contribution of particulate REE may increase the REE absorption by DGT, mainly
431 linked to the absorption of Fe colloids (Cánovas et al. 2020). On the other hand, labile

432 metals such as Ag, Cs and Tl (lability between 93 and 100%) were scarcely retained in
433 the DGTs considering their total concentration in the water column; 15% of Ag and 5%
434 of Tl in water was only retained in the DGTs (Table SM2), while Cs values were below
435 the detection limit in DGT solutions. Such discrepancies have been previously reported
436 by Liu et al. (2013) and attributed to the inability of metals to form thermodynamically
437 stable complexes, leading to a lower binding by the DGT resin layer. An unexpected
438 metal absorption in the DGT devices was also observed for U (40% of U concentration
439 in waters was retained in the DGTs), which should be scarcely labile due to carbonate
440 complexation. Therefore, remarkable discrepancies were observed in this study
441 between the lability of metals and retention by DGTs. Regarding REE and Y, this
442 discrepancy may be related to its presence in the Fe-rich particulate matter and
443 subsequent absorption in the DGTs, as previously commented. However, there is no
444 clear explanation for such high U absorption in DGT. Since U is strongly complexed by
445 carbonates, which may preclude its passing through the filter membrane, it is
446 hypothesized that carbonate complex instability in the membrane surface may cause
447 the release of U and its incorporation into the DGTs. However, this hypothesis must be
448 further tested.

449

450 **4.4. REE and Y fractionation**

451 Figure SM2 shows the NASC-normalized patterns of dissolved, particulate and DGT
452 concentrations of REE. As can be seen, the NASC-normalized patterns of dissolved REE
453 shows an evident negative Ce anomaly (average value of 0.6, interquartile range of 0.49-
454 0.69). Bau et al. (1999) reported that the development of such anomaly in REE and Y
455 patterns is due to oxidative scavenging, which is especially intense in aqueous systems
456 where fresh Fe oxyhydroxides precipitate. For this reason, the high concentration of
457 these newly-formed minerals in the estuarine waters due to mixing of AMD with
458 seawater (average Fe particulate concentration of 178 $\mu\text{g/L}$; Table SM1) may favor the
459 formation of this negative Ce anomaly in waters. It is also noteworthy the occurrence of
460 a positive Gd anomaly. This positive Gd anomaly, which has also been previously
461 reported in estuaries affected by anthropogenic inputs (e.g. Nozaki et al. 2000), is
462 attributed to the medical application of Gd for magnetic resonance imaging (Bau and
463 Dulski, 1996).

464

465 Previous studies have reported the existence of fractionation processes in estuarine
466 systems (e.g. Lawrence and Kamber, 2000; Nozaki and Alibo, 2003; Andrade et al., 2020).
467 Thus, during estuarine mixing of river waters rich in inorganic nanoparticles and colloids
468 with seawater, LREE may be preferentially partitioned onto suspended particles and
469 colloids while HREE tend to remain in solution due to stronger complexation to dissolved
470 ligands. According to this, an enrichment in HREE in waters should be expected, while
471 particulate matter may be enriched in LREE. However, this fractionation is not so evident
472 in this study. As can be seen, a flatter pattern is observed in the dissolved phase instead
473 (Fig. SM2), with almost neither enrichment in HREE nor depletion in LREE, while an
474 enrichment in MREE is observed in the particulate matter. This absence of the common
475 fractionation pattern could be related to the higher reactivity of Fe mineral phases in
476 this estuary compared to other estuaries worldwide, thus, the precipitation of highly
477 amorphous Fe minerals as a consequence of AMD mixing with seawater may inhibit this
478 preferential incorporation of LREE onto suspended particles and colloids.

479

480 Fractionation processes have been also reported in living organisms. In this sense, Akagi
481 and Edamani (2017) studied the REE distribution and fractionation in shells and soft
482 tissues of bivalves from Tokyo Bay. These authors reported that REE patterns in bivalves
483 exhibited a MREE enrichment, resembling that found in particulate matter rather than
484 in the dissolved phase. Other studies reported that REE patterns in oysters coincided
485 with those observed in the suspended particles at the Pearl River estuary (China) (Ma et
486 al., 2019). On the other hand, Wang et al. (2019) reported an enrichment in LREE in
487 different living organism (i.e. fishes, mollusks and crustaceans) in the Maluan Bay
488 (China). Considering that DGT devices may mimic the metal absorption by living
489 organisms, the opposite finding was reported in the Ria de Huelva estuary; an
490 enrichment in HREE was observed in the DGT devices, which does not fit neither the REE
491 patterns of dissolved nor particulate fraction.

