



Biofilm growth of *Chlorella sorokiniana* in a rotating biological contactor based photobioreactor

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Complete List of Authors:	Blanken, Ward; Wageningen University, Bioprocess Engineering, AlgaePARC Janssen, Marcel; Wageningen University, Bioprocess Engineering, AlgaePARC Cuaresma, Maria; Wageningen University, Bioprocess Engineering; University of Huelva, Chemistry and Material Sciences Bhaiji, Tasneem; Cranfield University, Manufacturing and Materials Department Libor, Zsuzsanna; Cranfield University, Manufacturing and Materials Department Wijffels, Rene; Wageningen University, Bioprocess Engineering, AlgaePARC
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3 **1 Biofilm growth of *Chlorella sorokiniana* in a rotating biological contactor based**
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5 **2 photobioreactor**

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8 W. Blanken^{a,1}, M. Janssen^{a,2}, M. Cuaresma^{a,b}, Z. Libor^c, T. Bhajji^c, R.H. Wijffels^a,
9

10 ^aBioprocess Engineering, AlgaePARC, Wageningen University, PO Box 8129, 6700 EV,
11
12 Wageningen, The Netherlands, www.AlgaePARC.com
13

14 ^b Algal Biotechnology Group, International Centre for Environmental Research
15
16 (Ciecem), University of Huelva, ParqueDunar s/n, Matalascañas, Almonte, 21760 Huelva,
17
18 Spain
19

20 ^c Manufacturing and Materials Department, School of Applied Sciences, Cranfield University,
21
22 Collage Road, Cranfield, MK43 0AL, United Kingdom, www.cranfield.ac.uk
23
24

25
26 ¹ Corresponding author. Tel.: + 31 317 483 595. Email: ward.blanken@wur.nl;
27

28
29 ² Corresponding author. Tel.: + 31 317 484 708. Email: marcel.janssen@wur.nl;
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1
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3 14 **Abstract**
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5 15 Microalgae biofilms could be used as a production platform for microalgae biomass. In this
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7 16 study, a photobioreactor design based on a rotating biological contactor (RBC) was used as a
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9 17 production platform for microalgae biomass cultivated in biofilm. In the photobioreactor,
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11 18 referred to as Algadisk, microalgae grow in biofilm on vertical rotating disks partially
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13 19 submerged in a growth medium. The objective is to evaluate the potential of the Algadisk
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15 20 photobioreactor with respect to the effects of disk roughness, disk rotation speed and CO₂
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17 21 concentration. These objectives were evaluated in relationship to productivity, photosynthetic
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19 22 efficiency, and long-term cultivation stability in a lab-scale Algadisk system. Although the lab-
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21 23 scale Algadisk system is used, operation parameters evaluated are relevant for scale-up.
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24 24 *Chlorella Sorokiniana* was used as model microalgae. In the lab-scale Algadisk reactor,
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26 25 productivity of 20.1 ±0.7 gram per m² disk surface per day and a biomass yield on light of 0.9
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28 26 ±0.04 grams dry weight biomass per mol photons were obtained. Different disk rotation speeds
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30 27 did demonstrate minimal effects on biofilm growth and on the diffusion of substrate into the
31
32 28 biofilm. CO₂ limitation, however, drastically reduced productivity to 2-4 gram per m² disk
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34 29 surface per day. Productivity could be maintained over a period of 21 weeks without re-
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36 30 inoculation of the Algadisk. Productivity decreased under extreme conditions such as pH 9-10,
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38 31 temperature above 40°C, and with low CO₂ concentrations. Maximal productivity, however,
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40 32 was promptly recovered when optimal cultivation conditions were reinstated. These results
41
42 33 exhibit an apparent opportunity to employ the Algadisk photobioreactor at large scale for
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44 34 microalgae biomass production if diffusion does not limit the CO₂ supply.
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1 Introduction

Biofilm-photobioreactors can turn the problem of biofilm formation on the walls of suspended photobioreactors (Jacobsen et al. 2010) into an opportunity. Biofilm-photobioreactors pose several advantages over suspended cultivation of microalgae including the harvest of high dry solid content, a decreased energy requirement (Ozkan et al. 2012), and the possibility of operating at short hydraulic retention times without wash out of the microalgae (Patwardhan 2003). Disadvantages are the formation of gradients over the biofilm for pH, nutrients, and light (Wolf et al. 2007).

Biofilm-photobioreactors are increasingly attracting attention as a cultivation platform because of the advantages discussed above. Because of the possibility to operate at short hydraulic retention times biofilm-photobioreactors are widely studied as part of wastewater treatment. Wastewater treatment plants often operate to clean diluted waste streams at short hydraulic retention times (Patwardhan 2003). Biofilm photobioreactor designs that have been proposed for waste water treatment include rotating spools (Christenson and Sims 2012), rotating brushes (Wei et al. 2008), vertical sheets (Boelee et al. 2012), tubular flow cells (de Godos et al. 2009) and horizontal flow cells (Wilkie and Mulbry 2002). The main disadvantage most of the above systems share are limited control of microalgae species and low productivities. In recent years, a range of biofilm-photobioreactors were developed that intend to employ the biofilm growth as a controllable production platform of dedicated microalgal species. Examples include the twin layer system (Naumann et al. 2012; Nowack et al. 2005; Shi et al. 2007), a similar design referred to as an attached photobioreactor (Ji et al. 2013a; Ji et al. 2013b), the rotating spool system (Christenson and Sims 2012), and the rotating algal biofilm cultivation system (Gross et al. 2013).

In this study a biofilm photobioreactor based on the rotating biological contactor (RBC) design, the Algardisk system, was tested. RBC were exploited for aerobic wastewater treatment

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61 (Patwardhan 2003), however a recent study evaluated the performance of a phototropic RBC to
62 remove heavy metal from waste streams (Orandi et al. 2012). The four major advantages of the
63 RBC design are (1) the lower ratio between footprint and cultivation surface compared to
64 horizontal systems (Wijffels and Barbosa 2010); (2) the opportunity to regulate the average
65 light intensity per disk by varying the disk size and distances between disks (Orandi et al.
66 2012); (3) that rotation ensures a simple but repetitive contact with the growth medium; and (4)
67 efficient gas-biofilm mass transfer as a result of a large biofilm area exposed to the gas phase
68 and short diffusion paths from gas to the biofilm. The enhanced gas-biofilm mass transfer saves
69 energy since the energy intensive sparging of the culture broth might not be needed
70 (Patwardhan 2003). However, two disadvantages of the RBC design include: (1) the influence
71 of rotation speeds on biofilm performance, high rotation speeds will increase mass transfer and
72 shear while slow rotation speeds will decrease mass transfer and might result in drying (Gross et
73 al. 2013); (2) spatial separation of light and CO₂ from the dissolved nutrients, which could result
74 in nutrient limitations. To test the Algadisk design, a lab-scale version was constructed.
75 The objective is to evaluate the potential of the Algadisk photobioreactor with respect to the
76 effects of disk roughness, disk rotation speed and CO₂ concentration. These objectives were
77 evaluated in relationship to productivity, photosynthetic efficiency, and long-term cultivation
78 stability in a lab-scale Algadisk system. Although the lab-scale Algadisk system is used,
79 operation parameters evaluated are relevant for scale-up.

