

1 Martín, D., Vázquez-Piqué, J., Carevic, F., Fernández, M., Alejano, R., 2015. Trade-off between stem
2 growth and acorn production in holm oak. *Trees* 29: 825-834. DOI 10.1007/s00468-015-1162-y

3

4 **Trade-off between stem growth and acorn production in holm oak**

5

6 Daniel Martín¹, Javier Vázquez-Piqué^{1*}, Felipe S. Carevic^{1,2}, Manuel Fernández¹ and Reyes
7 Alejano¹

8

9 ¹Department of Agroforestry Sciences. University of Huelva. Escuela Técnica Superior de
10 Ingeniería. Campus Universitario de La Rábida. 21819, Palos de la Frontera, Huelva, Spain.

11 ²Present address: Facultad de Recursos Naturales Renovables, Universidad Arturo Prat. Campus
12 Huayquique. Avenida Arturo Prat s/n, Iquique-Chile.

13 * Corresponding author. E-mail: jpique@uhu.es Tel: +34959217531, Fax:

14 +34959217560

15

16 **Author contribution statement:**

17 D. Martín has written the first draft of the manuscript, done the data analysis and field data
18 collection.

19 J. Vázquez-Piqué has participated in the data analysis, co-written the manuscript, collaborated
20 in data collection and in plot establishment.

21 F. S. Carevic has participated in the data collection and reviewed the manuscript.

22 M Fernandez has reviewed the manuscript, collaborated in data collection and in plot
23 establishment.

24 R. Alejano has co-written the manuscript, participated in data collection and in plot
25 establishment.

26

27 **Abstract**

28 Reproduction in trees often requires significant resources, and previous studies have
29 documented trade-offs between reproduction and growth in numerous tree species. In the
30 present study, we assessed the relationships of acorn production with annual and intra-annual
31 stem growth of *Quercus ilex* L. (holm oak) at the level of the individual tree over six years
32 (2006-2011) at two study sites in southwestern Spain. There were negative correlations between
33 acorn production and annual and late summer-autumn stem growth during masting years. In
34 other words, the growth rates were lower in trees that had greater acorn production. These
35 results suggest the existence of trade-offs between growth and reproduction in *Q. ilex*. However,
36 there was no relationship between acorn production and winter-spring growth. Moreover, the
37 costs of reproduction varied between the two study sites. There were negative correlations
38 between acorn production and late summer-autumn growth in both study sites, but there were
39 only negative correlations between acorn production and annual growth in one study site. Trade-
40 offs appear to be greater in smaller trees living under more stressful conditions. These results
41 show the importance of making intra-annual measurements of tree growth for appropriate
42 interpretation of potential trade-offs.

43

44

45 **Keywords:** Holm oak, masting, reproduction, resource allocation.

46

47 **Key message:** There were trade-offs between acorn production and stem growth in individuals
48 of *Quercus ilex*, and these occurred with annual and intra-annual periods. The costs of
49 reproduction differed significantly between two study sites.

50

51

52

53

54

55 **Introduction**

56 The growth of forest trees is important for commercial wood production. In addition,
57 growth also shows the response to ecological factors (Cook and Kairiukstis 1990), and it is an
58 indicator of fitness and resources allocation in trees (Stearns 1989). Stem growth generally
59 occupies a low position in the carbon allocation hierarchy (Hoff et al. 2002; Rodríguez-
60 Calcerrada et al. 2011). Trade-offs represent the cost paid in the currency of fitness when a
61 beneficial change in one trait is linked to a detrimental change in another (Stearns 1989).

62 Investment in reproduction may be considered a hierarchical process (Obeso 2004).
63 Hence, it is expected that the extraordinary resource allocation to reproduction during “mast
64 years” (when there is high production of seeds) could lead to reduced stem growth. Masting
65 seems to be triggered by climate, in that more seeds are produced when the climate is better
66 (resource matching hypothesis; Norton and Kelly 1988). However, masting may also be a
67 strategy to reduce the percentage of seeds lost by predation (predator satiation hypothesis;
68 Janzen 1971) or to synchronize seed production in good climatic years and thereby improve
69 seedling establishment (environmental prediction hypothesis; Kelly 1994). Masting as
70 reproductive strategy requires a resource allocation mechanism that increases the variation of
71 seed production among years (resource switching or trade-off hypothesis; Kelly and Sork, 2002;
72 Monks and Kelly 2006; Sánchez-Humanes et al. 2011). Thus, a large investment in
73 reproduction could reduce the energy available for growth in long lived plants (Koenig and
74 Knops 1998), such as *Quercus* species (Camarero et al. 2010; Sánchez-Humanes et al. 2011;
75 Barringer et al. 2012). However, the phenomenon of resource switching is not a universal
76 explanation for masting (Knops et al. 2007), and there can even be positive correlations between
77 plant growth and reproduction (Despland and Houle 1997).

78 *Quercus ilex* L. (holm oak) is a widespread species in the Mediterranean Basin that
79 covers more than 6.5 million ha (Quézel and Médail 2003). It is one of the dominant species in
80 “dehesas”, traditional agroforestry systems consisting of an open woodland forest (10 to 60
81 trees ha⁻¹) and an herbaceous layer (Cubera and Moreno 2007). *Q. ilex* has highly variable
82 annual acorn production (Alejano et al. 2011), as other oak species (Sork 1993; Sánchez-

83 Humanes et al. 2011). Previous studies of trade-offs between growth and reproduction in trees
84 have generally examined annual growth data, such as tree-ring width or annual dendrometer
85 measurements (e.g., Monks and Kelly 2006; Knops et al. 2007; Barringer et al. 2012). However,
86 *Q. ilex* typically has two intra-annual periods of stem growth (Gutiérrez et al. 2011; Martín et al.
87 2014); in late winter to early summer and after the summer drought throughout the autumn. This
88 second period of growth overlaps with acorn fattening (Siscart et al. 1999), the period of
89 maximal investment in acorns (Knops et al. 2007). Hence, the relations between stem growth
90 and acorn production in holm oak may change at the intra-annual level.

