

1 **METABOLIC PROFILING OF POTENTIAL LUNG CANCER BIOMARKERS USING**
2 **BRONCHOALVEOLAR LAVAGE FLUID AND THE INTEGRATED DIRECT**
3 **INFUSION/ GAS CHROMATOGRAPHY MASS SPECTROMETRY PLATFORM**

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24 **Abstract**

25 Lung cancer is one of the ten most common causes of death worldwide, so that the search for
26 early diagnosis biomarkers is a very challenging task. Bronchoalveolar lavage fluid (BALF) provides
27 information on cellular and biochemical epithelial surface of the lower respiratory tract constituents and
28 no previous metabolomic studies have been performed with BALF samples from patients with lung
29 cancer. Therefore, this fluid has been explored looking for new contributions in lung cancer metabolism.
30 In this way, two complementary metabolomics techniques based on direct infusion high resolution mass
31 spectrometry (DI-ESI-QTOF-MS) and gas chromatography mass spectrometry (GC-MS) have been
32 applied to compare statistically differences between lung cancer (LC) and control (C) BALF samples,
33 using partial least square discriminant analysis (PLS-DA) in order to find and identify potential
34 biomarkers of the disease. A total of 42 altered metabolites were found in BALF from LC. The metabolic
35 pathway analysis showed that glutamate and glutamine metabolism pathway was mainly altered by this
36 disease. In addition, we assessed the biomarker specificity and sensitivity according to the area under the
37 receiver operator characteristic (ROC) curves, indicating that glycerol and phosphoric acid were potential
38 sensitive and specific biomarkers for lung cancer diagnosis and prognosis.
39

40 **Biological Significance**

41 The search for early diagnosis of lung cancer is a very challenging task because of the high mortality
42 associated to this disease and its critical linkage to the initiation of treatment. Bronchoalveolar lavage
43 fluid provides information on cellular and biochemical epithelial surface of the lower respiratory tract
44 constituents and no previous metabolomic studies have been performed with BALF samples from patients
45 with lung cancer. Since BALF is in close interaction with lung tissue it is a more representative sample of
46 lung status than other peripheral biofluids as blood or urine studied in previous works. Therefore, this
47 study represents an innovative contribution in this topic that complement previous investigations about
48 lung cancer, opening up new possibilities for understanding the pathogenesis of this disease and the use of
49 efficient biomarkers.

50

51 **Keywords.-** lung cancer; metabolomics; bronchoalveolar fluid; biomarkers; direct infusion mass
52 spectrometry; gas chromatography mass spectrometry

53

54 **1. Introduction**

55 Lung cancer (LC) is one of the most common cause of cancer-related deaths in the world provoking more
56 than 1.3 million deaths each year [1,2]. It is an unspecific disease difficult to distinguish from other lung
57 disorders [2], which generally leads to late diagnosis after a symptomatic stage with averaged survival
58 period no longer than five-year in about the 15% of cases [3–5]. However, the early disease diagnosis
59 increases this rate up to 85 % [2,6–8].

60 Metabolomics is a powerful tool for untargeted simultaneous analysis of a large number of metabolites to
61 provide the corresponding "metabolomics fingerprint", which allows tracing the concentration and fluxes
62 of metabolites and their identification, to deepen the pathology of diseases, biomarkers discovery and
63 drug development [9]. Consequently, metabolomics has been used for LC biomarkers searching in
64 different biological fluids and tissues applying NMR [10] and MS methods. These later include,
65 generally, the couplings HPLC-MS (high performance liquid chromatography mass spectrometry),
66 LC/MS/MS (liquid chromatography with tandem mass spectrometry) [10], liquid chromatography with
67 high resolution mass spectrometry (HPLC-Q-TOF-MS) [12], CE-MS (capillary electrophoresis mass
68 spectrometry) [11], and gas chromatography mass spectrometry (GC-MS) [13], in order to reduce the
69 complexity of mass spectra, avoiding isobaric interferences and ion suppression of very low abundant

70 metabolites. However, separation devices increase the time of analysis and introduce inter-sample
71 variability, reducing the metabolic information due to the analytical bias and “filter effect” represented by
72 the separation step. For these reasons, direct infusion mass spectrometry (DIMS) without any previous
73 separation step has also been proposed for this purpose [4,14]. This technique has several advantages as
74 high-throughput screening capability, since it avoids the conventional time-resolved introduction of
75 metabolites into the MS after the chromatographic separation, improving the analysis rapidity and non-
76 targeted metabolite coverage [14–16], since there is not exclusion of compounds caused by the separation
77 device. Limitations in identification of metabolites by DIMS due to isobaric interferences from complex
78 matrices as serum are overcome with high resolution and high-mass accuracy analyzers, such as time-of-
79 flight (TOF), Fourier transform ion cyclotron resonance (FTICR) [17] and Orbitrap [18] systems.

80 A number of biological fluids and tissue biopsy have been reported for LC diagnosis, as serum [3,19],
81 plasma [4,20], urine [21–23], saliva [24]; and lung tissues [25,26], but there are not antecedents about the
82 use of bronchoalveolar lavage fluid (BALF) for this purpose. BALF is obtained during the exploratory
83 study of patients with lung diseases and provides constituents information on cellular and biochemical
84 epithelial surface of the lower respiratory tract through instillation and later aspiration of liquid in one or
85 more lung segments. It is estimated that BALF samples take a million cells (1% of the lung surface) to
86 yield about 1 ml of pulmonary secretions in the actual total recovered liquid [27]. Because of BALF is in
87 close interaction with lung tissue is a more representative sample of lung status than other peripheral
88 biofluids as blood or urine.

89 Previous metabolomic studies based on BALF samples consider different lung diseases, such as asthma,
90 [28,29] respiratory distress syndrome [30,31], immunodeficiency virus type 1 (IHV-1) [32] or cystic
91 fibrosis [33], but never has been applied to LC patients.

92 The present work explores for the first time the combined application of direct infusion electrospray
93 ionization triple quadrupole time-of-flight mass spectrometry (DI-ESI-QqQ-TOF-MS) and GC-MS to the
94 metabolomic study of BALF samples from lung cancer and other pulmonary (non-cancer) patients. It has
95 been applied a metabolomic platform based on mass spectrometry techniques combining direct infusion
96 triple quadrupole time-of-flight mass spectrometry with an ionization electrospray source (DI-ESI-QTOF-
97 MS) and gas chromatography mass spectrometry (GC-MS), for a total of 55 BALF samples (24 LC and
98 31 control subjects (CS)). In addition, statistical methods (partial least square discriminant analysis (PLS-

99 DA)) was applied to establish discriminations between groups and identify the altered metabolites related
100 to this, which could be used as potential biomarkers for early diagnosis of LC.

101

102 **2. Material and methods**

103 **2.1. Sample collection**

104 BALF samples were collected from 24 LC and 31 control patients (C), the later without lung cancer, at
105 the Pneumology Area of Juan Ramón Jiménez Hospital (Huelva, Spain) from July 2011 to October
106 2013. The BALF samples were divided into aliquots of 1 ml in Eppendorf tubes and stored at -80 °C until
107 analysis. Sex and ages data of LC and C patients are shown in Table 1, and the diseases suffered by C
108 patients in Table 2.

109

110 Table 1. Sex and age data of lung cancer patients and controls

| | LC (n=24) | C (n=31) |
|-------------------|-----------|----------|
| Sex (male/female) | 16/8 | 23/8 |
| Age (years) | 66±11 | 56±13 |

111

Table 2. Lung diseases of 31 control patients

| Pulmonary disease in C | C (n=31) |
|---------------------------------|----------|
| Lung contusion | 1 |
| Interstitial lung disease (ILD) | 16 |
| Pulmonary emphysema | 3 |
| Hemoptysis | 5 |
| Infectious BQ | 4 |
| Interstitial edema | 2 |

112

113 The study was performed in accordance with the principles contained in the Declaration of Helsinki and
114 approved by the Ethical Committee of University of Huelva.

115

116 **2.2. Reagents**

117 All the solvents used were of HPLC-grade. Methanol, chloroform and pyridine were purchased from
118 Aldrich (Steinheim, Germany). Formic acid was supplied by Merck (Darmstadt, Germany), and
119 derivatizing agents, namely methoxylamine hydrochloride and N-methyl-N-(trimethylsilyl)
120 trifluoroacetamide (MSTFA) were also obtained from Aldrich. Water was purified with a Milli-Q
121 Gradient system (Millipore, Watford, UK).