80 2 Materials and methods

81 2.1 Pre cultivation

82 The microalgae *Chlorella sorokiniana* (Sorokin and Myers 1953) was pre-cultivated in shake
83 flasks with M8-a medium (Kliphuis et al. 2010). The algae suspension was used to inoculate the
84 disks, as will be explained later. The M8-a media was supplemented with 30 mM Urea as
85 nitrogen source, and pH was set to 6.7. In the reactor medium, an additional 8 mM NaHCO₃

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3 86 was included after setting the pH to increase the dissolved CO₂ concentration. Anti-foam B
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5 87 (J.T.Baker, The Netherlands) was directly added to the culture broth in the event of foam
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7 88 formation. The M8-a medium contains all dissolved species in excess, therefore microalgae
8
9 89 grow under nutrient-replete and light-limited conditions in our experiments.

90 *2.2 Experimental set-up*

91 The experimental setup consisted of a water tight container, four disks and eight lamps, (Figure
92 1). The container measured 1220*70*130 mm (L*W*H) and contained 11 L of the M8-a
93 medium. The disks were located inside the water tight container with 42% of the disk surface
94 submerged. The liquid volume in the container was kept constant via an overflow connected to
95 a 10 L buffer tank (polycarbonate). The total volume of the system was 21 L. The temperature
96 was measured and kept at 38 ±1 °C via a heat exchanger inside the buffer tank. The medium
97 was circulated between the buffer tank and the container at a rate of 6 L min⁻¹ (MD-6Z, Iwaki,
98 Japan). The pH in the buffer tank was maintained between 6.7 and 6.8 by pulse-wise addition of
99 CO₂ gas or HCl (see section 2.4). Liquid lost by evaporation was detected with a level sensor in
100 the buffer tank and was automatically replaced by filtered tap water.

101 Both sides of the disks were illuminated by a warm-white directional LED light source (warm
102 white 45mil chip, Bridgelux, USA). Since the open water container was not transparent, only
103 the upper portion of the disks was illuminated. This strategy allowed for a selective pressure to
104 stimulate biofilm growth while it minimized microalgae growth in the suspension. Inoculation
105 was performed by adding a microalgae suspension pre-cultivated in a shake flask to the
106 container with the culture media. The selective pressure for biofilm growth was used to initiate
107 biofilm development. After the initial biofilm developed, the biofilm was harvested by scraping.
108 After harvesting, the biofilm could re-grow from the biomass that remained on the disk surface.
109 After the initial harvest (discussed in section 2.7), the biofilm was harvested every seventh day;
110 this cycle is referred to as a 7 day growth-harvest cycle. An example of a typical 7 day growth-

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3 111 harvest cycle is illustrated in Figure 2. After every growth-harvest cycle the reactor was cleaned
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5 112 and filled with fresh medium.

6 7 113 **2.3 Disk rotation speeds**

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10 114 The rotation speed of the disks could be modified and is depicted in revolutions per minute
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12 115 (rpm). The actual rotation speed is provided for each experiment. However, only the rotation
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14 116 speeds 3, 6, 11, and 20 rpm were evaluated in this study. These speeds correlate to velocities
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16 117 over the disk radius ranging from 0.01 to 0.25 m s⁻¹ (section 3.3). All disks were spun in the
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18 118 same direction as the liquid flow (0.01 m s⁻¹), assuming constant flow over the entire cross
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20 119 section. All rotation speeds were tested at all disk positions to exclude possible effects of
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22 120 positioning within the container.

23 24 25 121 **2.4 CO₂ supply**

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28 122 Three CO₂ supply conditions were evaluated. The first condition is at nutrient-replete
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30 123 conditions. In all experiments at nutrient-replete conditions 8 mM of NaHCO₃ was added to
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32 124 increase the dissolved CO₂ concentration. During the cultivation the CO₂ concentration was
33
34 125 controlled based on pH. When microalgae consumed CO₂ the pH increased. This pH increase is
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36 126 countered by CO₂ addition resulting in a constant total carbon concentration of 15 mol m⁻³. The
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38 127 total carbon concentration is the sum of dissolved CO₂ and HCO₃⁻. The second condition was
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40 128 obtained by using a continuous airflow via sparging the water, containing 0.5%_{v/v} CO₂
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42 129 corresponding to a total carbon concentration of 0.7 mol m⁻³ if in equilibrium with water. The
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44 130 pH was controlled by the addition of hydrochloric acid (1.5 M HCl in water). The third
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46 131 conditions was obtained by not sparging the liquid and, therefore, only atmospheric CO₂ from
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48 132 the surrounding air was available. The 0.04%_{v/v} CO₂ present in the atmosphere corresponds to a
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50 133 total carbon concentration of 0.06 mol m⁻³ at equilibrium with water. The pH was controlled by
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52 134 HCL addition. For both CO₂ limiting conditions no additional NaHCO₃ was added.

53 54 55 135 **2.5 Disk materials:**

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3 136 Three different disk materials were used during this study: two stainless steel woven meshes
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5 137 and one sanded polycarbonate disk. The first mesh is a Twilled Dutch Weave type 80/700
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7 138 (GKD SolidWeave, Gemany) with a tread thickness of 0.10/0.076 mm and a particle pass size
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9 139 of 47 μm , referred to as rough mesh. The second mesh is a Twilled Dutch Weave type 200/1400
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11 140 (GKD SolidWeave, Germany) with tread thickness of 0.071/0.041 mm and a particle pass size
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13 141 of 15 μm , referred to as smooth mesh. The metal meshes were clamped onto a solid stainless
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15 142 steel disk with a 268 mm diameter with a stainless steel ring. The stainless steel ring (i.e., the
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17 143 clamp) was 14 mm wide and 2 mm thick resulting in a biofilm growth area with a 240 mm
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19 144 diameter. In the centre of the disk, a plastic cylinder with a diameter of 50 mm attached the axel
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21 145 to the disk.
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25 146 The polycarbonate (PC) disk was coated with a polyelectrolyte multilayer coating.
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27 147 Polyvinylpyrrolidone (PVP, 55 000 Mw) and Polyacrylic acid (PAA , Mw 1800) (Sigma
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29 148 Aldrich, USA) were used for this coating. PVP is a neutral polymer that becomes positively
30
31 149 charged when dissolved in phosphate buffer (PBS), and PAA is polyanionic and is negatively
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33 150 charged when dissolved. Polyelectrolytes solutions for dip coating were prepared at a
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35 151 concentration of 1 mg/ml of the polymer dissolved in PBS buffer. The polycarbonate disk was
36
37 152 first cleaned with 70% ethanol and deionised water. The PC disk was subsequently submerged
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39 153 into the polyelectrolyte solution (PVP) to ensure that its surface was entirely covered for 15
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41 154 minutes. The disk was then rinsed twice with deionised water and dried with nitrogen gas at
42
43 155 room temperature and submerged into the oppositely charged polyelectrolyte (PAA) for 15
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45 156 minutes followed by the same washing and drying procedure described above. This was
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47 157 repeated until the desired number of layers (PVP/PAA/PVP/PAA/PVP) was achieved. The PC
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49 158 disk was then placed under UV light for approximately 4 hours. The PC disk has the same
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51 159 growth area as the metal meshes.
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3 160 The pore depth of the two metal meshes and the polycarbonate disk were compared. For the two
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5 161 steel meshes, the pore depth could be estimated based on CSLM analysis. From the CSLM
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7 162 analysis, it was determined that the rough mesh exhibited a maximal pore depth of 140 μm , and
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9 163 the fine mesh featured a maximal pore depth of 80 μm . To determine the structure on the hand
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11 164 sanded polycarbonate disk, a Dektak stylus profiler (Veeco, USA) was utilized. From the
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13 165 Dektak analysis over 2 mm, it was ascertained that the grooves were, on average, 1 μm deep with
14
15 166 a maximal depth of 10 μm .