91 Even when there is a negative correlation between life history traits, this may not be due
92 to trade-offs due to resource limitation (Barringer et al. 2014). For example, Knops et al. (2007)
93 reported the presence of a negative correlation between annual growth and acorn production in
94 several *Quercus* species and they suggested that spring rainfall caused increased growth and
95 decreased pollination (apparent or putative trade-offs). The analysis of trade-offs at the intra-
96 annual level in holm oak, particularly during the second intra-annual growth period in late
97 summer and autumn, could be a method to test the hypothesis of a true trade-offs between
98 growth and reproduction. This is because late summer and autumn growth are not driven by
99 spring rainfall and autumn is the period of acorn fattening, when a large amount of tree
100 resources could be derived to reproduction.

101 This study addressed two main questions. First, are there trade-offs at the level of the
102 individual tree between acorn production and stem growth in *Quercus ilex* at annual and intra-
103 annual scales? Second, can the costs of reproduction in *Quercus ilex* vary between study sites
104 due to differences in ecological traits? Answering these questions will improve our
105 understanding of the growth process and the influence of endogenous biological factors on
106 individual growth variability of *Q. ilex* that is not driven by climate, competition, or
107 microecological factors (Martín et al. 2014). Ultimately, understanding these issues will be
108 useful for the development of accurate and full-parameterized growth models for Mediterranean
109 ecosystems and will provide guidance for their sustainable management.

110 **Materials and Methods**

111 Field plots

112 This study was performed in two experimental plots in the Huelva province of
113 southwestern Spain (Table 1). The Huerto Ramirez (HR) plot is in an open woodland of *Q. ilex*
114 where sheep and Iberian pigs are raised. Its soils have different degrees of development from
115 acrisols, alisols, and lixisols to regosols and cambisols (IUSS Working Group WRB 2007).
116 There is a sparse understory of mainly *Cistus ladanifer* and *C. crispus* and an abundant
117 herbaceous layer of mainly grasses. The San Bartolomé (SB) plot is in an open woodland of *Q.*
118 *ilex* where bulls are raised. Its soils are endoleptic regosols (episkeletic) or endoleptic luvisols
119 (dystric), with deeper soils in depositional or concave areas (IUSS Working Group WRB 2007).
120 SB has a very sparse understory due to frequent tillage, and an abundant herbaceous layer of
121 mainly grasses. Both plots were fenced-in to avoid predation on acorns and damage of field
122 equipment. The climate of both plots is Mediterranean, with highly variable temperature and
123 rainfall within and among years. The nearby ocean modulates air temperature and increases
124 precipitation relative to the more continental areas in the Iberian Peninsula. There were no large
125 variations in temperature during the study period (2006-2011), but there was large monthly and
126 annual variability in precipitation (Figs. 1, 2, Table 2).

127 Measurement of stem growth and location of trees

128 31 aluminum band dendrometers that were developed by the University of Huelva were
129 installed at breast height (1.30 m) on 18 trees in HR and 13 trees in SB, with care taken to avoid
130 stem deformities. Trees were selected within plots by use of stratified sampling so that different
131 diametric classes were considered. Keeland and Young (2014) provide details of band
132 dendrometer theory and construction.

133 Measurements were recorded each month with a digital caliper (0.01 mm precision)
134 from 2006 to 2011 and these data were used to calculate annual stem girth increment (hereafter,
135 annual growth), January to June stem girth increment (hereafter, winter-spring growth) and
136 August to December stem girth increment (hereafter, late summer-autumn growth). The
137 calculation of these values as the accumulation of monthly measurements is more accurate than
138 single-season or annual measurements because it allowed detection and correction of

139 measurement errors. Changes in girth were not transformed into diameter because *Q. ilex* has
140 high within-tree variability in stem growth, and because the stems were not sufficiently
141 cylindrical for this transformation. At the beginning of the study, the topographic location of
142 each of the 31 trees was measured using a Sokkia 3B total station.

143 Estimation of acorn production

144 Acorns were harvested from the same trees whose growth was measured by use of a
145 trapping method (Greenberg 2000). Four containers (0.45 m diameter at the top) were placed on
146 the ground under selected trees at the north, south, east, and west positions, at three-quarters of
147 the distance from the stem to the edge of the crown. This trapping method allowed sampling of
148 a fraction of the projection of the crown surface where acorns were assumed to fall. Acorns
149 were collected from each container every 2 weeks during the six dissemination periods
150 (2006/2007 to 2011/2012) from September to January. The acorns were transferred to the
151 laboratory in polyethylene bags for counting and determination of fresh weight. Alejano et al.
152 (2011) reported that acorn water content of *Q. ilex* did not vary significantly among trees in
153 months and years. Thus, we used fresh acorn mass in this study, which we consider a more
154 accurate measurement. Acorn production (AP) was calculated as fresh weight of acorns per m²
155 of the orthogonal projection of the crown on the ground (g FM m⁻²). Acorn production estimated
156 by this container method is consistent with total acorn yield of the whole tree (Alejano et al.
157 2008).

158 Data analysis

159 We used three linear mixed models for data analysis: (i) a model for estimating annual
160 growth; (ii) a model for estimating winter-spring growth; and (iii) a model for estimating late
161 summer-autumn growth (when acorns fatten and disseminate). The initial structure of each
162 model was:

$$163 \quad y_{ijl} = \mu + b_{i(j)} + \alpha_j + \gamma_l + (\alpha\gamma)_{jl} + e_{ijl} \quad (1)$$

164 where y_{ijl} is the girth increase (mm) of tree i at plot j of year l for the entire year in the annual
165 model, from January to June of year l in the winter-spring model, or from August to December

166 of year l in the late summer-autumn model; μ is the general mean; $b_{i(j)}$ is a tree random effect
 167 within each plot with $i = 1, 2, \dots, 18$ and $j = 1, 2$ under the hypothesis $b_{i(j)} \sim N(0, \mathbf{G})$; α_j is a plot
 168 fixed-effect with $j = 1, 2$; γ_l is a year fixed-effect with $l = 2006, 2007, \dots, 2011$; $(\alpha\gamma)_{jl}$ is the plot
 169 \times year interaction (fixed effect); and e_{ijl} is the residual error under the hypothesis $e_{ijl} \sim N(0,$
 170 $\mathbf{R})$.