492

493 **4.5. Technical and environmental implications and health risk assessment**

494 Although there are no studies on bioaccumulation of studied metals (i.e. REE, Y, Ag, Tl,
495 Y and Cs) of aquatic organisms in this estuary, some cases are reported in other estuaries

496 worldwide. In this sense, Wang et al. (2019) studied the bioaccumulation of REE in
497 several species of aquatic organisms in the Maluan Bay (China). These authors reported
498 concentrations in mollusk two orders of magnitude higher than those in fish, exhibiting
499 species-specific accumulation patterns across taxa. However, factors controlling REE
500 and Y absorption by aquatic organisms are not entirely clear. Previous studies indicate
501 that metal absorption by living organisms is directly related to environmental
502 concentrations. For instance, it has been reported that the incorporation of REE in soft
503 tissue of the Asian clam is proportional to the pollution degree in the aquatic
504 environment and preserve the REE geochemical signature found in the environment
505 (Bonnail et al., 2017). In the present study, a direct relationship between metal levels in
506 the water column and absorption was only observed for Tl ($R^2 = 0.84$) and to a lesser
507 extent for U ($R^2 = 0.75$) (Fig. SM3). Although generally higher C_{DGT} are observed at higher
508 concentrations in water, neither REE (and Y) nor Ag showed any relationship between
509 environmental concentrations and metal absorption by DGT (Fig. SM3). In addition, the
510 geochemical signature of REE in DGTs (enrichment of HREE over MREE and LREE) seems
511 not to have been preserved from the environment (enrichment in MREE or flat pattern),
512 except the Ce anomaly inherited from the dissolved fraction (Fig. SM2). This dissimilarity
513 between REE patterns in DGT and the environment could be related to the instability
514 processes of carbonate processes in contact with the DGT membrane, previously
515 commented for U. This would explain the HREE enrichment observed in the NASC
516 normalized pattern, since REE are increasingly complexed by carbonate across the
517 lanthanide series (Fig. SM2). However, this hypothesis must be confirmed in further
518 studies.

519

520 Another question to be answered is whether DGT devices are suitable as bioindicators
521 or not. As previously reported by Wang et al. (2019), REE bioaccumulation rates may
522 sharply vary across taxa, depending on the assimilation route of each organism. For
523 instance, Ma et al. (2019) also found high correlations ($R^2 = 0.99$) between REE
524 concentrations in oysters and in suspended particles, concluding that REE in bivalves
525 may not be a suitable indicator of REE pollution in the dissolved phase but rather in
526 sediments or suspended particles. In the case of Tl, the high correlation observed
527 between the retention by DGTs and the concentration in water (Fig. SM3) support these

528 devices as a good monitoring tool for TI pollution in estuaries. Turner et al. (2013)
529 indicate that TI bioaccumulation may be related to its similar geochemical affinity to K,
530 thus, Tl^+ is able to cross cell membranes through K^+ channels. Other metals such as REE
531 and Y may also cross biological membranes, according to their similar incorporation in
532 DGTs as Rb and Sr in this study (Fig. SM1). In the case of Ag, Luoma et al. (1995) reported
533 that the toxicity of Ag is of primary concern in marine environments because
534 bioaccumulation increases so steeply with contamination. This strong bioaccumulation
535 of Ag in estuarine and marine environments may be partially due to the formation of
536 neutral Cl-complexes ($AgCl$), which are highly bioavailable by its low polarity, facilitating
537 its diffusion across biological membranes (Luoma et al., 1995; Wood et al., 2004).
538 Although $Ag C_{DGT}$ did not correlate well with environmental concentrations, the use of
539 DGTs could be a complementary technique for Ag pollution monitoring. On the other
540 hand, the results obtained for some radionuclide elements such as Cs and U were
541 certainly contradictory. While the more labile Cs was not determined in DGTs extracts,
542 the less labile U was retained in these devices (average value of 41% of the total
543 concentration in the water column; Table SM3). These results indicate that DGT devices
544 are not good indicators for Cs pollution in estuarine waters. On the other hand, the
545 retention of U by DGTs may pose a serious concern for living organisms because of its
546 potential bioaccumulation, especially if background concentrations are exceeded for
547 long periods. In this sense, Geng et al. (2012) reported that the toxicity of U was
548 positively correlated with exposure time