167 **2.6 Light measurement**

168 The average light intensity over the illuminated disk surface was individually measured for
169 every side of the disks. The light intensity was measured with a LI-COR 190-SA 2π quantum
170 sensor (PAR range 400-700 nm) employing a template with 11 evenly spaced measure positions
171 diffused over the disk surface as displayed in Appendix A. The average light intensity over all
172 disk surfaces was $422 \mu\text{mol (m}^2 \text{ s)}^{-1}$.

173 **2.7 Harvest**

174 Harvesting was performed by scraping as much biomass as possible from the disk surface with
175 a metal scraper. The total weight of the collected wet biomass was measured, i.e. the wet
176 biofilm weight. Afterwards, the biomass was dried overnight in an oven at 105 $^{\circ}\text{C}$ and weighed
177 again, i.e. the dry biomass weight. By dividing the dry biomass weight with the wet biofilm
178 weight, the mass fraction of biomass to water in the wet biofilm ($f_{x/w}$) was obtained (unit g/g).

179 **2.8 Calculations**

180 The surface productivity (P_x) in units $\text{g (m}^2 \text{ d)}^{-1}$ was calculated according to equation 1 with the
181 total harvested dry weight (M_d) in g, the growth-harvest cycle time (t) in days, and disk surface
182 (A_d) in m^2 .

183 Equation 1,
$$P_x = \frac{M_d}{t \cdot A_d}$$

184 The biomass yield on light ($Y_{X/ph}$) in g mol^{-1} was calculated according to equation 2 with
185 incident light intensity (I_{in}) in $\text{mol (m}^2 \text{ d)}^{-1}$. The incident light is specific for every disk side and
186 is compensated for the illuminated fraction above the water phase. The illuminated fraction of
187 the disk is 58% [see Appendix A].

188 Equation 2,
$$Y_{X/e} = \frac{P_x}{I_{in}}$$

189 The biofilm thickness (z) in m was calculated based on an estimated biofilm density (ρ_b) in kg
190 m^{-3} (equations 3 and 4). The ρ_b is based on the assumption that water has a density (ρ_w) of 1000
191 kg m^{-3} and that the biomass has a density (ρ_x) of 1029 kg m^{-3} (Salim et al. 2013). The
192 calculation of the mass fraction of biomass to water ($f_{x/w}$) was explained in section 2.7.

193 Equation 3,
$$\rho_b = (f_{x/w} \cdot \rho_x) + ((1 - f_{x/w}) \cdot \rho_w)$$

194 Equation 4,
$$z = \frac{M_d}{\rho_b \cdot A_d}$$

195 Standard deviations were calculated according to equation 5, with the individual measurements
196 (x) (one 7 day growth-harvest cycle for one side of a disk), the mean of all measurements (\bar{x}),
197 and the number of measurements (n). The standard deviation of the areal productivity was
198 calculated based on the variance in the measured areal productivities. All other Standard
199 deviations were based on the rules of error propagation.

200 Equation 5,
$$\sqrt{\frac{\sum(x - \bar{x})^2}{(n-1)}}$$

201 All p-values were calculated using an unpaired t-test.

202 **3 Results**

203 **3.1 Evaluation of different disk materials**

204 This experiment was performed to assess the influence of disk material on the biofilm growth
205 rate. To compare the **two metal meshes and the polycarbonate** disk, **we performed an**
206 experiment at $422 \mu\text{mol (m}^2 \text{ s)}^{-1}$, constant rotation speed of 11 rpm, and nutrient- and CO_2

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3 207 replete conditions. A biofilm formed on the disks within 10 days following the inoculation of
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5 208 the medium with a microalgae suspension (optical density of 0.03 at 750 nm after inoculation).
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7 209 The productivity in the experiment's start-up phase was much less compared to the productivity
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9 210 of the subsequent growth-harvest cycles. Therefore, the start-up phase was not included in the
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11 211 presented data. Following the first harvest, four growth-harvest cycles were performed (n=8 as
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13 212 both sides are measured individually). The rough mesh productivity of $20.7 \pm 1.3 \text{ g (m}^2 \text{ d)}^{-1}$ was
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15 213 greater compared to both the productivity of $18.0 \pm 1.6 \text{ g (m}^2 \text{ d)}^{-1}$ of the fine mesh ($p=0.002$) and
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17 214 the $14.8 \pm 4.9 \text{ g (m}^2 \text{ d)}^{-1}$ of the polycarbonate ($p=0.012$) in an unpaired t-test (Figure 3). Between
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19 215 the polycarbonate and the fine mesh no difference was observed ($p=0.12$). Therefore, the rough
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21 216 mesh was selected for the remaining experiments in this study.

217 **3.2 Reproducibility of the Algadisk reactor**

218 The reproducibility of the lab-scale Algadisk reactor was evaluated by comparing the
219 productivity of the disks at four different positions within the container (Figure 1). Productivity
220 was monitored during four growth-harvest cycles for the same material (rough steel mesh) at a
221 constant rotation speed of 11 rpm and $422 \mu\text{mol (m}^2 \text{ s)}^{-1}$ light. Nutrients and CO_2 were supplied
222 in excess. From Table I, it can be ascertained that disk 1 had significantly less productivity, less
223 biomass thickness, and a higher mass fraction biomass to water when compared to disks 2, 3
224 and 4. That decrease was less pronounced for the biomass yield on light. Disks 2, 3 and 4
225 showed similar results. Neglecting the results of Disk 1, the average biomass productivity over
226 the disks was $20.1 \pm 0.7 \text{ g (m}^2 \text{ d)}^{-1}$, and the average biomass yield in light was $0.88 \pm 0.04 \text{ g mol}^{-1}$.
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228 **3.3 Influence of disk rotation on productivity**

229 The effect of various disk rotation speeds on productivity was evaluated by comparing rotation
230 speeds of 3, 6, 11, and 20 rpm. This experiment was performed with disks of rough steel mesh
231 at $422 \mu\text{mol (m}^2 \text{ s)}^{-1}$ and under nutrient- and CO_2 - replete conditions. The difference in

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3 232 productivity between the different rotation speeds was minimal (Table II). The disk operated at
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5 233 11 rpm, however, achieved significantly greater productivity **than it did at** 3 rpm (p 0.006) and
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7 234 **at** 20 rpm (p 0.002). The mass fraction of biomass to water and the biofilm thickness data did
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9 235 not exhibit a particular **trend**.