171 The following procedure was used to select the best model structure:

172 First, the models were adjusted by consideration of tree random effect, temporal
 173 correlations between observations of different years for each tree, and different variances for
 174 different years. Hence, \mathbf{G} was initially considered a diagonal matrix and \mathbf{R} a block diagonal
 175 matrix, with each block corresponding to a 6×6 submatrix of observations for each tree. We
 176 considered the following alternatives for the structure of blocks in the \mathbf{R} matrix: autoregressive
 177 order 1, autoregressive heterogeneous, Toeplitz up to 6 bands, heterogeneous Toeplitz up to 6
 178 bands, unstructured up to 6 bands, Huynh-Feldt, compound symmetry, compound symmetry
 179 heterogeneous, dependent covariance, and first-order factor analytic (Littell et al.
 180 2006). Variance components for each structure were estimated by restricted maximum likelihood
 181 (REML, Patterson and Thompson 1971) and model selection was based on the Akaike
 182 information criterion (AIC, Akaike 1974).

183 Second, the significance of the tree random effect was determined by a likelihood ratio
 184 test, as the reduction of the statistic $-2 \times \log$ likelihood ($-2LL$), after introducing the tree random
 185 effect, which follows a χ^2 distribution with 1 degree of freedom. An α -value of 0.05 was
 186 considered to indicate an improved covariance structure.

187 Third, if the tree random effect was significant, the presence of spatial correlation was
 188 determined by use of the following isotropic power covariance model:

$$189 \text{cov}(b_{i(j)}, b_{i'(j)}) = \sigma_b^2 \rho^{d_{ii'}} \quad (2)$$

190 where $d_{ii'}$ is the distance between trees i and i' in location j ; σ_b^2 is the variance component at the
 191 tree level; and ρ is a parameter to be estimated with $|\rho| < 1$. The spatial covariance between
 192 observations at different locations was considered zero.

193 Fourth, after selection of the best variance-covariance structure, the fixed effects were
194 estimated by a generalized least squares (GLS) equation (Searle 1971), and the significance of
195 each effect was determined with an F-test. Only significant effects ($\alpha = 0.05$) were retained in
196 the model. Different levels of significance were compared by the Scheffe' test.

197 Fifth, acorn production of the current year was introduced into the models as an additive
198 linear effects covariate, and its significance was assessed with an F test. To analyze the
199 significance of covariates, the variance components were estimated by maximum likelihood
200 (ML). Significant covariates were tested in each plot by covariance analysis (Littell et al. 2006).
201 All statistical analysis was performed with SAS/ETS (ver. 9.2).

202 **Results**

203 Annual growth

204 The best structure of the variance-covariance matrix for the 6×6 blocks of the **R** matrix
205 was heterogeneous Toeplitz with 5 bands. The tree random effect was significant ($p = 0.039$),
206 but spatial covariance was not ($p = 0.499$ in HR; $p = 0.145$ in SB). This indicates the presence
207 of significant growth differences between trees that is not explained by tree location within a
208 plot. The selected model indicates that plot effect, year effect, and the plot \times year interaction
209 were highly significant (Table 3). In other words, the annual stem growth varied between plots
210 and among years. For both plots, annual growth was greatest during 2007 and 2008 ($12.71 \pm$
211 0.91 mm in 2007 and 12.75 ± 0.65 mm in 2008) and lowest during 2009 (2.51 ± 0.39 mm, Fig.
212 3). At the plot level, growth in the SB plot was significantly greater than in the HR plot ($11.30 \pm$
213 0.82 vs. 7.51 ± 0.60 mm, $p = 0.001$). Growth was greatest during 2008 in the SB plot ($16.23 \pm$
214 0.99 mm) and during 2007 in the HR plot (10.21 ± 1.19 mm). Growth was lowest during 2009
215 for both plots (3.12 ± 0.60 mm in SB and 1.90 ± 0.51 mm in HR). Trees in the SB plot grew
216 more than trees in the HR plot during all 6 years (Fig. 4).

217 Winter-spring growth

218 The best structure of the variance-covariance matrix for the 6×6 blocks of the **R** matrix
219 was heterogeneous Toeplitz with 5 bands. The tree random effect was significant ($p = 0.027$),

220 indicating significant growth differences among trees. We could not calculate spatial covariance
221 because the model did not converge. The selected model indicates that the year effect and the
222 plot \times year interaction were highly significant, but the plot effect was not significant (Table 3).
223 Thus, there were generally no significant differences in winter-spring growth between study
224 sites, but these differences were significant in some years. For both plots, winter-spring growth
225 was greatest during 2006 (9.51 ± 0.59 mm) and lowest during 2009 (0.99 ± 0.42 mm). At the
226 plot \times year level, winter-spring growth was maximal during 2008 at SB (12.08 ± 0.91 mm) and
227 during 2007 at HR (7.67 ± 0.94 mm). Both plots had the lowest growth during 2009 ($0.42 \pm$
228 0.64 mm in SB and 1.57 ± 0.55 mm in HR). Trees in the SB plot grew more than trees in the
229 HR plot in all years except 2009 (Fig. 3).

230 Late summer-autumn growth

231 The best structure of the variance-covariance matrix for the 6×6 blocks of the \mathbf{R} matrix
232 was heterogeneous autoregressive of order 1. The tree random effect was significant ($p = 0.036$),
233 indicating significant growth differences among trees. We could not calculate spatial covariance
234 because the model did not converge. The selected model indicates that plot effect, year effect,
235 and plot \times year interaction were highly significant (Table 3). For both plots, late summer-
236 autumn growth was greatest during 2006 (3.60 ± 0.32 mm) and lowest during 2011 (2.08 ± 0.15
237 mm, Fig. 3). At the plot level, the growth at SB was significantly greater than growth at HR
238 (3.71 ± 0.22 vs. 2.28 ± 0.19 mm, $p < 0.001$). At the plot \times year level, late summer-autumn
239 growth was greatest during 2007 at SB (4.43 ± 0.64 mm) and during 2006 at HR (2.89 ± 0.41
240 mm). The lowest growth was during 2011 at SB (2.55 ± 0.22 mm) and during 2009 at HR (1.13
241 ± 0.19 mm). Trees in the SB plot grew more than trees in the HR plot in all years (Fig. 4).