549

550 Another important component concerning the technical suitability of DGT devices as
551 monitoring tool of metal availability is the temporal factor. Figure SM4 compares REE
552 dissolved concentrations obtained by grab sampling with C_{DGT} obtained at 12 and 24h.
553 As can be seen, a different response of DGT is observed if compared to total
554 concentrations. A similar response of total and C_{DGT} is observed in T2 and T3, which
555 would indicate that DGT devices are suitable monitoring tools for REE in estuarine
556 waters. However, a higher retention seems to exist compared to environmental
557 concentrations at 12h in T1 and T4, which may be related to the aforementioned
558 instability of complexes in the membranes. The decrease in DGT concentrations

559 generally decreased at 24h to represent environmental concentrations, which may
560 indicate that these processes are reversible. However, this must be further studied.

561

562 **5. Conclusions**

563 This study deals with the metal partitioning and bioavailability of REE and Y and other
564 less studied trace metals (i.e. Ag, Tl, U and Cs) in the seawater-dominated part of an
565 estuary strongly affected by mining and industrial activities (Ria of Huelva, SW Spain).

566 The dissolved concentration of REE, Y, Ag and Tl in estuarine waters was strongly
567 associated to tides and exhibited values higher than those reported in other polluted
568 estuaries worldwide for the same salinity. By contrast, Cs and U concentrations showed
569 a low variability with mean concentrations similar to average oceanic concentrations.

570 The distribution pattern of metals studied is clearly defined; while REE and Y are mainly
571 found in the particulate phase (average of 78% and 64% of total), the rest of metals were
572 preferentially present in the dissolved phase (values ranging from 100% of total for Tl to
573 87% for Ag). The retention in the DGT for each metal was mainly related to the
574 concentration in the water column (dissolved+particulate) following this order;
575 U>REE>Y>Ag>Tl. In general, the lability of metals predicted by the geochemical model
576 did not coincide with absorption of labile metals by DGTs; prominent labile species such
577 as Ag, Tl or Cs were not strongly retained in the DGT, while less labile metals such as
578 REE, Y or U exhibited a higher absorption in these devices. The ability of REE and Y to
579 substitute common metals crossing the biological membranes (i.e. Na⁺, K⁺, Rb⁺, Sr²⁺) may
580 lead to bioaccumulation troubles if persistent exposure to these metals is maintained.

581

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593

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908

909 **Table Captions**

910

911 **Figure captions**

912 **Figure 1.** Location map of the study area (A), showing the sampling stations (T1-T4) and
913 main anthropogenic activities (B).

914 **Figure 2.** Evolution of dissolved (orange square) line) and particulate (blue circle)
915 concentrations of metals in the estuary for the 4 sampling sites (T1, T2, T3 and T4) and
916 for the 4 sampling times (L: low tide; H: high tide). Tl particulate concentrations were
917 below detection limit of the equipment.

918 **Figure 3.** Percentage of the dissolved and particulate fraction for each metal studied.

919 **Figure 4.** Relationship between the concentration of particulate REE and Y and Ag, and
920 other metals.

921 **Figure 5.** Average speciation values for REE and Y in collected samples.

922

923 **Supplementary Material**

924 **Table SM1.** Basic statistics of physico-chemical parameters, and concentration of major
925 and trace elements of collected samples.

926 **Table SM2.** Basic statistics of the concentration of rare earth elements (REE), Y, Ag, Tl,
927 Cs and U.

928 **Table SM3.** Speciation (average values) of metals studied in collected samples obtained
929 from PHREEQC code (see text for explanation).

930 **Figure SM1.** Relationship between the DGT concentration of metals studied with other
931 elements (obtained from Cánovas et al. 2020).

932 **Figure SM2.** NASC-normalized patterns of dissolved (A), particulate (B) and DGT (C)
933 concentrations of REE.

934 **Figure SM3.** Relationship between the metal concentration in water and in DGT devices.

935 **Figure SM4.** Comparison of REE total concentration in waters and in DGT devices at the
936 different sampling stations.