236 **3.4 Substrate limitation**

237 The influence of CO₂ limitation was evaluated for rotation speeds: 3, 11 and 20 rpm. This
238 experiment was performed with disks of rough steel mesh at 422 $\mu\text{mol (m}^2 \text{s)}^{-1}$. The CO₂ replete
239 conditions (discussed in section 3.3) were compared to two CO₂ limiting conditions, resulting in
240 3 experimental conditions **per rotation speed: (1) 15 mol m⁻³ CO₂ ($n \geq 8$ see section 3.3); (2) 0.7**
241 **mol m⁻³ CO₂ ($n=8$); and (3) 0.06 mol m⁻³ CO₂ ($n=4$).**

242 Comparing CO₂ replete conditions to CO₂ limiting conditions, **we observed a significant**
243 **decrease in productivity from 20 g (m² d)⁻¹ to below 4 g (m² d)⁻¹ (Figure 4A). The difference**
244 **between 0.7 mol m⁻³ CO₂ and 0.06 mol m⁻³ CO₂ was more moderate, but still significant. For**
245 **0.06 mol m⁻³ CO₂, the different tested rotation speeds did not result in changed productivity**
246 **(Figure 4A). In contrast, for 0.7 mol m⁻³ CO₂ the productivity of 3 rpm significantly differs**
247 **from both 11 rpm ($p=0.018$) and 20 rpm ($p=0.010$). These results indicate that rotation speed**
248 **influences the amount of substrate diffusing from the liquid phase into the biofilm. Between 11**
249 **rpm and 20 rpm, no significant difference was ascertained.**

250 The mass fraction of biomass to water in the wet biofilm decreased with diminishing CO₂
251 concentrations (Figure 4B). Replete conditions led to a significantly greater mass fraction of
252 biomass to water compared to 0.7 mol m⁻³ CO₂ ($p<0.001$) for all three tested rotation speeds.

253 The data **at 0.06 mol m⁻³ CO₂** exhibited a greater standard deviation and were not significantly
254 different. From Figure 4B, however, a trend toward decreasing mass fraction of biomass to
255 water in the wet biofilm could be detected with increasing CO₂ limitation.

256 **3.5 Long-term stability**

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3 257 To perform the experiments, the Algadisk reactor was operated for 21 consecutive weeks. Due
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5 258 to technical problems, only the results corresponding to 13 weeks were incorporated into the
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7 259 experiments already discussed. The technical problems included: overnight pH rise to pH 10, 24
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10 260 hours of darkness, and temperatures above 40 °C. These stressful conditions negatively
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12 261 influenced the productivity in the corresponding 7 day growth-harvest cycle. Productivities,
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14 262 however, recovered to maximal within one week after the conditions were reverted back to
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16 263 optimal. Furthermore, reproducibility was tested at weeks 5, 6, 7 and 19 to ensure no long-term
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18 264 changes occurred during the experiment (section 3.2). Although the experimental set-up was
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20 265 open no contaminations with grazers, other microalgae species or large increases in bacteria
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22 266 population were observed with microscope analysis. Figure 5 shows in chronological order the
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25 267 average productivity over all four disks per growth-harvest cycle.
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28 268 **4 Discussion**

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30 269 This study demonstrates that it is feasible to achieve consistent high disk surface productivities
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32 270 of 20 g (m² d)⁻¹ over a period of 21 weeks (approximately 150 days) in the Algadisk system.
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34 271 Considering that, in the Algadisk system, only 58% of the disk surface was illuminated, the
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36 272 productivity based on illuminated surface is 34.7±1.3 g (m² d)⁻¹. The productivity achieved in
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38 273 this study is in accordance to, or improved over, biofilm productivities described in literature
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40 274 (Table III).
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43 275 Biomass yield on light and biomass productivities per ground surface are, collectively, an
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45 276 effective manner to evaluate systems' performances (Wijffels and Barbosa 2010). In lab-scale
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47 277 experiments, light is often manipulated, and possibly ground surface is not known or not
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49 278 representative for a potential large scale reactor. Biomass yield on light therefore is a more
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51 279 suitable manner to evaluate reactor performance. In our experiments, a biomass yield on light of
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53 280 0.88 g mol⁻¹ was achieved (Table II). This biomass yield on light is calculated based on the
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55 281 illuminated disk surface and productivity (Equation 2) and compared to values reported for
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3 282 other biofilm-photobioreactors (Table III). The two systems that exploited light of $100 \mu\text{mol}$
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5 283 $(\text{m}^2 \text{ s})^{-1}$ in their experiments, obtained lower productivities but achieved similar biomass yields
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7 284 on light as we did. Compared to lab-scale suspended systems our obtained biomass yield on
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9 285 light are in the higher range (Kliphuis et al. 2010; Takache et al. 2010), and approximately 2/3
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11 286 of the theoretical maximum biomass yield on light of 1.5 g mol^{-1} (Blanken et al. 2013). As the
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13 287 biofilm in the Algadisk reactor is operated as a sequential batch, light is wasted after harvesting
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15 288 at the beginning of a new batch (Figure 2). By minimizing this loss of light, our biomass yield
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17 289 on light could be further improved. Furthermore future experiments have to validate that the
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19 290 obtained productivity and biomass yield on light can be maintained under outdoor light regimes.
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21 291 Although the obtained results are a good starting point for further scale-up.
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25 292 During the experiments, biomass concentration in the suspension remained rather low (optical
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27 293 density measured at 750 nm remained below 1.0) although some biomass appeared to sediment
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29 294 at the bottom of the container. It was assumed that re-attachment of microalgae from the liquid
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31 295 to the disks did not occur. Due to the directional light source and the opaque container walls, the
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33 296 light intensity in the liquid was less than the light compensation point of photosynthesis (10
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35 297 $\mu\text{mol} (\text{m}^2 \text{ s})^{-1}$) (Takache et al. 2010; Vejrazka et al. 2013). Light intensities lower than the light
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37 298 compensation point are too low to sustain growth. Therefore, it is most likely that most of the
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39 299 settled biomass came from the disks and that suspended growth of microalgae did not occur.
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41 300 The actual amount of settled biomass could not be measured. However, by preventing
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43 301 sedimentation of this biomass or by regularly harvesting the sediment, the productivity of the
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45 302 Algadisk could be further increased.
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50 303 The harvested biomass from the lab-scale Algadisk reactor exhibited a high mass fraction
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52 304 biomass to water. The mass fraction biomass to water under CO_2 replete conditions was
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54 305 approximately 170 g kg^{-1} while it decreased to 120 g kg^{-1} under CO_2 limiting conditions. Mass
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56 306 fraction biomass to water in suspended systems is typically around 1 to 10 g kg^{-1} (Norsker et al.
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2
3 307 2011). To concentrate the microalgae broth to a 150-250 g kg⁻¹ mass fraction biomass to water,
4
5 308 a broad spectrum of processes is proposed including: flocculation, flotation, filtration, and/or
6
7 309 centrifugation (Pahl et al. 2013). The primary disadvantages of these processes are that they are
8
9 310 energy consuming, species specific, and often difficult to scale (Pahl et al. 2013). Cultivation of
10
11 311 microalgae in the Algadisk system would prevent the concentration issue and result in a cost
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13 312 and space reduction for downstream processing.