122

123 **2.3. Sample preparation**

124 BALF samples were differently treated depending on the metabolomic technique applied. In the case of
125 DI-ESI-QTOF-MS, we used the method proposed by Charles R. Evans et al., [30] based on the addition
126 of 400 μl of 9:1 (v/v) methanol/chloroform mixture to 100 μl of BALF. The mixture was vortexed
127 followed by centrifugation at 15000 g for 10 min, and the supernatant was directly infused into the MS
128 spectrometer for analysis by electrospray mass spectrometry; 0.1% formic acid was added to the extracts
129 if positive ionization mode of analysis is used.

130

131 For GC-MS, 500 μl of BALF samples were pre-concentrated using a speed vacuum system
132 (SpeedVacTM Thermo Scientific) during 1h at 45 °C, and reconstituted with the derivatizing agents. For
133 protection of carbonyl groups by methoxymation, dried extracts were redissolved in 50 μl of 20 mg mL^{-1}
134 methoxyamine in pyridine, and after briefly vortexing were incubated at 80°C for 15 min in a water bath.
135 Subsequently, silylation was performed by adding 50 μl of MSTFA and incubation at 80°C for 15 min.
136 Finally, extracts were centrifuged at 4000 rpm for 5 min and the supernatant collected for analysis.

137

138 **2.4. Quality controls**

139 A total of ten Quality control samples (QCs) were prepared by pooling equal volumes of all samples
140 studied, which were treated with the sample procedure and analyzed for both DI-ESI-QTOF and GC-MS.

141

142 **2.5. DI-ESI-QTOF-MS and GC-MS analysis**

143 The DI-ESI-QTOF-MS experiments were performed in a QSTAR XL Hybrid system (Applied
144 Biosystems, Foster City, CA, USA) using the electrospray (ESI) source. The samples were introduced
145 into the mass spectrometer at 5 $\mu\text{l}/\text{min}$ flow rate using an integrated apparatus pump and a 1000 μl
146 volume Hamilton syringe. Data were obtained in both positive and negative ionization modes, acquiring
147 full scan spectra for 0.2 minutes in the m/z range 50-1100 uma with 1.005 seconds of scan time. The
148 parameters of the Q-TOF system were optimized to obtain the higher sensitivity with minimal
149 fragmentation of molecular ions. In positive mode, the ion spray voltage (IS) was set at 3300 V, and high-
150 purity nitrogen was used as curtain and nebulizer gas at flow rates about 1.13 l/min and 1.56 l/min ,
151 respectively. The source temperature was fixed at 60°C, with a declustering potential (DP) of 60 V and a
152 focusing potential (FP) of 250 V. The ion energy (IE) was fixed at 2.0 V with a channel electron
153 multiplier (CEM) of 2150 V. To acquire MS/MS spectra, nitrogen was used as collision gas.

154

155 Gas chromatographic analysis was performed in a Trace GC ULTRA gas chromatograph coupled to an
156 ion trap mass spectrometer detector ITQ900 (Thermo Fisher Scientific), using a Factor Four capillary
157 column VF-5MS 30 m × 0.25 mm ID, with 0.25 µm of film thickness (Varian). The GC column
158 temperature was set to 100°C for 0.5 min, and programmed to reach 320°C at a rate of 15°C per minute.
159 Finally, this temperature was maintained for other 7 min, being the total time of analysis 22.17 min. The
160 injector temperature was kept at 280°C, and helium was used as carrier gas at a constant flow rate of 1 ml
161 min⁻¹. For mass spectrometry detection, ionization was carried out by electronic impact (EI) with a
162 voltage of 70 eV, by full scan mode in the m/z range 35–650, with anion source temperature of 200°C.
163 For analysis, 1 µl of sample was injected in splitless mode.

164

165 **2.6. Data processing**

166 Mass data from DI-ESI-QTOF-MS were extracted directly from QSTAR XLSTAR XL Hybrid system
167 software (Applied Biosystems, Foster City, CA, USA) in .wif files to be exported to Markerview™
168 software (Applied Biosystems) that filtered the mass spectrometric data in order to reduce m/z data and
169 peaks intensities for two-two dimensional matrices construction. For this purpose a filter intensity of 10
170 counts and a t-test were used to eliminate non-significant variables from the dataset. In addition, the
171 results were submitted to normalization using total area sum in order to correct possible instrumental
172 drifts.

173 In the case of GC-MS, raw data was processed following the pipeline described by Katajamaa and Oresic
174 [34], which proceeds through multiple stages including feature detection, alignment of peaks and
175 normalization. For this purpose was used the freely available XCMS software, included in the R platform
176 (<http://www.r-project.org>). Files were converted into net CDF using the Thermo File Converter tool
177 (Thermo Fisher Scientific) and subsequently, data were extracted using the matched filter method. This
178 algorithm slices data into extracted ion chromatograms (XIC) on a fixed step size, and then each slice is
179 filtered with matched filtration using a second-derivative Gaussian as the model peak shape [35]. The
180 XCMS parameters were optimized according to the characteristics of datasets obtained in order to extract
181 the maximum information as possible. Finally, the settings applied for GC-MS data were S/N threshold 2,
182 full width at half-maximum (fwhm) 3, and width of the m/z range 0.1 (step parameter). After peak
183 extraction, grouping and retention time correction of peaks (alignment) was accomplished in three

184 iterative cycles with descending bandwidth (bw) from 5 to 1 s. Then, imputation of missing values was
185 performed by returning to the raw spectral data and integrating the areas of the missing peaks which are
186 below the applied signal-to-noise ratio threshold, using the fill Peaks algorithm. For data normalization,
187 the locally weighted scatter plot smoothing (LOESS) normalization method was used, which adjusts the
188 local median of log fold changes of peak intensities between samples in the data set to be approximately
189 zero across the whole peak intensity range [36]. The preprocessed data were then exported as a .csv file
190 for further data analysis by t-test to eliminate non-significant features following by multivariate
191 procedures.

192

193 **2.7. Statistical Analysis**

194 Both DI-ESI-QTOF-MS and GC-MS data were processed with SIMCA-P™ software (version 11.5,
195 published by UMetrics AB, Umeå, Sweden), to perform partial least squares discriminant analysis (PLS-
196 DA) in order to discriminate between the groups of the study (LC vs C). Before performing statistical
197 analysis, data was submitted to Pareto scaling, for reducing the relative importance of larger values, and
198 logarithmic transformation, in order to approximate to a normal distribution [37]. Performance of models
199 was assessed by the R2 and Q2 values, provided by the software (indicative of class separation and
200 predictive power of the model, respectively). Finally, metabolites responsible for discrimination were
201 selected according to the Variable Importance in the Projection, or VIP (a weighted sum of squares of the
202 PLS weight, which indicates the importance of the variable in the model), considering only variables with
203 VIP values higher than 1, indicative of significant differences among groups. In the case of DI-ESI-
204 QTOF-MS, potential biomarkers were identified using fragmentation analysis by MS/MS and matching
205 the resulting fragmentation spectra with metabolomics data bases like HMDB (<http://www.hmdb.ca/>), o
206 METLIN (<http://metlin.scripps.edu/>). In the case of GC-MS, the NIST Mass Spectral Library (version
207 08) was used to identify the altered metabolites, considering only those variables with a Similarity Index
208 (SI) greater than 70%. On the other hand statistical significance was analyzed using one-way ANOVA
209 followed by multiple tests correction with the Tukey test (Statistica 8.0, StafSoft), using a level of
210 probability of 0.05 as criterion for significance.

211

212 **2.8. Metabolic pathway analysis**

213 Metabolic pathway analysis was performed to identify the affected pathways on the basis of altered
214 metabolites. The Metaboanalyst 3.0 web tool (<http://www.metaboanalyst.ca/>) was used for this purpose,
215 which conducts pathway analysis through pathway enrichment analysis and pathway topological analysis
216 [38]. The “Homo sapiens” library was selected using the default “Hypergeometric Test” and “Relative-
217 Betweenness Centrality” algorithms for pathway enrichment analysis and pathway topological analysis,
218 respectively. In order to identify the most relevant pathways, the impact-value threshold calculated from
219 pathway topology analysis was set at 0.1.

220

221 **2.9. Potential lung cancer biomarkers from BALF**

222 We assessed the potential biomarker specificity and sensitivity according to the area under the curve of
223 the receiver operator characteristic (ROC), which could be used to distinguish patients with lung cancer
224 from control subjects. The analysis was applied to the resulting altered metabolites in LC detected from
225 both metabolomics techniques (DI-ESI-QTOF and GC-MS) using the Metaboanalyst 3.0
226 (<http://www.metaboanalyst.ca/>) software. Resulting data was carried out by logarithmic transformation
227 and Pareto scaling.