242 Trade-off between acorn production and growth

243 There were negative correlations between acorn production and annual growth ($p =$
244 0.038 ; coefficient = -0.003), and between acorn production and late summer-autumn growth (p
245 = 0.037 ; coefficient = -0.014). The introduction of the acorn production as a covariate reduced
246 the variance of the annual model in years 2006, 2007 and 2011, and reduced the variance of the

247 late summer-autumn model in years 2006, 2007, 2008 and 2011 (Table 4). These results
248 indicate that acorn production explained part of the variability of growth between individual
249 trees in these years. The reduction of variance was remarkable during 2006 (the year of maximal
250 acorn production) in the model of annual growth and in the model of late summer-autumn
251 growth. In contrast, the variance did not decrease after the introduction of this effect in both
252 models during the years of less acorn production, *e.g.*, 2009, indicating that acorn production
253 did not influence stem growth in these years (Table 4, Fig. 3). Covariance analysis showed no
254 significant differences between the plots in the late summer-autumn model ($p = 0.079$), but the
255 presence of significant differences in annual model ($p = 0.019$). Specifically, acorn production
256 was significantly and negatively correlated with growth at HR ($p = 0.001$; coefficient = -0.004),
257 but not at SB ($p = 0.161$). On the contrary, acorn production had no significant effect on winter-
258 spring growth ($p = 0.238$).

259 **Discussion**

260 Patterns of stem growth

261 The stem growth of *Q. ilex* varied significantly during the 6 year study period, with the
262 highest growth rates in 2007 and 2008, and the lowest rates in 2009. Most of the annual growth
263 occurred during spring, as reported in previous studies of this species (*e.g.*, Gutiérrez et al.
264 2011; Martín et al. 2014), but there was also a second (generally shorter) growth period after the
265 summer drought that correlated with late summer or early autumn precipitation. However, in
266 years with dry springs and rainy autumns, such as 2009, most annual stem growth can occur
267 during the second growth period, indicating that intra-annual growth patterns can be switched
268 by climate.

269 Martín et al. (2014) reported high between-year variability in the timing of the second
270 period of stem growth in holm oak. However, our results indicated that between-year variability
271 in the late summer-autumn growth was less marked than annual growth and winter-spring
272 growth, even though precipitation and temperature variability were greater during summer and
273 autumn than spring. Hence, other biological processes during this period, such as acorn

274 production, apparently compete for the limited available resources, and this modulates the
275 between-year variability in late summer-autumn growth.

276 Our results showed that differences of growth among trees were not due to spatial
277 differences. In other words, different locations within a plot were about equally favorable for
278 growth. Thus processes such as acorn production may explain the observed growth differences.
279 The growth differences between plots, both annual growth and late-summer growth, are
280 probably related to the greater soil depth and development, reduced competition (because of
281 lower stand density), and better climate (higher precipitation, milder temperatures) at SB than
282 HR. On the contrary, during winter-spring, growth differences between the plots were not
283 significant. Spring is generally the most favorable season for growth in Mediterranean climates
284 because of the mild temperatures and greater water availability (Gea-Izquierdo et al. 2011).
285 Hence, a greater water supply due to precipitation may have modulated the differences in soil
286 type and stand density.

287 Trade-offs between acorn production and growth

288 Our results showed negative correlations between acorn production and annual growth
289 and late summer-autumn growth in good masting years (2006, 2007, 2008, and 2011). Camarero
290 et al. (2010) reported similar results for *Q. ilex* and Barringer et al. (2012) reported similar
291 results for *Q. lobata*, *Q. douglasii*, and *Q. agrifolia*. However, we found no correlations between
292 acorn production and winter-spring growth. Several studies (e.g., Kelly and Sork 2002; Monks
293 and Kelly 2006; Mund et al. 2010) suggested that a negative correlation between fruit
294 production and stem growth generally indicates a switching of resources from vegetative growth
295 to reproduction. Hence, when a tree of *Q. ilex* produces a large acorn crop during a mast year,
296 this leads to reduced allocation for stem growth.

297 It may be questionable whether our observed negative correlations between acorn
298 production and stem growth were due to a true trade-off of resources, or occurred simply
299 because different environmental factors regulate growth and reproduction. Knops et al. (2007)
300 suggested that the negative correlation between acorn production and annual stem growth in
301 California oaks may be explained by this later mechanism. Thus, spring precipitation could

302 enhance stem growth, but have a detrimental effect on pollination, leading to low acorn
303 production and greater growth in years with more rainfall (Knops et al. 2007). Alejano et al.
304 (2011) found that April precipitation was associated with decreased acorn production of *Q. ilex*
305 in dehesas of southwestern Spain. However, we found negative correlations between stem
306 growth and acorn production in late summer-autumn growth, which probably does not depend
307 on spring rainfall, but there were no such correlations between winter-spring stem growth and
308 acorn production. These results are not consistent with the hypothesis of Knops et al. (2007),
309 and suggest that the negative correlations between growth and reproduction were not driven by
310 spring rainfall. Mund et al. (2010) found negative correlations between stem growth and fruit
311 production in *Fagus sylvatica*, and demonstrated that this was a causal relationship, rejecting the
312 existence of apparent or putative trade-offs.

313 Our results showed that the negative correlation between growth and reproduction was
314 greater during intra-annual growth periods, when there was greater resource allocation to
315 reproduction. Late summer-autumn growth partially overlaps with the fattening of acorns,
316 which is typically a period of lower resource availability (Carevic et al. 2010). In spring, only
317 male flowering overlaps with stem growth. In general, female plants invest up to 10-fold more
318 resources to reproduction than males (Obeso 2004), and in oaks, female allocation closely
319 matches total reproductive allocation (Knops and Koenig 2012). Although summer and autumn
320 had a higher intra-annual variability than spring in terms of climate (especially precipitation),
321 the growth differences between years were smaller for late summer-autumn growth than for
322 winter-spring and annual growth. On the contrary, variation in acorn production between years
323 closely followed variations in precipitation. For example, greater acorn production occurred in
324 years with greater summer and autumn precipitation (*e.g.*, 2006), and lower production occurred
325 in years with scarce summer and autumn precipitation (*e.g.*, 2009). Stem growth has a low
326 position in the resource allocation hierarchy (Hoff et al. 2002; Rodríguez-Calcerrada et al.
327 2011), and has lower sink strength than fruit production (Mund et al. 2010). Therefore, a
328 resource allocation hierarchy may explain the greater inter-year variability in acorn production
329 than in late summer-autumn stem growth in holm oak. In years of high resource availability,

330 trees seem to devote the surplus to reproduction, while sustaining stem growth at moderate rates
331 (as in normal years); however, in years with low resource availability (*e.g.*, 2009) stem growth
332 and acorn production were both low and a negative correlation between growth and
333 reproduction could not explain the between-tree differences in stem growth. According to Kelly
334 and Sork (2002), when resources are very scarce, there can even be positive correlations
335 between growth and reproduction.