16 313 ***4.1 Evaluation of different disk materials***

18
19 314 Previous studies comparing substratum for phototrophic biofilm growth are limited. One study
20
21 315 indicated that *Chlorella* has an elevated attachment to polystyrene foam (Johnson and Wen
22
23 316 2010). Two other studies ascertained that cotton duct (Gross et al. 2013) and cotton rope
24
25 317 (Christenson and Sims 2012) were the most favourable substratum for biofilm growth. These
26
27 318 studies share the conclusion that structured surfaces promotes stable re-growth. This conclusion
28
29 319 is in accordance with our findings that the more structured rough mesh exhibited greater and
30
31 320 more consistent biofilm productivities. In our case, however, we **could not** determine the
32
33 321 optimal pore depth since the rough mesh had the deepest pores.

34
35 322 The polycarbonate disk did not perform effectively, and productivity varied from one growth-
36
37 323 harvest cycle to the other resulting in a **greater** standard deviation of the average productivity
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39 324 (Figure 3). The substantial standard deviation could be the result of lack of structure resulting in
40
41 325 the formation of empty spots following harvesting which were required to be re-colonized by
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43 326 microalgae. Although re-growth was not stable, the initial attachment was **the fastest** for the
44
45 327 polycarbonate disk (data not shown). This demonstrates the potential of the positively charged
46
47 328 polyelectrolyte multilayer coating to improve initial attachment. However, Most important
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49 329 remains stable re-growth and robustness of the system.

54 330 ***4.2 Influence of disk rotation on productivity***

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3 331 During the experiment evaluating the influence of disk rotation on productivity, the differences
4
5 332 were only minimal, although 11 rpm had a significantly greater productivity compared to 3 and
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7 333 20 rpm. Based on traditional RBC literature, this could be due to the build-up of toxic
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9 334 compounds inside the biofilm at low rotation speeds and shear stress at high rotation speeds (Lu
10
11 335 et al. 1997). However, the low effect of rotation speed on productivity shows a clear possibility
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13 336 to use larger disks in scaled up systems, e.g., based on the range of tested velocities (0.01 to
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15 337 0.25 m/s) a disk with a diameter of 1.5 m could be used at 3 rpm.

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18 338 Regarding the mass fraction of biomass to water and the biofilm thickness, no trend was
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20 339 observed during the various conditions assayed. This was contrary to other studies where
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22 340 biofilm surfaces exposed to increased hydrodynamic forces resulted in thinner and denser
23
24 341 biofilms (Kugaprasatham et al. 1992; Picioreanu et al. 2000). This denser biofilm was observed
25
26 342 in the reproducibility experiment for disk 1 which was exposed to liquid velocities of 2 m s^{-1}
27
28 343 from the recycle inlet (Figure 1). The liquid velocity at the recycle inlet is much higher
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30 344 compared to the 0.01 to 0.25 m s^{-1} velocities that were applied when studying the influence of
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32 345 disk rotation on productivity (Table II). Although the biofilm on disk 1 grew more compact it
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34 346 still featured a lower productivity, which is most likely caused by hydrodynamic wash-off of
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36 347 microalgae. Another factor that might have influenced the mass fraction of biomass to water at
37
38 348 lower rotation speeds could be that low rotation speeds resulted in a dryer biofilm. With low
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40 349 rotation speeds, the air/water frequency is also lower. To our knowledge there is no dedicated
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42 350 research performed on this topic, however, other researchers have noticed drying of the biofilm
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44 351 at low air/water frequencies (Gross et al. 2013).

49 352 **4.3 Substrate limitation**

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52 353 For phototropic growth, CO_2 is the primary carbon source. If the CO_2 supply is less than CO_2
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54 354 consumption, the productivity of the microalgae will decrease due to carbon limitation. During
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56 355 our experiments, a decrease in the CO_2 concentration resulted in a 5 to 10 times reduction in
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3 356 productivity compared to CO₂ replete conditions. The actual CO₂ concentrations in the bulk
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5 357 liquid were not measured, hence they remain unknown. From the operating conditions and
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7 358 assuming equilibrium between gas and liquid phases, we **could** estimate the maximal CO₂
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9 359 concentration in the liquid as is described in the results section. In reality, however, equilibrium
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11 360 will not be achieved due to consumption of CO₂ by the microalgae and, therefore, the actual
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13 361 CO₂ concentrations will be lower.

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16 362 The CO₂ dissolved in the bulk liquid is transported by diffusion into the biofilm. The rate of
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18 363 diffusion primarily depends on: concentration difference between bulk liquid and biofilm,
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20 364 distance over which diffusion occurs, and the diffusion coefficient. In the lab-scale Algidisk
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22 365 system, three factors play a role when cultivating microalgae under CO₂ limitation: (1)
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24 366 thickness of the stagnant layer between the biofilm and the bulk liquid or gas; (2) diffusion of
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26 367 dissolved CO₂ from the bulk liquid into the biofilm when it is submerged; and (3) diffusion of
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28 368 atmospheric CO₂ gas into the biofilm when it is above the water.

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32 369 **At 0.06 mol m⁻³ CO₂** no difference between the various rotation speeds was detected. This could
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34 370 indicate that the thickness of the stagnant film layer in the gas phase has only minimal influence
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36 371 on the diffusion of CO₂ to the biofilm, or it could indicate that the film layer covering the
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38 372 biofilm in the gas phase is not influenced by the rotation speed. The latter, however, is unlikely
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40 373 as a previous study has demonstrated a correlation between rotation speed and attached film
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42 374 thickness (Kubsad et al. 2004). **At 0.7 mol m⁻³ CO₂, it seems that higher productivities are**
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44 375 **obtained** with increasing rotation speeds. This could be due to improved mass transfer by a
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46 376 decreased stagnant film layer thickness in the liquid. More likely, however, is that the higher
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48 377 frequency between substrate absorption (biofilm submerged and dark) and substrate
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50 378 consumption (biofilm in air and illuminated) resulted in increased CO₂ uptake by the biofilm.
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52 379 During substrate limiting growth, the driving force for CO₂ diffusion into the biofilm is greatest
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54 380 upon re-entering the liquid as CO₂ concentration in the biofilm is at its lowest.
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3 381 The above discussion illustrates that the absorption and consumption cycles introduced by
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5 382 growing a biofilm on a rotating disk are difficult to evaluate and that there is still opportunity
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7 383 for improvement upon better comprehension of these processes. Finally, it is noteworthy that
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9 384 the supply of sufficient nutrients is important to maintain optimal productivity. Therefore,
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11 385 nutrient supply should be carefully considered in the design of a scaled up Algadisk system.