228

229 **3. Results**

230 The BALF extracts from LC and C patients were analyzed using the two complementary metabolomic
231 techniques, DI-ESI-Q-TOF-MS and GC-MS, the number of final features used for PLS-DA was 1352 and
232 1021, respectively. Typical mass spectra from LC and C cases are shown in Fig. 1(a) and (b),
233 respectively.

234

235 The mass spectra data were used to construct the PLS-DA models which showed a good statistical
236 classification between LC and C samples (Fig. 2). The quality of the model was evaluated by the relevant
237 performance statistics of $R^2Y=0.966$ and the predictive parameter $Q^2= 0.71$, suggesting that the model
238 was valid and highly predictive. It can be observed a good clustering in quality control samples (Fig. 2),
239 indicative of results stability during analyses without significant outliers.

240

241 Metabolites responsible for the discrimination between LC and C patients were selected according $VIP>$
242 1, resulting in 24 altered metabolites in LC patients (Table 3). Potential biomarkers were identified

243 matching the experimental accurate mass and tandem mass spectra (MS/MS) with those shown in
 244 metabolomic databases (HMDB and METLIN), and then confirmed with commercial standards when
 245 available. Aminoacids, carnitines, lysophospholipids (lysophosphocholines (LPC) and
 246 lysophosphosphingosines (LPS)), phospholipids (phosphocholines (PC), phosphoethanolamines (PE) and
 247 phosphoethanolamines plasmalogens (PPE)) were altered in LC. In addition, the Table 3 collects the
 248 statistical significance of metabolites analyzed using one-way ANOVA followed by Tukey test. Finally,
 249 AUC values from ROC curves analysis are also shown in Table 3.

250
 251
 252
 253

254 **Table 3. Altered metabolites in LC samples detected with DI-ESI-QTOF-MS**

| Metabolite | m/z | Adduct | VIP | Sense of alteration | Fold change | <i>p</i> value | AUC |
|-----------------------|--------|----------------------|------|---------------------|-------------|----------------|------|
| Urea | 61.04 | [M+H ⁺] | 1.30 | ↓ | 0.74 | 0.048 | 0.54 |
| Choline * | 104.11 | [M+H ⁺] | 2.41 | ↓ | 0.68 | 0.014 | 0.78 |
| L-Serine | 106.05 | [M+H ⁺] | 1.31 | ↓ | 0.78 | 0.044 | 0.53 |
| L-Valine | 118.09 | [M+H ⁺] | 1.87 | ↓ | 0.57 | 0.040 | 0.66 |
| PyroGlutamate | 130.06 | [M+H ⁺] | 1.01 | ↓ | 0.83 | 0.042 | 0.57 |
| Creatine | 132.08 | [M+H ⁺] | 1.53 | ↓ | 0.76 | 0.032 | 0.60 |
| Adenine * | 136.08 | [M+H ⁺] | 1.27 | ↑ | 1.92 | 0.043 | 0.82 |
| Acetylcholine | 146.12 | [M+H ⁺] | 1.67 | ↓ | 0.61 | 0.027 | 0.57 |
| L-Glutamate | 148.07 | [M+H ⁺] | 1.58 | ↓ | 0.84 | 0.019 | 0.51 |
| Carnitine * | 162.11 | [M+H ⁺] | 2.67 | ↓ | 0.92 | 0.023 | 0.87 |
| L-Arginine | 175.11 | [M+H ⁺] | 1.43 | ↓ | 0.80 | 0.036 | 0.53 |
| Phosphocholine | 184.10 | [M+H ⁺] | 2.09 | ↑ | 1.48 | 0.046 | 0.67 |
| Acetylcarnitine | 204.28 | [M+H ⁺] | 1.71 | ↓ | 0.71 | 0.011 | 0.71 |
| Glycerophosphocholine | 296.07 | [M+K ⁺] | 1.14 | ↓ | 0.70 | 0.019 | 0.52 |
| LPC(18:2) | 520.34 | [M+H ⁺] | 1.10 | ↓ | 0.86 | 0.024 | 0.5 |
| LPS(18:0) | 526.37 | [M+H ⁺] | 1.63 | ↓ | 0.72 | 0.001 | 0.58 |
| LPC(22:5) | 570.36 | [M+H ⁺] | 1.60 | ↓ | 0.68 | 0.038 | 0.61 |
| PE(16:0/18:0) | 742.50 | [M+H ⁺] | 1.04 | ↓ | 0.90 | 0.023 | 0.59 |
| PE(18:1/18:0) | 746.50 | [M+H ⁺] | 1.36 | ↓ | 0.88 | 0.024 | 0.59 |
| PE(18:0/18:0) | 748.52 | [M+H ⁺] | 1.64 | ↓ | 0.85 | 0.006 | 0.56 |
| PPE(18:1/20:4) | 750.52 | [M+H ⁺] | 1.41 | ↓ | 0.86 | 0.028 | 0.55 |
| PPE(18:0/20:4) | 752.52 | [M+H ⁺] | 1.24 | ↓ | 0.86 | 0.047 | 0.52 |
| PC(16:0/16:0) | 756.56 | [M+Na ⁺] | 1.69 | ↓ | 0.60 | 0.047 | 0.56 |
| PC(18:1/18:1) | 808.55 | [M+Na ⁺] | 1.14 | ↓ | 0.80 | 0.041 | 0.51 |

255 *Metabolites with AUC > 0.75

256

257 Typical GC-MS chromatograms of BALF samples from LC and C patients are displayed in Fig. 3(a) and
258 (b), respectively. The PLS-DA score plots from GC-MS data are shown in Fig 4 exhibiting a statistic
259 performance of $R^2Y=0.992$ and the predictive parameter $Q^2= 0.763$. In addition, statistical significance
260 was analyzed using one-way ANOVA followed by Tukey test (Statistica 8.0, StafSoft). On the other
261 hand, AUC values of ROC curves analysis are also shown in Table 4. A total of 18 altered metabolites
262 (Table 4) were identified in LC using the NIST Mass Spectral Library (version 08).

263

264

265

266

Table 4. Altered metabolites in LC BALF samples detected with GC-MS

| Metabolite | Rt (min) | VIP | Sense of alteration | Fold change | <i>p</i> values | AUC |
|------------------|----------|------|---------------------|-------------|-----------------|------|
| Lactic acid | 2.87 | 1.96 | ↓ | 0.62 | 0.012 | 0.65 |
| Acetic acid | 3.38 | 1.93 | ↑ | 1.71 | 0.047 | 0.52 |
| Glycerol * | 4.28 | 2.18 | ↓ | 0.59 | 0.004 | 0.88 |
| L-Glycine | 4.63 | 1.49 | ↓ | 0.6 | 0.017 | 0.74 |
| L-Aspartate | 6.35 | 1.35 | ↓ | 0.48 | 0.047 | 0.74 |
| L-Proline | 6.45 | 1.01 | ↓ | 0.68 | 0.042 | 0.63 |
| L-Glutamine | 7.13 | 1.44 | ↓ | 0.50 | 0.033 | 0.66 |
| Benzoic acid | 7.267 | 1.01 | ↓ | 0.21 | 0.048 | 0.52 |
| Fructose | 7.57 | 1.66 | ↓ | 0.58 | 0.017 | 0.60 |
| Phosphoric acid* | 8.35 | 2.12 | ↓ | 0.42 | 0.047 | 0.79 |
| Isocitric acid | 8.57 | 1.67 | ↓ | 0.53 | 0.023 | 0.57 |
| Inositol | 8.87 | 1.17 | ↓ | 0.63 | 0.028 | 0.58 |
| Galactose | 9.08 | 2.1 | ↓ | 0.63 | 0.043 | 0.68 |
| Palmitic acid | 10.18 | 2.11 | ↑ | 1.37 | 0.008 | 0.69 |
| Stearic acid | 11.38 | 1.88 | ↑ | 1.36 | 0.022 | 0.64 |
| Inosine | 13.13 | 1.02 | ↓ | 0.36 | 0.038 | 0.63 |
| Oleic acid | 13.7 | 1.45 | ↓ | 0.78 | 0.023 | 0.54 |
| Cholesterol | 16.13 | 1.55 | ↓ | 0.47 | 0.019 | 0.72 |

267 *Metabolites with AUC > 0.75

268

269 Subsequently, altered metabolic pathways associated with the expression of metabolites in LC were
270 identified using the pathway analysis tool from “Metaboanalyst 3.0”. A total of 41 metabolic routes have
271 been altered in LC, which can be observed in Fig. 5, each dot represents a metabolic pathway and its size

272 indicates the impact of pathways in response to LC. Perturbations in the metabolism of
273 glycerophospholipid, D-glutamine and D-glutamate, and glycine, serine and threonine have been
274 observed, being the most altered pathways in LC according to the corresponding p and Impact values.
275 The Fig. 6 shows a summary chart of the interconnected metabolic pathways related to altered
276 metabolites in LC.