336 Our results clearly indicated negative correlations between acorn production and stem
337 growth at the level of the individual tree. However, our results also showed that years with
338 greater acorn production at the population level had greater stem growth, and that years with
339 lower acorn production at the population level had lower stem growth. Pérez-Ramos et al.
340 (2010) found a positive correlation between acorn production and stem growth at the population
341 level in a stand of *Q. ilex* in Southern France, consistent with the resource matching hypothesis
342 (Norton and Kelly 1988). Our study indicates that acorn production and stem growth in *Q. ilex*
343 may be mainly driven by climate at the population level, consistent with the resource matching
344 hypothesis. However, trade-offs between growth and reproduction occur at the level of the
345 individual tree, with less stem growth in trees that allocate more resources to acorn production,
346 consistent with the resource switching hypothesis (Kelly and Sork 2002; Monks and Kelly
347 2006; Sánchez-Humanes et al. 2011). Hence, resource switching and resource matching can co-
348 occur in different periods and at different ecological levels, depending on resource availability.
349 These findings highlight the importance of analyzing data at the individual level in examination
350 of potential trade-offs, as suggested by Barringer et al. (2012).

351 Regarding the association of limited resources with trade-offs, growth in oaks is not
352 limited by carbon availability even in mast years (Korner 2003). However, the costs of
353 reproduction may be measured by considering photosynthesis, respiration, and resorption
354 (Reekie and Bazzaz 1987; Ashman 1994; Sánchez-Humanes et al. 2011), which can be limited
355 by water availability (Escudero et al. 1992; Sala and Tenhunen 1996; Mediavilla and Escudero
356 2003). Water shortage can cause premature abortion of fruits at the beginning of seed
357 development (Larcher 2003; Carevic et al. 2010), thereby decreasing acorn production (Alejano

358 et al. 2011). Stem growth is also highly dependent on water availability, especially during
359 summer and autumn (Zhang and Romane 1991; Gutiérrez et al. 2011; Martín et al. 2014).
360 Therefore, it is likely that the trade-offs we identified were related to intra-plant competition
361 between stem growth and acorn production for water.

362 At the plot \times year level, there were significant differences in stem growth and acorn
363 production between the two plots, and covariance analyses showed that acorn production only
364 correlated with annual stem growth in HR. HR had greater acorn production and lower stem
365 growth than SB in all years, suggesting a preference in resource allocation to acorn production,
366 especially in mast years. The HR plot has shallower and less developed soils and a slightly drier
367 climate, with hotter summers and colder winters. There is also greater competition among trees
368 in HR because of the 2-fold greater stand density. According to Reznick et al. (1985), the costs
369 of reproduction are greater in ecosystems with limited resource availability or other causes of
370 stress. Thus, stress in the HR plot could increase resource allocation to acorn production,
371 thereby ensuring successful reproduction and a greater density of seedlings (Kelly 1994). On the
372 other hand, trees in HR were smaller than those in SB. Staudhammer et al. (2013) studied a
373 tropical tree species and found that trade-offs between growth and reproduction decreased as
374 trees increased in size and maturity; thus a phase in which there is a trade-off between growth
375 and reproduction may slowly shift to a phase in which growth and reproduction are more
376 independent. Acorn production was negatively correlated with late summer-autumn growth in
377 both of our plots, and this suggests that in this more critical growth period (when acorns fatten)
378 there were trade-offs in both plots.

379 **Conclusions**

- 380 1) During mast years, there were trade-offs between stem growth and acorn production
381 at the level of the individual tree in *Q. ilex*.
- 382 2) The trade-offs between stem growth and acorn production occurred during late summer-
383 autumn growth (the period of acorn fattening), but not during winter-spring growth.
384 This result shows the importance of making intra-annual measurements of tree growth
385 for appropriate interpretation of potential trade-offs.

386 3) The costs of reproduction differed between the two study sites. Trade-offs between stem
387 growth and acorn production were greater in smaller trees that grew in conditions with
388 greater pedological and climatic stress.

389 **Acknowledgements**

390 This work was supported by the Department of Innovation, Science and Business of the
391 Regional Government of Andalusia, Spain [C03-192] and the Science and Education Ministry-
392 National Institute of Food and Agriculture Research and Technology, Spain [SUM2006-00026-
393 00-00]. We also thank Rocío Macías and Enrique Andivia for their help with the fieldwork.