14 386 **5 Conclusions**

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17 387 In this study, *Chlorella sorokiniana* was cultivated in the Algadisk system. In the lab-scale
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19 388 RBC based photobioreactor, a productivity of 20.1 ± 0.7 gram per m^2 disk surface per day and a
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21 389 biomass yield on light of 0.88 ± 0.04 grams dry biomass per mol photons were achieved. The
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23 390 results obtained were stable over 21 weeks and showed that disk diameters up to 1.5 meter were
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25 391 possible. Together, the obtained results demonstrate a clear opportunity for larger scale
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27 392 Algadisk photobioreactors to produce microalgae biomass, although, adequate CO_2 supply
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29 393 should be ensured.

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13 Algadisk consortium).
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Appendices

A. light distribution over the disk

Figure A.1. Different measure positions on the disk surface, with hole 3 as the centre of the disk.

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Table A.1. Light distribution over the different disk positions with F for front and B for Back.

Light is measured in $\mu\text{mol (m}^2 \text{ s)}^{-1}$.

	disk 1 F	disk 1 B	disk 2 F	disk 2 B	disk 3 F	disk 3 B	disk 4 F	disk 4 B
1	324 ± 18	309 ± 16	322 ± 32	329 ± 12	335 ± 10	330 ± 15	331 ± 40	304 ± 28
2	447 ± 26	420 ± 27	453 ± 36	443 ± 16	470 ± 20	442 ± 12	462 ± 22	393 ± 23
3	498 ± 22	447 ± 43	508 ± 20	463 ± 20	531 ± 36	465 ± 10	511 ± 14	433 ± 22
4	437 ± 8	416 ± 31	421 ± 18	441 ± 25	452 ± 32	417 ± 19	459 ± 19	395 ± 19
5	318 ± 15	305 ± 13	312 ± 14	331 ± 16	329 ± 28	307 ± 37	316 ± 5	302 ± 33
6	448 ± 38	409 ± 41	448 ± 37	405 ± 20	471 ± 19	425 ± 12	448 ± 36	388 ± 24
7	602 ± 49	548 ± 47	599 ± 54	541 ± 37	637 ± 48	567 ± 9	631 ± 25	502 ± 13
8	589 ± 33	543 ± 56	519 ± 68	542 ± 35	618 ± 54	551 ± 23	632 ± 26	506 ± 24
9	433 ± 11	424 ± 38	449 ± 27	420 ± 18	453 ± 49	410 ± 36	450 ± 12	397 ± 39
10	474 ± 42	430 ± 33	455 ± 44	423 ± 26	458 ± 57	449 ± 6	472 ± 31	400 ± 10
11	518 ± 25	497 ± 49	527 ± 47	491 ± 36	582 ± 58	508 ± 19	560 ± 31	460 ± 16
12	458 ± 8	438 ± 41	446 ± 38	437 ± 23	483 ± 46	443 ± 27	480 ± 26	408 ± 26
ave	462 ± 17	432 ± 33	455 ± 22	439 ± 21	485 ± 35	443 ± 11	479 ± 16	407 ± 18

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3 Figure 1. Schematic overview of Algadisk lab scale reactor. D = disk, M = motor driving the
4 disk, C = container, T = temperature control system, BT = buffer tank. **Both the top of the**
5 **container and buffer tank are open.** Liquid in the reactor vessel flows from left to right, and the
6 direction of disk rotation is depicted in the figure.
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14 Figure 2. Photographs taken during a typical 7 day growth-harvest cycle on the rough metal
15 mesh. The day within the growth-harvest cycle is indicated in white. On day 7, biofilm is
16 harvested and a new cycle begins.
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24 Figure 3. Average productivity over 4 growth-harvest cycles with 8 measurements per disk (4
25 weeks times 2 disk sides) for three types of disk materials. The experiment was performed
26 under light limited conditions at a constant rotation speed of 11 rpm. Error bars indicate the
27 standard deviation.
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35 Figure 4. Average productivity (A) and Mass fraction of biomass to water in wet biofilm (B) for
36 different CO₂ concentrations at three rotation speeds. The experiment with **15 mol m⁻³ CO₂** was
37 at light limiting and nutrient replete conditions. For **0.7 mol m⁻³ CO₂**, the bulk liquid in the
38 buffer tank was continuously gassed with 0.5 %_{v/v} CO₂ enriched air. For **0.06 mol m⁻³ CO₂**, the
39 bulk liquid was not gassed and thus there was only atmospheric CO₂ available **via direct gas**
40 **biofilm contact.** The error bars indicate the standard deviation.
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51 Figure 5. Average productivity, calculated as the average of the 4 disks productivity per growth-
52 harvest cycle, in chronological order. The grey bars represent the growth-harvest cycles that
53 were not employed due to technical problems. The white bars represent the data from
54 reproducibility **experiment.** The cross lined bars represent the experiments on rotation speed and
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the dark dotted and light dotted represent the experiments on CO₂ limitation (dark dotted without sparging of the bulk liquid and light dotted with sparging of the bulk liquid).

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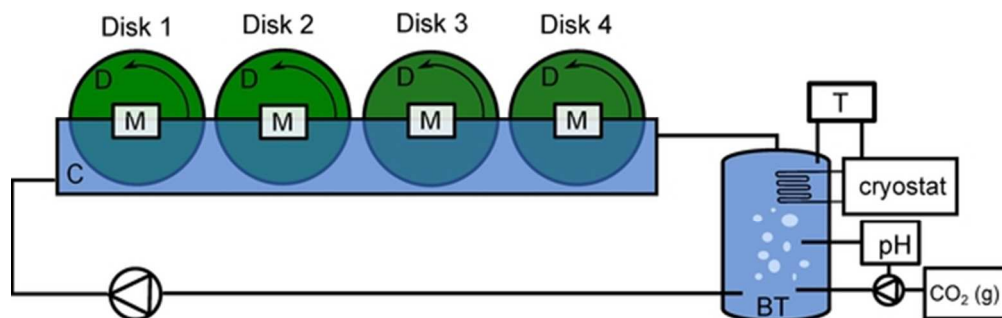


Figure 1. Schematic overview of Algadisk lab scale reactor. D = disk, M = motor driving the disk, C = container, T = temperature control system, BT = buffer tank. Both the top of the container and buffer tank are open. Liquid in the reactor vessel flows from left to right, and the direction of disk rotation is depicted in the figure.