277

278 The values of AUC, obtained from the ROC curves, are shown in Table 3 and 4, revealing the altered
279 metabolites clinically significant, since ROC curves with an $AUC \leq 0.75$ are not considered. In this way,
280 only five ROCs curves with AUCs values higher than 0.75 (Fig. 7) have been selected, and the
281 corresponding metabolites related to them: carnitine, adenine, choline, glycerol and phosphoric acid can
282 be considered as potential biomarkers of LC.

283

284 **4. Discussion**

285 The application for the first time of complementary metabolomic techniques based on DI-ESI-QTOF-MS
286 and GC-MS to BALF samples, from lung cancer patients (24 cases) and pulmonary disease patients
287 without cancer (31 cases), allowed to find 24 altered metabolites using DI-ESI-QTOF-MS and 18 by GC-
288 MS analysis. The comparative study of metabolites identified with both metabolic platforms reveals the
289 absence of matched altered metabolites that demonstrate the complementary character of both approaches
290 and the more comprehensive metabolomic understanding provided in relation to lung cancer onset. On the
291 other hand, comparison of results obtained in the present study based on BALF and those from serum of
292 LC patients, this latter based on the results published by Hori et al [7], reveals an increase of altered
293 metabolites in serum from LC patients (57 metabolites) against those from BALF (19 metabolites).
294 Nevertheless, only four metabolites present alterations in both fluids, i.e., lactic acid, L-glycine, L-proline
295 and phosphoric acid, although the change tendency is not the same in the case of lactic acid, L-glycine
296 and L-proline whose levels decreases in BALF and increases in serum. However, phosphoric acid levels
297 decreases in both fluids from LC patients with respect to controls. This fact reflects the interest of
298 metabolomic studies of lung cancer patients based on BALF, with a more direct interaction with the
299 damaged tissue, regarding to those from conventional peripheral serum samples.

300

301 *Alterations in amino acids*

302 The levels of several amino-acids suffered changes in BALF samples from lung cancer patients: L-
303 glycine, L-valine, L-proline, L-serine, L-arginine, L-glutamate, L-aspartate, L-pyroglutamate and L-
304 glutamine, which show clear reduction of levels (Tables 3 and 4) in accordance with previous studies on
305 lung cancer cells [20,39–43]. This may be a consequence of both malnutrition suffering cancer patients as
306 consequence of tumor-bearing state and the increase of amino acid demand caused by tumor itself growth
307 [41]. Glutamine plays a significant role in cancer cell growth, protein translation, anaplerosis and
308 macromolecule synthesis [44], since it is involved in purine and pyrimidine synthesis with glycine and
309 aspartate. In addition glutamine/glutamate participate in the Glutamine-Glutamate metabolism, one of the
310 most altered metabolic pathways in our study (Fig. 5), and with arginine also participate in urea cycle and
311 consequently in the nitrogen metabolism. Pyroglutamate is a cyclic amino acid found at the N termini of
312 some proteins and biological peptides and its formation occurs through the rearrangement of the
313 originally synthesized glutamate or glutamine residues at this position [45]. On the other hand, cancer
314 growth requires serine for membrane lipid component synthesis [41] and participates with L-valine and
315 L-glycine in succinyl-Coa synthesis, a basic component of Krebs cycle substratum. In the same way may
316 be interpreted the decreasing of L-proline, a nonessential amino acid, formed from L-glutamate that
317 participates in α -ketoglutarate synthesis, another substratum of Krebs cycle. All these metabolic
318 perturbations reflect the well-known impact of cancer disease on energy cycle. Finally, glycine-serine-
319 threonine metabolism is also one of the most altered metabolic pathways (Fig. 5).

320

321 *Alterations in the levels of fatty acids and other organic acids*

322 It has been found that levels of saturated fatty acids, such as stearic and palmitic acids, were increased in
323 LC patients, whereas the unsaturated one oleic acid decreased, these changes can be associated to
324 proliferating tumor cells that use long chain fatty acids for membrane assembly, lipid modifications of
325 proteins and energy production [46].

326 Other organic acids as acetic, lactic, benzoic, phosphoric and isocitric acids were altered in LC. The
327 levels of these compounds except acetic and lactic acids were lower in these patients. Lactic acid is the
328 final end product of glycolysis, one of the metabolic routes affected in cancer because of the high
329 consumption of glucose by cancer cell growth. In addition, many other studies in BALF samples
330 suggested the increase of lactic acid because of lung inflammation [47] asthma [48,49] cystic fibrosis [33]
331 or lung injuries [31], as a consequence of the low oxygen environment in lung that triggers anaerobic

332 metabolism and could result in increased level of lactate production [31]. On the other hand isocitric acid
333 is related to the formation of α -ketoglutarate also involved in Krebs cycle, and phosphoric acid was one of
334 the five metabolites that showed a high AUC value (AUC=0.79) becoming a potential biomarker for lung
335 cancer.

336

337 *Alteration of energy-related molecules*

338 Adenine, which increased in LC patients, is other potential biomarker of this disease with an AUC value
339 of 0.82. This purine base found in both DNA and RNA is a component of adenine nucleotides. Adenine
340 forms adenosine, a nucleoside, when attached to ribose, and deoxyadenosine when attached to
341 deoxyribose; it forms adenosine triphosphate (ATP), a nucleotide, when bound to three phosphate. The
342 supply of ATP in human cells is provided by glycolysis and oxidative phosphorylation, this metabolite
343 has an important role in cancerous processes since ATP supply is necessary for cancer cell survival and
344 growth [50]. The degradation of these nucleotides by nucleotidases is the basis of the immune response or
345 alternatively it may be used as a rapid energy source[51].

346

347 Carnitine and acetyl-carnitine decreased in LC patients, showing the former a high AUC value (AUC=
348 0.87). Both biomolecules are involved in β -oxidation of free fatty acids, which are transported across the
349 inner mitochondrial membrane by carnitine and play an important role in several cellular processes such
350 as phospholipid synthesis, protein kinase C activation (PKC), and β -oxidation [52–55]. The β -oxidation
351 pathway is a dominant bioenergetics process in prostate cancer which increases fatty acid utilization to
352 provide increased ATP levels; however, little is known about its role in lung cancer [56].

353

354 Creatine becomes phosphocreatine through a phosphorylation reaction catalyzed by the enzyme creatine
355 kinase. In this process, an ATP molecule is also consumed. Phosphocreatine contributes to energy reserve
356 that is quickly usable by skeletal muscle and other tissues. As a consequence, creatine decreased in lung
357 cancer patients, in good agreement with Gazdar et al [57] who found that small-cell carcinomas of lung
358 tumor specimens and continuous cultures also were characterized by high levels of creatine kinase
359 suggesting a high activity of this enzyme and a high consumption of creatine to be converted to
360 phosphocreatine, which provides energy to support cancer cells.

361

362 Glycerol is a three-carbon substance that forms the backbone of fatty acids in fats and, consequently,
363 contributes to phospholipids biosynthesis. Glycerol can be converted to glucose by the liver and provides
364 energy for cellular metabolism. Glycerol decreased in LC patients due to its rapid conversion to glucose,
365 which increased in LC to sustain cancer growth [58]. On the other hand, fructose, galactose and turanose,
366 implicated in carbohydrates metabolism decreased in LC suggesting also alterations in energy
367 metabolism. Finally, cholesterol decreased in LC patients as has been reported by Chang AK et al., [59]
368 that found lower levels of cholesterol in plasma of lung cancer patients than that in other type of cancer.

369

370 *Alterations in the levels of lipids*

371 Glycerophosphocholine, choline and phosphocholine are the last biomolecules involved in
372 glycerophospholipid metabolism, one of the routes clearly altered in the pathway analysis (Figure 6,
373 Table 4) as consequence of LC. Whereas glycerophosphocholine and choline decreased, phosphocholine
374 increased in LC BALF samples suggesting the increased levels of apoptosis and necrosis. This result
375 agrees with that from Yingrong et al.[3], who found increased levels of phosphocholine in the
376 preoperative lung cancer patients comparing with healthy volunteers.

377

378 LPLs (LPCs, LPS) and PLs (PCs, PEs, PPEs) are altered in LC suggesting disturbances in
379 glycerophospholipids metabolism in addition to be one of the three most altered pathways in LC
380 according METPA analysis. Abnormalities in this metabolism, especially alteration in PC metabolism,
381 are often found in cancer cells [60]. PL are major components of cell membranes, which are involved in
382 the progression and metastasis of cancer when tumor cells undergo major morphological and molecular
383 changes [61].