394 **Conflict of Interest**

395 The authors declare that they have no conflict of interest.

396

397 **References**

- 398 Akaike H (1974) A new look at the statistical model identification. IEEE Trans Autom Control
399 19:716–723
- 400 Ashman TL (1994) A dynamic perspective on the physiological cost of reproduction in plants.
401 Am Nat 144:300–316
- 402 Alejano R, Tapias R, Fernández M, Torres E, Alaejos E, Domingo J (2008) Influence of
403 pruning and the climatic conditions on acorn production in holm oak (*Quercus ilex* L.)
404 dehesas in SW Spain. Ann For Sci 65(2):209-217
- 405 Alejano R, Vázquez-Piqué J, Carevic F, Fernández M (2011) Do ecological and silvicultural
406 factors influence acorn mass in Holm Oak (southwestern Spain)? Agrofor Syst 83:25-39
- 407 Barringer BC, Koenig WD, Knops JMH (2012) Interrelationships among life-history traits in
408 three California oaks. Oecologia 171:129-139
- 409 Camarero JJ, Albuixech J, López-Lozano R, Casterad MA, Montserrat-Martí G (2010) An
410 increase in canopy cover leads to masting in *Quercus ilex*. Trees 24:909–918
- 411 Campelo F, Gutiérrez E, Ribas M, Nabais C, Freitas H (2007) Relationships between climate
412 and double rings in *Quercus ilex* from northeast Spain. Can J For Res 37:1915–1923
- 413 Carevic FS, Fernández M, Alejano R, Vázquez-Piqué J, Tapias R, Corral E, Domingo J (2010)
414 Plant water relations and edapho-climatic conditions affecting acorn production in a holm
415 oak (*Quercus ilex* L. ssp. *ballota*) open woodland. Agrofor Syst 78:299–308
- 416 Cook ER, Kairiukstis LA (1990) Methods of Dendrochronology. Applications in the
417 Environmental Sciences. Kluwer, NL
- 418 Cubera E, Moreno G (2007) Effect of land-use on soil water dynamics in dehesas of Central-
419 Western Spain. Catena 71:298–308
- 420 Despland E, Houle G (1997) Climate influences on growth and reproduction of *Pinus banksiana*
421 (Pinaceae) at the limit of the species distribution in eastern North America. Am J Bot
422 84:928–37

423 Escudero A, del Arco JM, Sanz IC, Ayala J (1992) Effects of leaf longevity and retranslocation
424 efficiency on the retention time of nutrients in the leaf biomass of different wood species.
425 *Oecologia* 90:80–87

426 Gea-Izquierdo G, Cherubini P, Cañellas I (2011) Tree-rings reflect the impact of climate change
427 on *Quercus ilex* L. along a temperature gradient in Spain over the last 100 years. *Forest Ecol*
428 *Manage* 262:1807-1816

429 Greenberg CH (2000) Individual variation in acorn production by five species of Southern
430 Appalachian oaks. *Forest Ecol Manage* 132:199–210

431 Gutiérrez E, Campelo F, Camarero JJ, Ribas M, Muntán E, Nabais C, Freitas H (2011) Climate
432 controls act at different scales on the seasonal pattern of *Quercus ilex* L. stem radial
433 increments in NE Spain. *Trees* 25:637–646

434 Hoff C, Rambal S, Joffre R (2002) Simulating carbon and water flows and growth in a
435 Mediterranean evergreen *Quercus ilex* coppice using the FOREST-BGC model. *Forest*
436 *Ecol Manage* 164:121–136

437 IUSS Working Group WRB (2007) World Reference Base for Soil Resources 2006, first update
438 2007. World Soil Resources Reports No. 103. FAO, Rome

439 Janzen DH (1971) Seed predation by animals. *Annu Rev Ecol Evol Syst* 2:465–92

440 Keeland BD, Young PJ (2014) Installation of traditional dendrometer bands. U.S. Geological
441 Survey. National Wetlands Research Center.
442 <http://www.nwrc.usgs.gov/topics/Dendrometer/>. Accessed 23 January 2014

443 Kelly D (1994) The evolutionary ecology of mast seeding. *Trends Ecol Evol* 9:465–70

444 Kelly D, Sork VL (2002) Mast seeding in perennial plants: why, how, where? *Annu Rev Ecol*
445 *Syst* 33:427–47

446 Knops JMH, Koenig WD, Carmen WJ (2007) Negative correlation does not imply a tradeoff
447 between growth and reproduction in California oaks. *Proc Natl Acad Sci USA* 104:16982–
448 16985

449 Knops JMH, Koenig WD (2012) Sex allocation in California oaks: trade-offs or resource
450 tracking? *PLoS ONE* 7(8): e43492

451 Koenig WD, Knops JMH (1998) Scale of mast-seeding and tree-ring growth. *Nature* 396:225–
452 226

453 Korner C (2003) Carbon limitation in trees. *J Ecol* 91:4–17

454 Larcher W (2003) *Physiological plant ecology*. Springer, Berlin

455 Littell RC, Milliken GA, Stroup WW, Wolfinger RD, Schabenberger O (2006) *SAS system for*
456 *mixed models*. SAS Institute, Cary

457 Martín D, Vázquez-Piqué J, Fernández M, Alejano R (2014) Effect of ecological factors on
458 intra- annual stem girth increment of holm oak. *Trees* 28:1367-1381

459 Mediavilla S, Escudero A (2003) Stomatal responses to drought at a mediterranean site: a
460 comparative study of co-occurring woody species differing in leaf longevity. *Tree Physiol*
461 23:987–996

462 Monks A, Kelly D (2006) Testing the resource-matching hypothesis in the mast seeding tree
463 *Nothofagus truncata* (Fagaceae). *Austral Ecol* 31:366–375

464 Mund M, Kutsch WL, Wirth C, Kahl T, Knohl A, Skomarkova MV, Schulze ED (2010) The
465 influence of climate and fructification on the inter-annual variability of stem growth and
466 net primary productivity in an old-growth, mixed beech forest. *Tree Physiol.* 30:689–704

467 Norton DA, Kelly D (1988) Mast seeding over 33 years by *Dacrydium cupressinum* Lamb.
468 (rimu) (Podocarpaceae) in New Zealand: the importance of economies of scale. *Funct Ecol*
469 2:399–408

470 Obeso JR (2002) The costs of reproduction in plants. *New Phytol* 155:321–348

471 Obeso JR (2004) A hierarchical perspective in allocation to reproduction from whole plant to
472 fruit and seed level. *Perspect Plant Ecol Evol Syst* 6:217–225

473 Patterson H D, Thompson R (1971) Recovery of inter-block information when block sizes are
474 unequal. *Biometrika* 58:545–554

475 Pérez-Ramos IM, Ourcival JM, Limousin JM, Rambal S (2010) Mast seeding under increasing
476 drought: results from a long-term dataset and from a rainfall exclusion experiment.
477 *Ecology* 91:3057–3068

478 Quézel P, Médail, F (2003) *Ecologie et Biogéographie des Forêts du Bassin Méditerranéen*.
479 Elsevier, Paris

480 Reekie EG, Bazzaz FA (1987) Reproductive effort in plants. 1. Carbon allocation to
481 reproduction. *Am Nat* 129: 876–896