50x15mm (300 x 300 DPI)

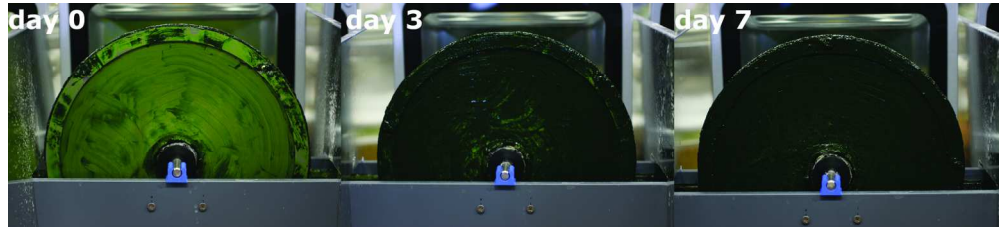


Figure 2. Photographs taken during a typical 7 day growth-harvest cycle on the rough metal mesh. The day within the growth-harvest cycle is indicated in white. On day 7, biofilm is harvested and a new cycle begins.
162x36mm (300 x 300 DPI)

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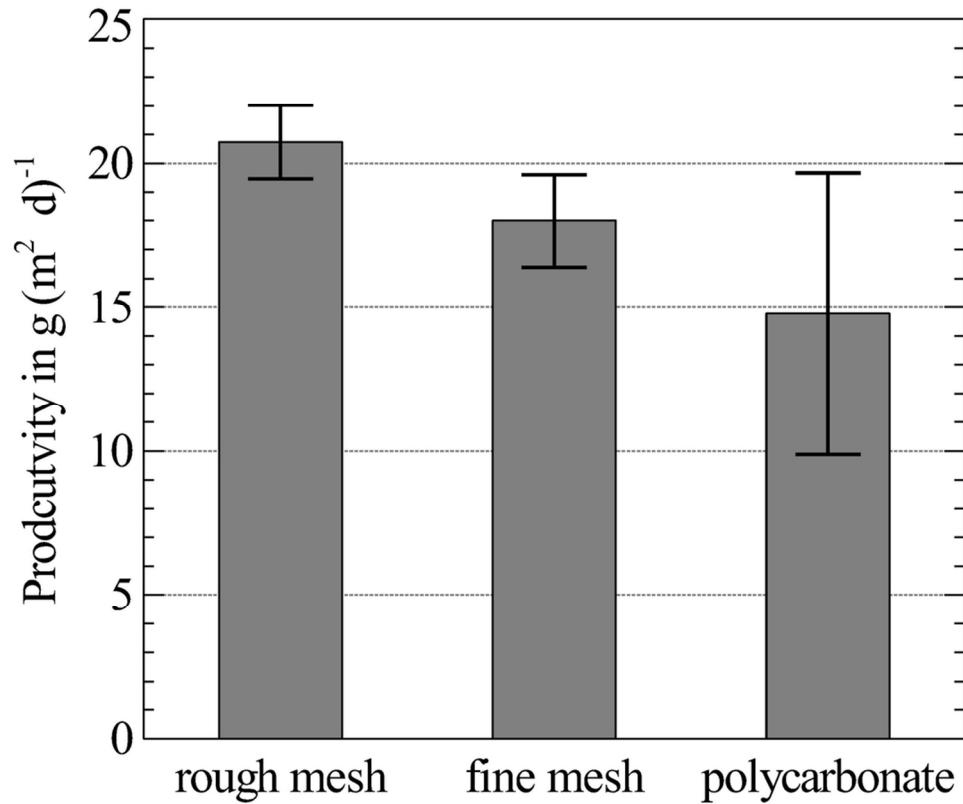


Figure 3. Average productivity over 4 growth-harvest cycles with 8 measurements per disk (4 weeks times 2 disk sides) for three types of disk materials. The experiment was performed under light limited conditions at a constant rotation speed of 11 rpm. Error bars indicate the standard deviation.
94x85mm (300 x 300 DPI)

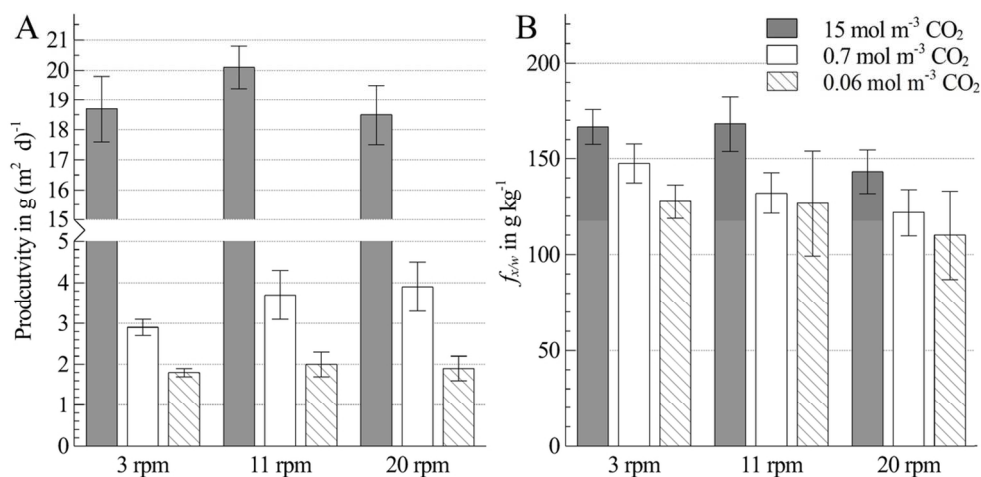


Figure 4. Average productivity (A) and Mass fraction of biomass to water in wet biofilm (B) for different CO₂ concentrations at three rotation speeds. The experiment with 15 mol m⁻³ CO₂ was at light limiting and nutrient replete conditions. For 0.7 mol m⁻³ CO₂, the bulk liquid in the buffer tank was continuously gassed with 0.5 %v/v CO₂ enriched air. For 0.06 mol m⁻³ CO₂, the bulk liquid was not gassed and thus there was only atmospheric CO₂ available via direct gas biofilm contact. The error bars indicate the standard deviation.

108x55mm (300 x 300 DPI)

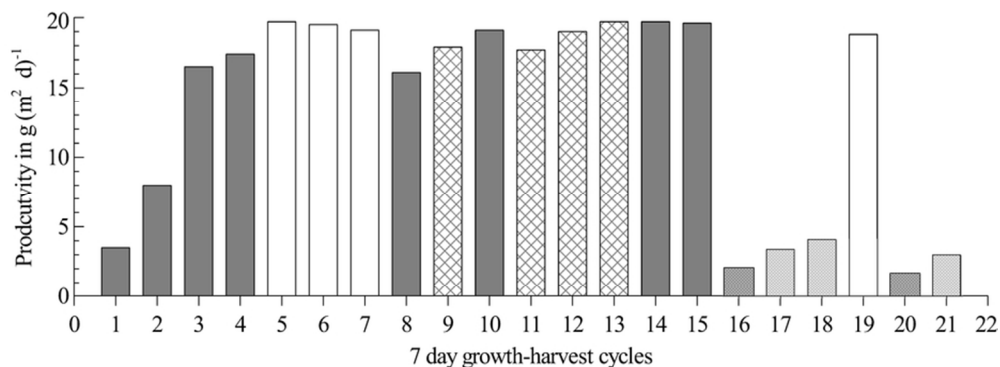


Figure 5. Average productivity, calculated as the average of the 4 disks productivity per growth-harvest cycle, in chronological order. The grey bars represent the growth-harvest cycles that were not employed due to technical problems. The white bars represent the data from reproducibility experiment. The cross lined bars represent the experiments on rotation speed and the dark dotted and light dotted represent the experiments on CO₂ limitation (dark dotted without sparging of the bulk liquid and light dotted with sparging of the bulk liquid).