384

385 **5. Conclusions**

386 The application for the first time of a metabolomic platform based on mass spectrometry (DI-ESI-QTOF-
387 MS and GC-MS) to bronchoalveolar lavage fluid from lung cancer patients has provided new information
388 about the pathogeny of this disease. The study allows characterizing 42 altered metabolites involved in D-
389 Glutamine/D-glutamate, glycine, serine/threonine and glycerophospholipid metabolisms, which are the
390 most affected metabolic pathways in lung cancer. In addition, ROC curves analysis identified choline,
391 adenine, carnitine, phosphoric acid and glycerol as potential biomarkers for lung cancer diagnosis with an

392 AUC value higher than 0.75. As a consequence, the use of BALF in lung cancer metabolomics is a good
393 alternative that complement other more widely used peripheral fluids as serum, since the more direct
394 interaction of BALF with tumor area will allow more reliable information on the pathogenic process.

395

396 Finally, the application of ROC curves used in this work is a very suitable method for potential
397 biomarkers performance assessment. This is important because the final goal of biomarkers discovery is
398 their translation to clinical practice [62]. In the present study, the five metabolites previously mentioned:
399 choline, adenine, carnitine, phosphoric acid and glycerol could be recommended as multi-metabolite
400 biomarker model to be translated to clinic trials based on target analysis supported by conventional
401 analytical methodologies.

402

403

404

405 **ACKNOWLEDGEMENTS**

406

407 This work has been supported by the projects CTM2012-38720-C03-01 and CTM2015-67902-C2-1-P
408 from the Spanish Ministry of Economy and Competitiveness and P12-FQM-0442 from the Regional
409 Ministry of Economy, Innovation, Science and Employment (Andalusian Government, Spain). Neumosur
410 scholarship 8/2012 and Separ scholarship 124/2012. Belén Callejón Leblic thanks the Ministerio de
411 Educación for a predoctoral scholarship FPU13/03615. Finally, authors are grateful to FEDER (European
412 Community) for financial support.

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624

625 **Figure captions**

626

627 **Figure 1.** (a) DI-ESI-MS spectrum of LC patients in positive ionization mode.

628 **Figure 1.** (b) DI-ESI-MS spectrum of C patients in positive ionization mode.

629 **Figure 2.** PLS-DA of DI-ESI-QTOF-MS data. Red points correspond to LC patients, black points to C
630 patients and grey points to the QCs.

631 **Figure 3.** (a) Typical GC-MS chromatogram of LC patients; (b) Typical GC-MS chromatogram of C
632 patients.

633 **Figure 4.** PLS-DA of GC-MS data. Red points correspond to LC patients, black points to C patients and
634 grey points to the QCs.

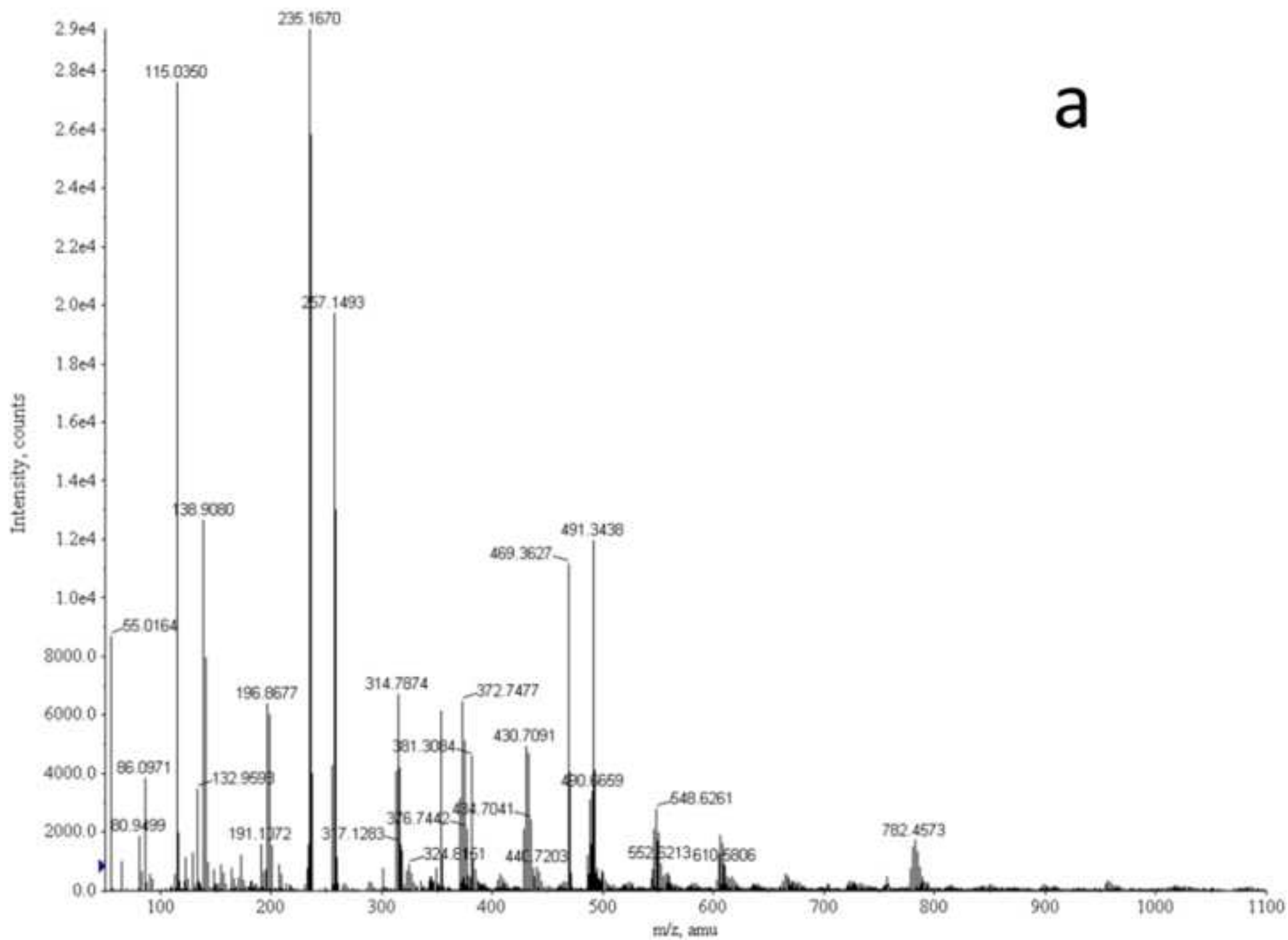
635 **Figure 5.** Overview of the most important metabolomic changes observed in BALF from LC patients. a:
636 D-Glutamine and D-glutamate metabolism, b: Glycine, serine and threonine metabolism, c:

637 Glycerophospholipid metabolism, d: Pyruvate metabolism, e: Alanine, aspartate and glutamate, f:
638 Galactose metabolism, g: Arginine and proline metabolism. P value is the p calculated from the
639 enrichment analysis and Impact is the pathway impact value calculated from pathway topology analysis.

640 **Figure 6.** Scheme of the most altered metabolic pathways in LC determined in the pathway analysis.