482 Reznick R (1985) Cost of reproduction: An evaluation of the empirical evidence. *Oikos* 44:257–
483 267

484 Rodríguez-Calcerrada J, Pérez-Ramos IM, Ourcival JM, Limousin JM, Joffre R, Rambal S
485 (2011) Is selective thinning an adequate practice for adapting *Quercus ilex* coppices to
486 climate change? *Ann Forest Sci* 68:575-585

487 Sala A, Tenhunen JD (1994) Site-specific water relations and stomatal response of *Quercus ilex*
488 L. in a Mediterranean watershed. *Tree Physiol* 14:601–617

489 Sánchez-Humanes B, Sork VL, Espelta JM (2011) Trade-offs between vegetative growth and
490 acorn production in *Quercus lobata* during a mast year: the relevance of crop size and
491 hierarchical level within the canopy. *Oecologia* 166(1):101-110

492 Searle SR (1971) *Linear Models*. John Wiley & Sons, New York

493 Siscart D, Diego V, Lloret F (1999) Acorn ecology. In: Rodà F, Retana J, Gracia CA, Bellot J
494 (eds) *Ecology of Mediterranean evergreen oak forests*. Springer-Verlag, Berlin, pp 75–86

495 Sork VL (1993) Evolutionary ecology of mast-seeding in temperate and tropical oaks (*Quercus*
496 spp). *Plant Ecol* 107–108:133–147

497 Staudhammer CL, Wadt LHO, Kainer AK (2013) Tradeoffs in basal area growth and
498 reproduction shift over the lifetime of a long-lived tropical species. *Oecologia* 173:45–57

499 Stearns SC (1989) Trade-offs in life-history evolution. *Funct Ecol* 3:259–268

500 Zhang SH, Romane F (1991) Variations de la croissance radiale de *Quercus ilex* L. en fonction
501 du climat. *Ann Forest Sci* 48:225–234

Tables

Table 1. Characteristics of the two study plots (SD: standard deviation)

Plot	Coordinates (UTM. Zone 29)	Area (ha)	Density (trees ha⁻¹)	Mean diameter ± SD (cm)	Mean height ± SD (m)
Huerto Ramírez (HR)	X:644288 m Y:4161376 m	2.94	73.0	30.0 ± 7.7	6.6 ± 1.6
San Bartolomé (SB)	X:669638 m Y:4145966 m	2.70	36.0	35.4 ± 7.2	6.5 ± 1.1

Table 2. Precipitation and temperature of the two plots during the study period. Psp: spring precipitation; Psm: summer precipitation; Pat: autumn precipitation; P: annual precipitation; TM: mean maximum temperature of the hottest month; Tm: mean minimum temperature of the coldest month; T: mean annual temperature

Year	Precipitation (mm)								Temperature (°C)					
	SB				HR				SB			HR		
	Psp	Psm	Pat	P	Psp	Psm	Pat	P	TM	Tm	T	TM	Tm	T
2006	115	99	411	850	123	74	356	713	34.4	4.5	17.4	35.6	4.2	17.4
2007	111	75	148	473	110	60	118	421	33.8	4.9	17.0	33.9	2.8	16.1
2008	222	66	130	584	121	64	126	458	32.7	5.7	17.0	33.4	5.5	16.8
2009	50	18	334	538	38	16	305	502	34.1	5.7	17.9	35.0	5.7	18.0
2010	120	12	379	1019	99	14	342	799	34.9	6.7	17.5	36.3	7.1	17.6
2011	162	21	107	618	226	31	158	651	32.8	5.0	17.7	33.2	6.0	17.4

Table 3. Significance of fixed effects in the annual, winter-spring, and late summer-autumn growth models

	Annual growth			Winter-spring growth			Late summer-autumn growth		
Effect	Year	Plot	Plot × year	Year	Plot	Plot × year	Year	Plot	Plot × year
F value	107.11	12.42	6.85	88.18	3.82	9.25	20.57	24.78	2.56
Pr > F	<0.0001	0.0014	<0.0001	<0.0001	0.0604	<0.0001	<0.0001	<0.0001	0.0301

Table 4. Effect of acorn production on the variance in annual and late summer-autumn growth models during each year (% reduction of variance)

Year	Annual growth	Late summer-autumn growth
2006	6.68	8.26
2007	5.56	2.23
2008	-1.32	3.22
2009	-9.17	-4.57
2010	-3.96	-4.18
2011	0.33	1.59

Figure captions

Fig. 1 Walter-Lieth climate diagrams of the plots in Huerto Ramírez (left) and San Bartolomé (right)

Fig. 2 Monthly precipitation and temperature of plots in Huerto Ramírez (top) and San Bartolomé (bottom) during the study period. Tmed: mean temperature; Tmmax: mean maximum daily temperature; Tmmin: mean minimum daily temperature

Fig. 3 Top: Least squares estimates of the mean annual, winter-spring, and late summer-autumn stem growth per tree ($\text{mm} \pm \text{standard error}$) at both plots. Different letters indicate significant differences for each growth model ($p < 0.05$). Bottom: Mean annual acorn production per tree at both plots ($\text{g m}^{-2} \pm \text{standard error}$). * indicates that acorn production reduced the variance of annual stem growth at the individual tree level. ** indicates that acorn production reduced the variance of late summer-autumn growth at the individual tree level

Fig. 4 Top: Least squares mean estimates of annual, winter-spring, and late summer-autumn stem growth per tree ($\text{mm} \pm \text{standard error}$) at each plot. Different letters indicate significant differences for each growth model ($p < 0.05$). Bottom: Mean annual acorn production per tree at each plot ($\text{g m}^{-2} \pm \text{standard error}$)