79x29mm (300 x 300 DPI)

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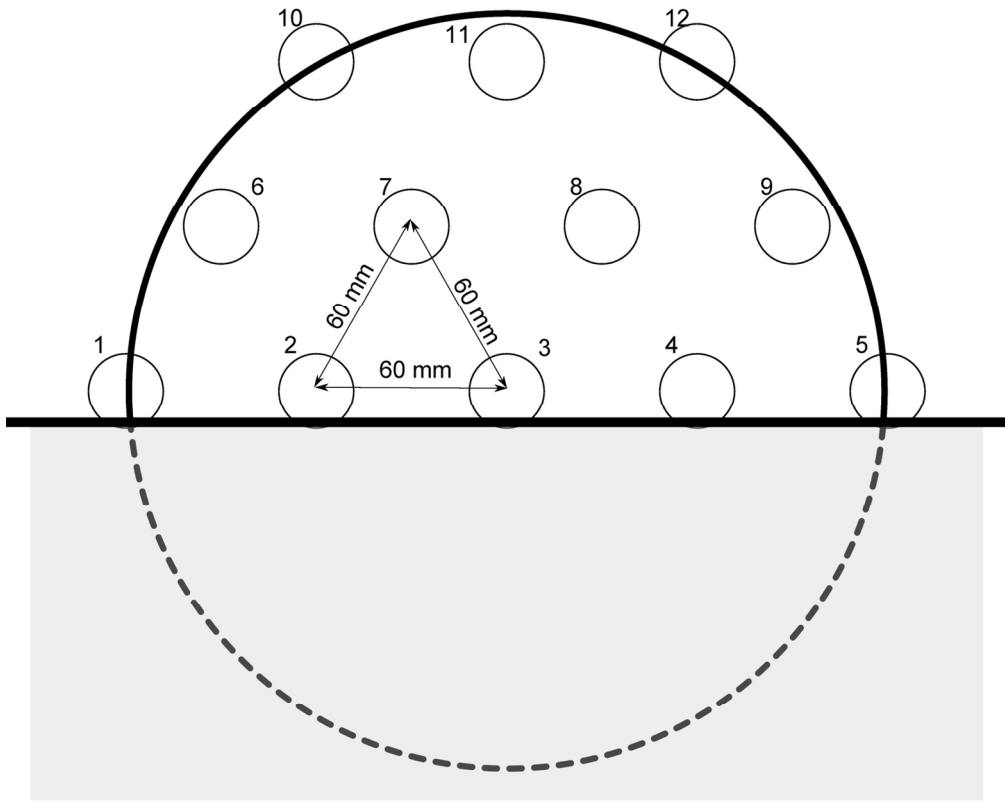


Figure A.1. Different measure positions on the disk surface, with hole 3 as the centre of the disk.
141x112mm (300 x 300 DPI)

Review

Table I. The **surface** productivity (P_x), mass fraction biomass to water ($f_{x/w}$), biomass yield to light ($Y_{x/ph}$) and biofilm thickness (z) results of the reproducibility experiment. The reproducibility experiment is performed at nutrient replete conditions with a light intensity of $422 \mu\text{mol} (\text{m}^2 \text{s})^{-1}$ on a rough metal mesh at a constant rotation speed of 11 rpm. Parameter 'n' represents the number of experiments. Each experiment represents data of one side of the disk during a 7 day growth-harvest cycle. Standard deviation is shown.

	P_x $\text{g} (\text{m}^2 \text{d})^{-1}$	$f_{x/w}$ g kg^{-1}	$Y_{x/ph}$ g mol^{-1}	z μm	n
disk 1	17.5 ± 2.1	192 ± 6	$0,77 \pm 0,10$	634 ± 76	8
disk 2	$19.9 \pm 0,8^*$	$174 \pm 9^{**}$	$0,89 \pm 0,06^*$	$800 \pm 41^{**}$	8
disk 3	$19,8 \pm 0,2^*$	$173 \pm 4^{**}$	$0,85 \pm 0,06$	$797 \pm 24^{**}$	6
disk 4	$20,1 \pm 0,7^{**}$	$172 \pm 9^{**}$	$0,91 \pm 0,09^*$	$847 \pm 67^{**}$	8

* $p < 0.05$ with unpaired t-test compared to disk 1

** $p < 0.01$ with unpaired t-test compared to disk 1

Table II. The **surface** productivity (P_x), mass fraction biomass to water ($f_{x/w}$), biomass yield to light ($Y_{x/ph}$), biofilm thickness (z) results and disk velocity for different rotation speeds. The disk velocity provides the lowest and highest liquid velocity corresponding to that revolution per minute. Experiments are performed in nutrient replete conditions with a light intensity of $422 \mu\text{mol} (\text{m}^2 \text{s})^{-1}$ on a rough steel mesh. Parameter 'n' represents the number of experiments. Each experiment represents data for one disk side during a 7 day growth-harvest cycle.

	P_x	$f_{x/w}$	z	n	disk velocity	
					low	high
	$g (\text{m}^2 \text{d})^{-1}$	$g \text{kg}^{-1}$	μm	#	m s^{-1}	m s^{-1}
3 rpm	18.7 ± 1.1	166 ± 9	783 ± 60	8	0.008	0.038
6 rpm	19.5 ± 1.3	152 ± 13	900 ± 138	6	0.016	0.075
11 rpm	20.1 ± 0.7	168 ± 15	842 ± 101	24	0.029	0.138
20 rpm	18.5 ± 1.0	143 ± 11	910 ± 113	8	0.052	0.251

Table III. Comparison between biofilm reactors reported in literature and this study for biomass productivity (P_X), biomass yield on light ($Y_{X/e}$) and light conditions. If a day/night cycle is applied the light intensities depicted are averaged over 24 hours (thus include the dark). For some studies to a biomass yield on light could not be calculated.

P_X $g (m^2 d)^{-1}$	$Y_{X/e}$ $g mol^{-1}$	Light intensity $\mu mol (m^2 s)^{-1}$	L/D h/h	Species	Literature
20	0.9	422	24/0	<i>C. Sorokinana</i>	This study
6	1.0	96	24/0	<i>Pseudochlorococcum</i>	Ji et al. 2013a
9	1.1	100	24/0	<i>A. obliquus</i>	Ji et al. 2013b
14	-	642	15/9*	<i>C. vulgaris</i>	Gross et al. 2013
20-31	-	208	12/12*	Mixed culture	Christenson and Sims 2012
2	-	18-320	15/9*	<i>Phaeodactylum</i>	Naumann et al. 2012

* Actual day-length varies due to seasonal changes