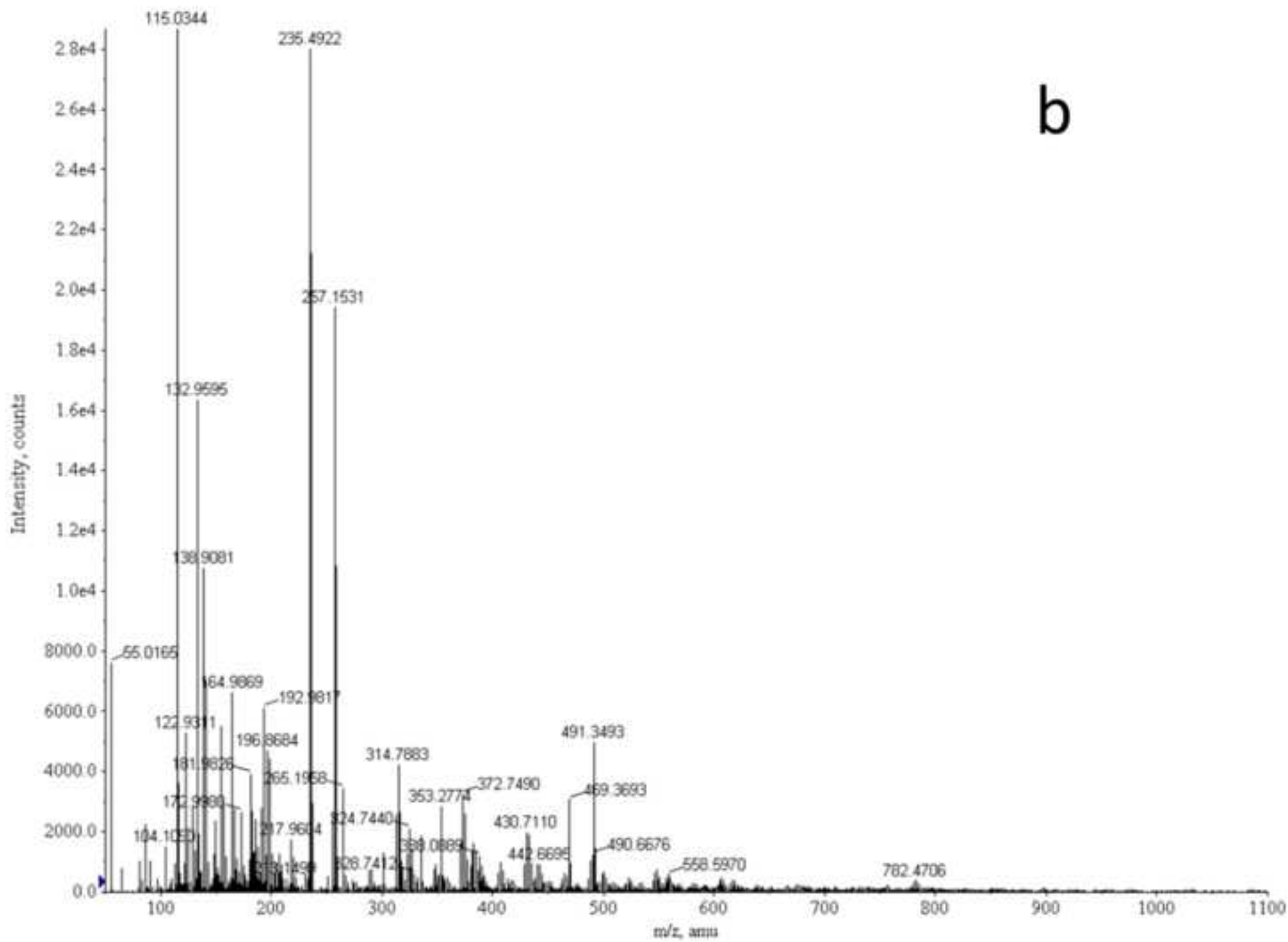
641 **Figure 7.** ROC curves with AUC values > 0.75 related to potential biomarkers of lung cancer. The red
642 point corresponds to the cutoff point of the curve where the metabolite is more sensitive and specific.

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a

Figure 1b
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b

Figure 2
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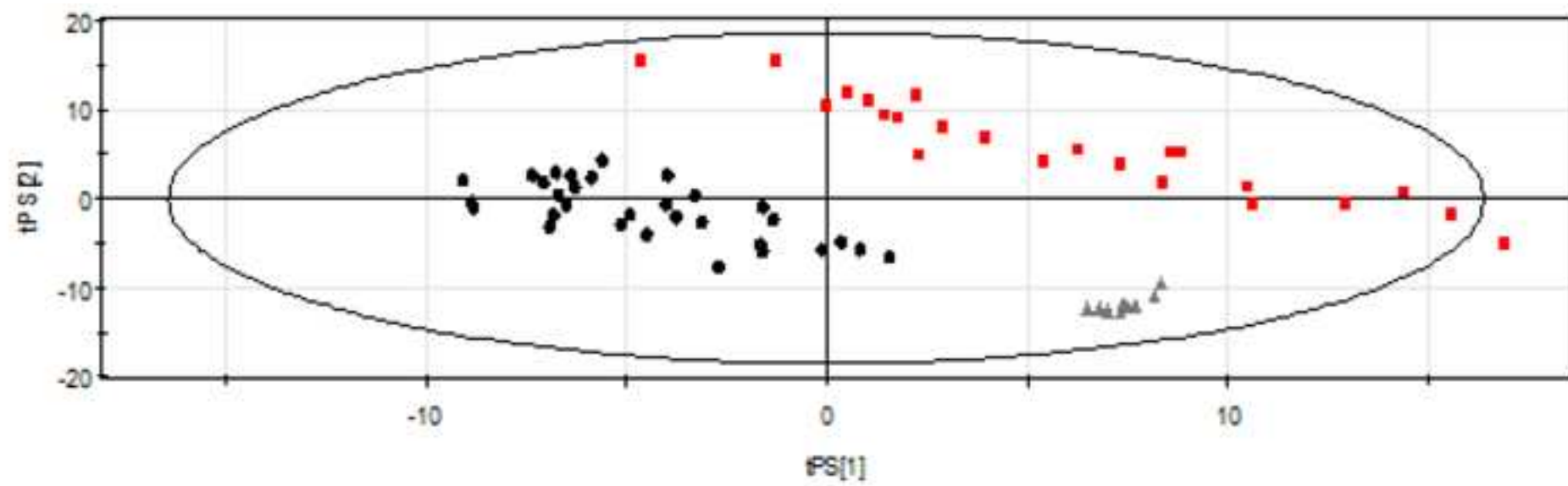


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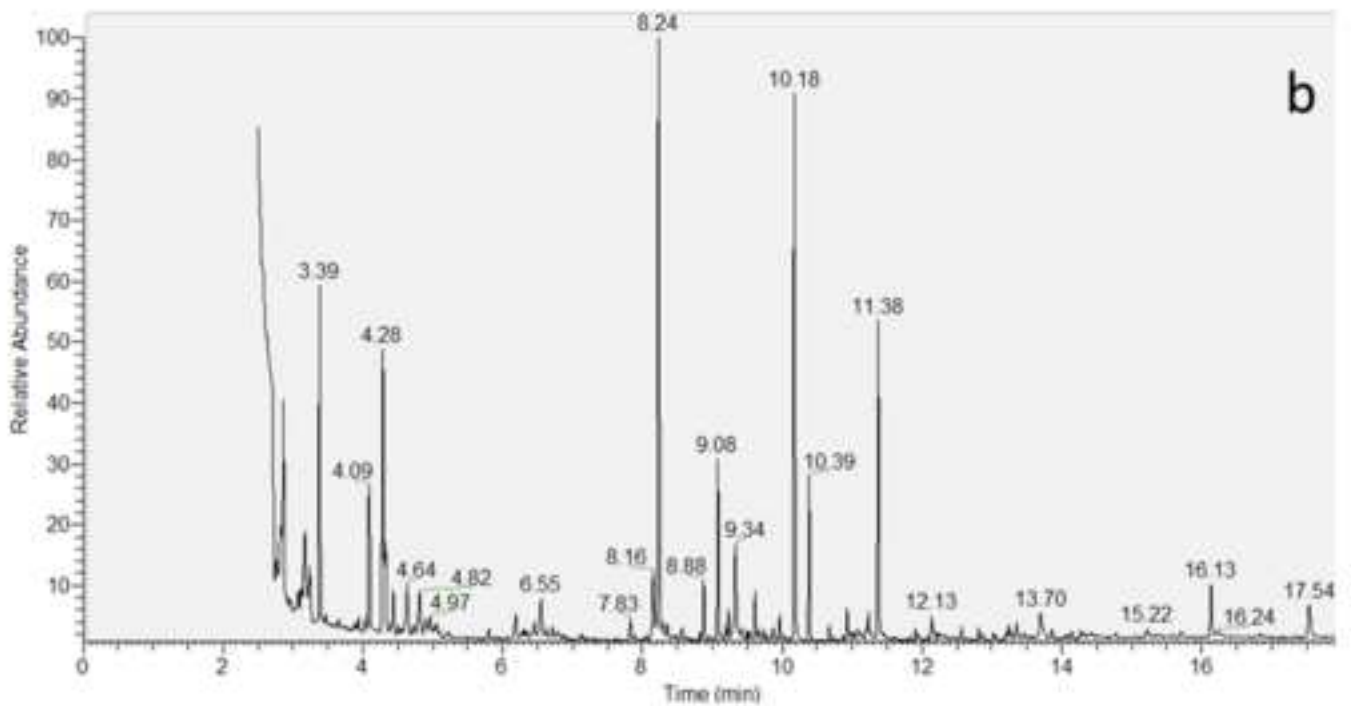
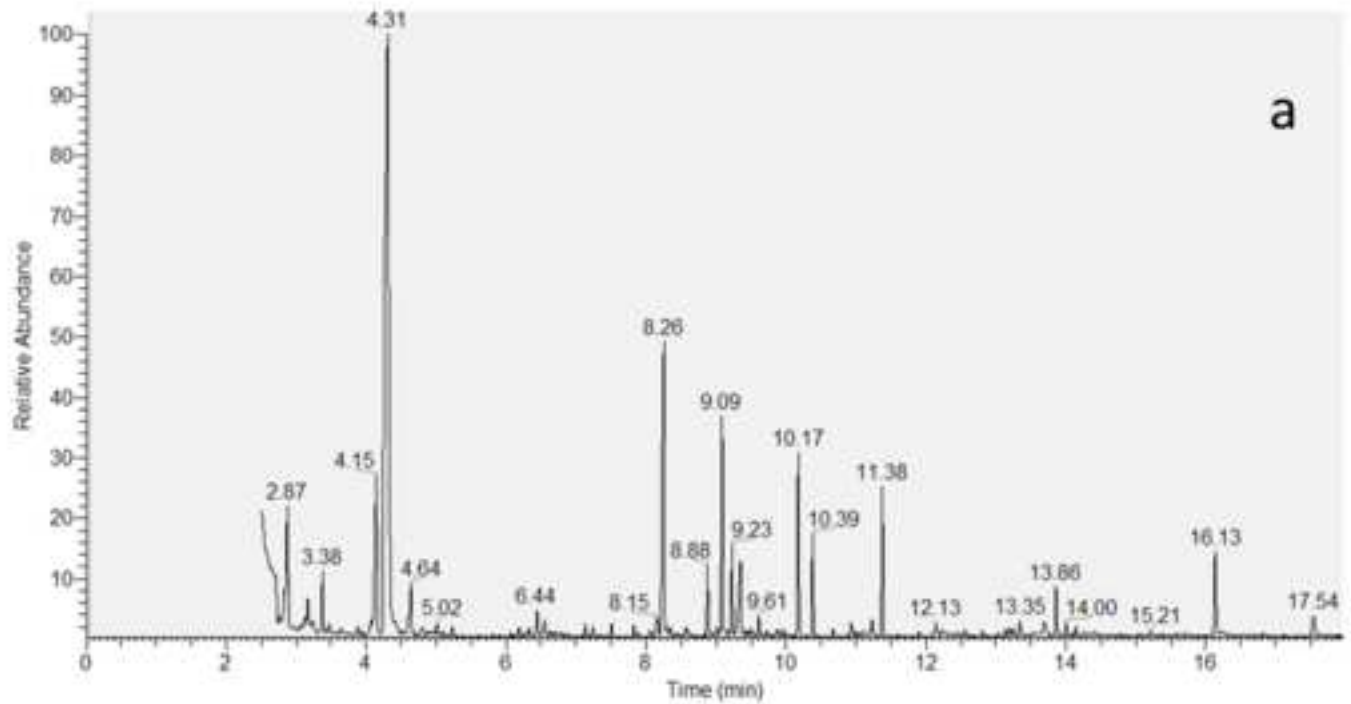