Fig. 1

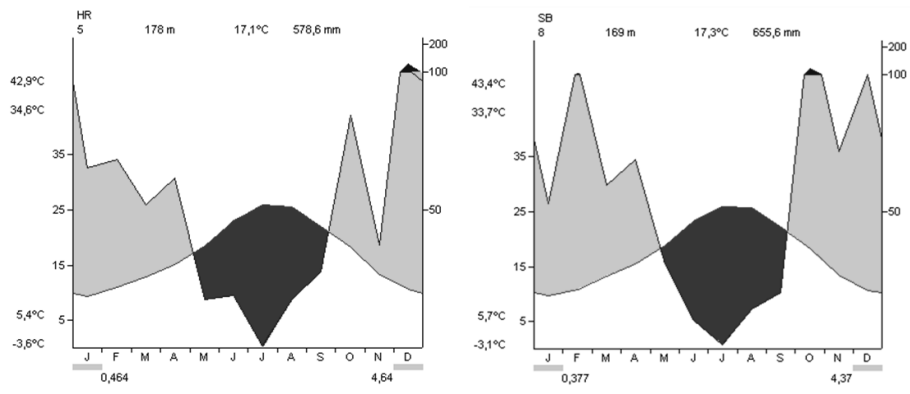


Fig. 2

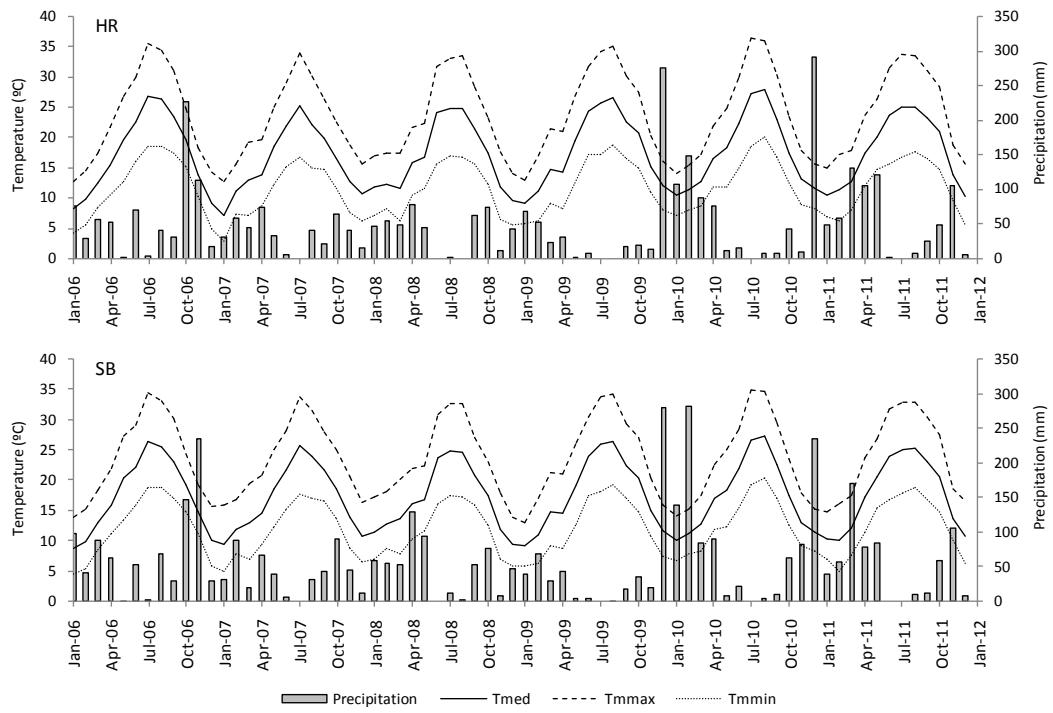


Fig. 3

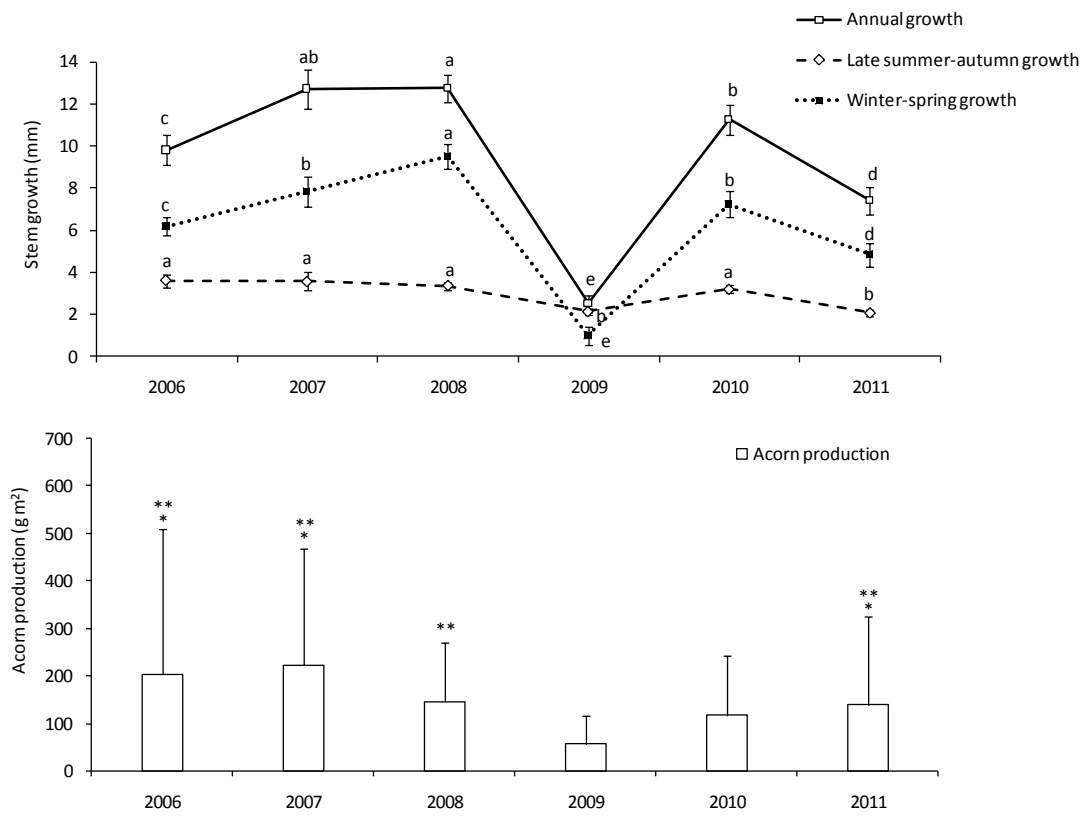


Fig. 4