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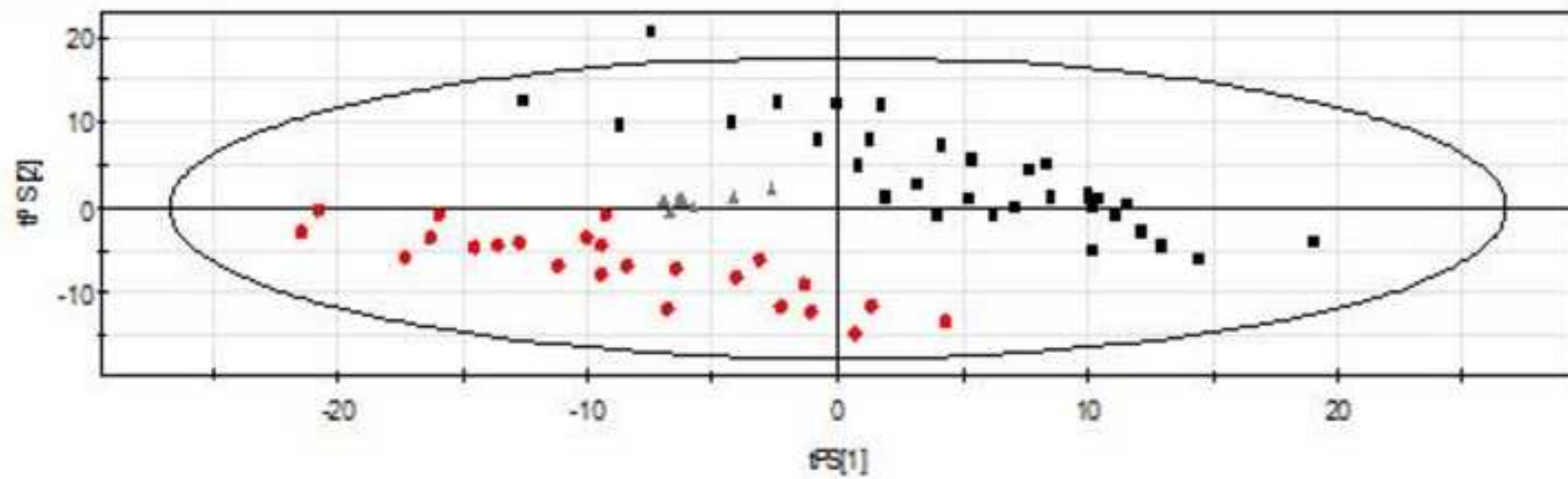


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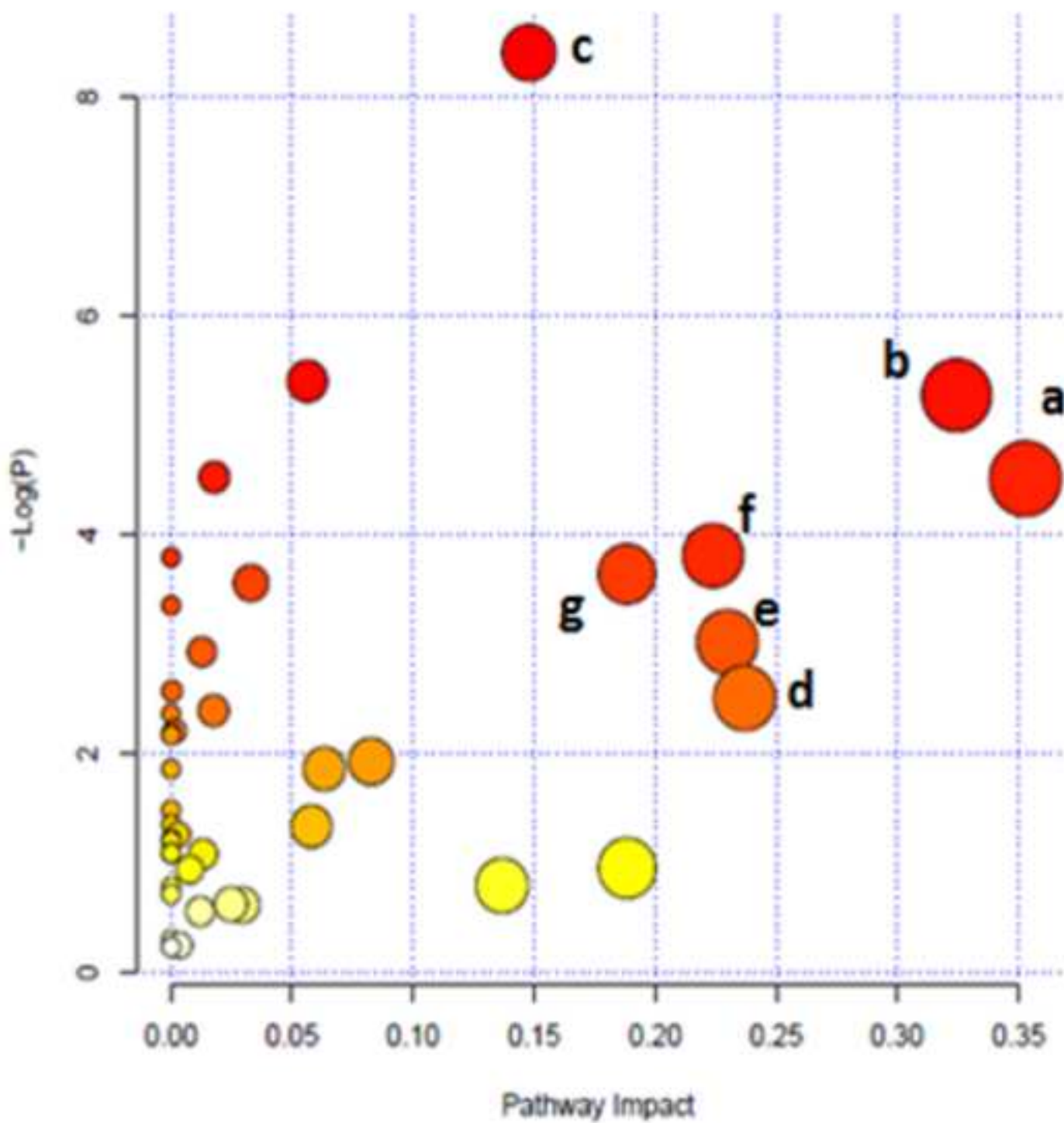


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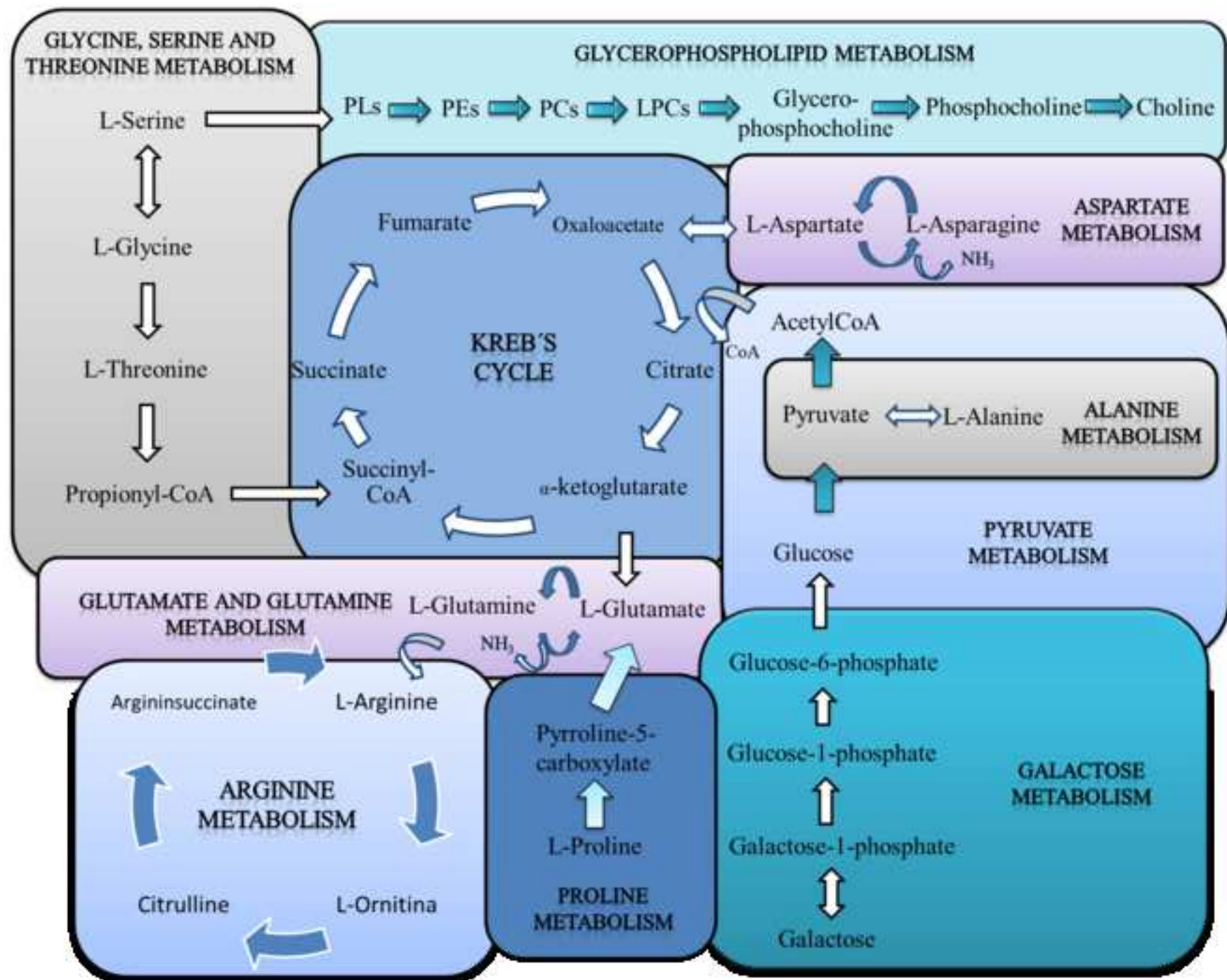
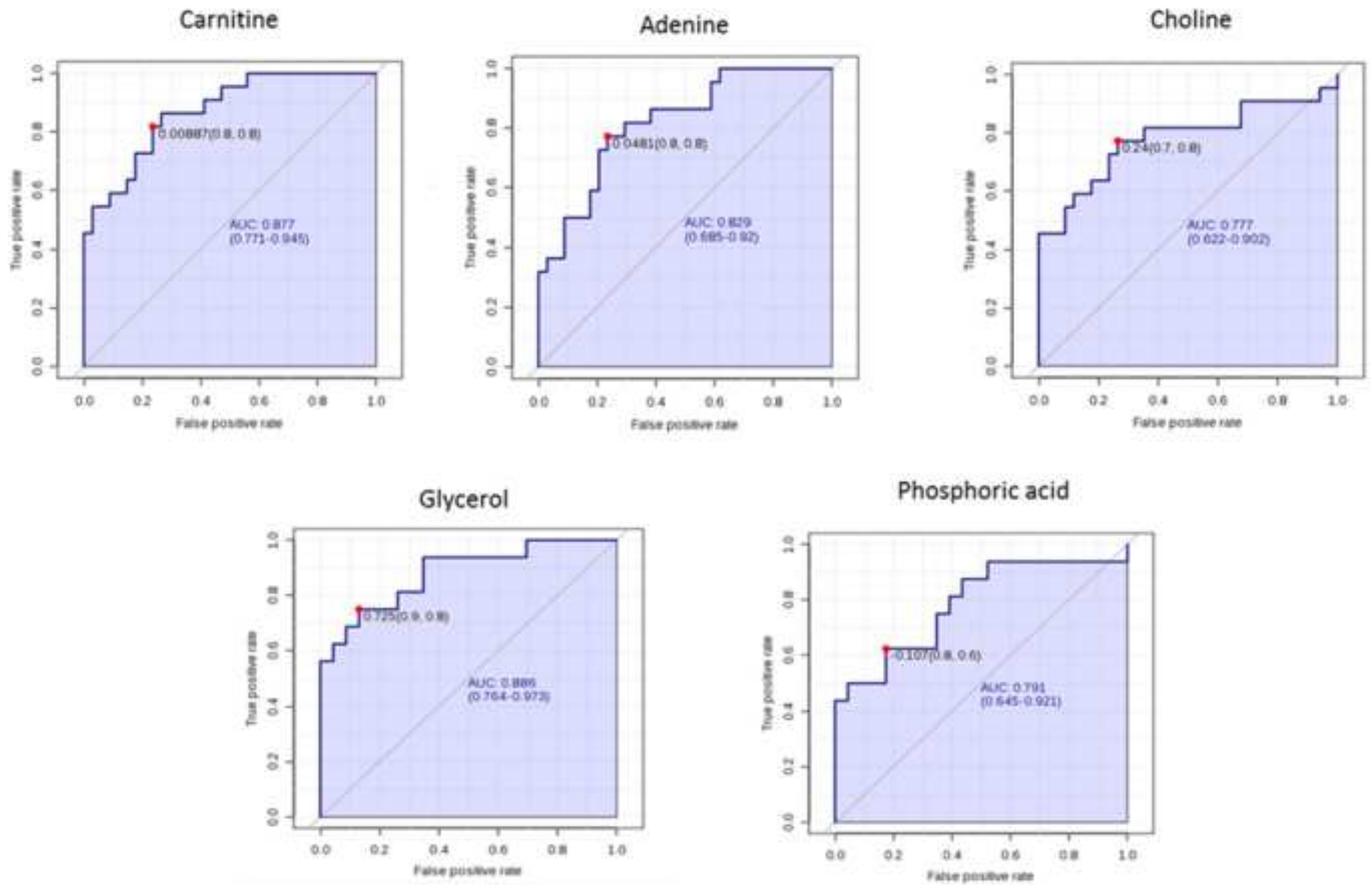


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